

ADSS IB High-Flyer Reward Programme 2020 Terms & Conditions:

Subject to this Terms and Conditions ("Terms"), the IB High-Flyer Reward Programme ("Programme") is available to all participants ("Participants") who are new or existing introducing brokers (which are defined as "Referral Agents" according to ADSS' Referral Agent Agreement and Terms of Business, but are herein referred to as "IBs") of ADS Securities LLC ("ADSS") and have satisfied the eligibility criteria of the Programme as set out below. The Programme is available for ADSS Master-IBs and Sub-IBs whose registered country of residence is China, Taiwan and Malaysia.

The promotion period is from 1 June 2020 to 31 August 2020 (both dates inclusive) ("Promotion Period"). Participants will receive a reward which is based upon the requirements as outlined in Table A below ("Reward").

<u>Table A</u>

Tier	Requirements (USD)	Reward	No. of Total Winners
Lucky Draw	Net Deposit 500k/ Net Revenue 50k	Lucky Draw - Travel Plan (US\$5,630/RMB40,000)	1
1	Net Deposit 250k/ Net Revenue 40k	27" iMac (USD2,165/RMB15,390)	2
2	Net Deposit 200k/ Net Revenue 20k	13 MacBook Air (512GB) (USD1,407/ RMB9,999) / Karcher Air Purifier AFG 100 (USD1,660/ RMB11,800)*	3
3	Net Deposit 120k/ Net Revenue 10k	Apple Watch Series 3 (42mm) (USD365/RMB2,599) / Xiao Mi Smart Projector Mini (USD380/ RMB2,700) *	10
4	Net Deposit 50k/ Net Revenue 5k	Amazon or JingDong Coupon (USD210/RMB1,500)	30

Each Participant can only redeem one Reward in Tier 1 - 4 for once if the Participant has fulfilled the corresponding requirements of the respective tiers as outlined in Table A. A Participant who has successfully redeemed a Reward ("Winner") will be counted towards the total number of Winners for each respective tier.

Rewards are based on a "while-stocks-last" basis, and if a particular Reward is out of stock, ADSS has the right to provide the Winner with an alternative one without prior notice. ADSS is not the supplier of the Rewards in Table A. Any enquiry, claim or complaint in relation to the quality of the Rewards or the accuracy of any description of the Rewards shall be directed to the relevant supplier. ADSS shall bear no responsibilities or liabilities in relation to any aspect of, including the quality, the supply of the Rewards, the description of products and/or services provided by the supplier, any false trade description, misrepresentation, mis-statement, omission, unauthorized representation, unfair trade practices or conduct in connection with the products and/or services provided by the relevant supplier, its employees, officers or agents.

The Participant must send a written Reward redeem request to $\underline{cs.asia@adss.com}$. $\underline{cs.asia@adss.com}$ in order to redeem the corresponding reward of a particular tier (Tier 1 – 4) within the Reward redeem dates for Net Revenue and Net Deposit respectively as stipulated further below. The redeem is considered successful only if the Participant has fulfilled the corresponding requirements of a particular tier, and that the relevant Reward is still in stock. A confirmation email in relation to a successful Reward redeem will be sent to the Winner within 15 days after the redeem request email was sent to ADSS.

*Rewards may not be available for some countries/ regions. The final Reward in respective of a particular tier that is offered to the Winner may differ from the one as stated in Table A, and will be subjected to the final decision of ADSS at its sole and absolute discretion.

Reward redeem dates for fulfilment of Net Revenue: 1 June – 15 September 2020

Reward redeem dates for fulfillment of Net Deposit: 1 September 2020 – 15 September 2020 (Please note that the Net Deposit will be counted towards the requirements only if (i) the fund is being deposited within the Promotion Period; (ii) the net deposit amount of the account is maintained for at least 30 days by 31st August 2020; and (iii) the account has at least one trading activity.)

The performance of the net deposit and net revenue of the Master-IBs and their Sub-IBs is counted separately in order to prevent from repeated counting. Any non-closed or pending orders as at 31 August 2020 will not be counted.



The forms of Reward delivery will be subjected to the final announcement of ADSS.

For Participants who also fulfill the requirements of the tier Lucky Draw, in addition to the Reward of Tier 1, they will automatically enter the Lucky Draw for the Reward of Travel Plan. There will be only one Winner for the Lucky Draw. The Winner of the Lucky Draw can redeem the Reward of a total travel cost up to US\$5,630 or RMB40,000. The Travel Plan cost can only cover one round-trip flight ticket of one destination and the accommodation at the destination. The Travel Plan only covers up to 5 passengers, in which the Lucky Draw Winner must be one of the passengers, and all passengers must depart and return in the same round-trip flights. The Lucky Draw Winner needs to bear all the cost beyond the total travel cost of US\$5,630 or RMB40,000. The date of the return flight must be on or before 31 March, 2021.

For Tier 1 - 4, all successful Reward redeems will be notified to the Winners and they will be informed of the redemption and delivery details by 30 September, 2020. For Lucky Draw, the Winner will be notified by 30 September, 2020, and must redeem the reward by November, 2020. The Reward cannot be changed or exchanged into cash. The Reward is non-replaceable, non-refundable, non-transferrable and non-returnable.

Participants of the Programme automatically give their consent to publish the results of the Programme on ADSS' website and this may include the Participants' name and other relevant information.

This Programme cannot be participated in conjunction with other promotional offers or programmes of ADSS. Abuse of the rules of the Programme or any attempt to defraud the system or ADSS will lead to disqualification from the Programme, which is decided by ADSS at its sole and absolute discretion. ADSS reserves the right to change the Terms of this Programme at any time without prior notice or reason. ADSS' decision on all matters relating to this Programme (including, without limitation, any calculation or computation of the trading volume and monthly performance) shall be final and conclusive.

ADSS shall not be liable for any costs, expenses, liabilities, losses or damages incurred or to be incurred by the IB arising from or in connection with this Programme. ADSS reserve the right to resolve any contentious issues between any of its Participants at its sole and absolute discretion.

If there is any discrepancy or inconsistency between the Chinese and English version of the Terms, the English version shall apply and prevail.