

# **Codebook for Dataset on UN Peace Mission Mandates (UNPMM) V1.1**

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## I. Introduction

This dataset provides an empirical understanding of the changes in the mandates of UN Peace Missions between January 1, 1991 to December 31, 2020 (i.e., the period marking the end of the Cold War, to present day). The term “UN Peace Missions” entails UN Peacekeeping Operations (PKO), Special Political Missions (SPM), as well as Special Envoy/Adviser (SE/SA) appointments.

The dataset codes the provisions in UN peace mission mandates according to the classification of *minimalist*, *moderate*, and *maximalist*.<sup>1</sup> Mandate provisions or tasks within the *minimalist* approach content themselves with the absence of renewed armed conflict and focus on containing the violence, thus aiming at bringing about negative peace. Mandate tasks within the *maximalist* approach see peacebuilding as addressing root causes and transforming conflicts, thus aiming at positive (and often a liberal) peace. Lastly, *moderate* mandate tasks aim at no renewed armed conflict and decent governance without specifying the precise governance model.

The peace missions in this dataset have also been assigned an overall mission classification and score that follows this same logic. Missions can be classified as *Minimalist*, *Maximalist*, or *Moderate*. To derive this overall classification, we rely on a formula detailed in Section IV below. For the sake of distinction, we use *minimalist*, *moderate*, and *maximalist* beginning in lower case when speaking of mandate tasks, and *Minimalist*, *Moderate*, and *Maximalist* beginning in upper case when referring to overall mission classifications.

## II. Codebook

Variable Name	Description
<b>mission_id</b>	Unique ID number for each mission.
<b>mission_abbrev</b>	Official abbreviated name for each mission, as given in the UN documentation.
<b>mission_fullname</b>	Full name given to mission (in English).
<b>mission_type</b>	PKO = Peacekeeping Operation SPM = Special Political Mission SE/SA = Special Envoy or Special Adviser appointments
<b>mission_location</b>	The name(s) of the country or region where the mission takes place.
<b>gw_country</b>	This field lists up to 5 countries with which the mission is concerned in alphabetical order by their Gleditsch/Ward (GW) ID as listed at: <a href="http://ksgleditsch.com/data/iisystem.dat">http://ksgleditsch.com/data/iisystem.dat</a> . This field is limited to reflecting entities that are recognised as countries by the GW list.
<b>gwno_1</b>	This cell codes the first country by its GW number.

<sup>1</sup> This categorisation is based on Call, C. T. and E. M. Cousens (2007). *Ending Wars and Building Peace*. Coping with Crisis - Working Paper Series. New York: International Peace Academy.

<b>gwno_2</b>	Where applicable, this cell codes the second country by its GW number.
<b>gwno_3</b>	Where applicable, this cell codes the third country by its GW number.
<b>gwno_4</b>	Where applicable, this cell codes the fourth country by its GW number.
<b>gwno_5</b>	Where applicable, this cell codes the fifth country by its GW number.
<b>ucdp_link</b>	This field indicates if the mission has been linked to a conflict or conflicts in the UCDP/PRIO Armed Conflict Dataset (Version 20.1). 1 = Yes 0 = No
<b>ucdpconflict_id1</b>	The ID numbers are listed in ascending order. This field codes the first conflict the mission is concerned with addressing.
<b>ucdpconflict_id2</b>	Where applicable, this field codes the second conflict the mission is concerned with addressing.
<b>ucdpconflict_id3</b>	Where applicable, this field codes the third conflict the mission is concerned with addressing.
<b>ucdpconflict_id4</b>	Where applicable, this field codes the fourth conflict the mission is concerned with addressing.
<b>mission_regionclass</b>	UN regional geographic classification of the mission. This field has been recoded from the UNPMM-UI data accordingly: 1 = Europe (GW No.: 200-399) 2 = Middle East (GW No.: 630-699) 3 = Asia (GW No.: 700-999) 4 = Africa (GW No.: 400-626) 5 = Americas (GW No.: 2-199)
<b>mission_yearest</b>	The year the mission was established.
<b>mission_yearend</b>	The year the mission was closed or terminated its mandate. If the mission was still active as of December 31, 2020, this field is indicated as <b>ACTIVE</b> .
<b>mission_orgs</b>	International or regional organisations involved in the mission. UN = UN only AU-UN = African Union and UN OAS-UN = Organization of American States and UN LAS-UN = League of Arab States and UN OPCW-UN = Organisation for the Prohibition of Chemical Weapons and UN
<b>task_yearest</b>	These rows (1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018,

	2019, 2020) form the “x-axis” against which we plot the establishment of <i>minimalist</i> , <i>moderate</i> , or <i>maximalist</i> tasks. 1991 refers to the period January 1 to December 31, 1991; while 2020 refers to the period January 1 to December 31, 2020.
<b>Minimalist Tasks</b>	Sub-category, not a variable: tasks in this <i>minimalist</i> sub-category reflect an approach that contents itself with absence of armed conflict and focuses on bringing about the conditions for negative peace.
<b>min01: co-ordination of donors, partners, and UN agencies</b>	Co-ordinating the efforts of international, national, local, or regional partners, funds, organisations etc., or co-ordinating the activities of UN agencies on the ground. This task includes the mobilisation of resources for the mission. It also includes facilitating information-sharing for the delivery of aid. 1 = Yes 0 = No
<b>min02: demilitarization</b>	This task includes the monitoring of troop withdrawal and the flow of arms, ensuring that specific zones are free from military persons, equipment, or activities, or the disarming of armed groups or the civilian population. 1 = Yes 0 = No
<b>min03: demining</b>	Leading mine action, which can include implementing demining programmes and running mine awareness workshops. 1 = Yes 0 = No
<b>min04: elimination of chemical weapons programme</b>	Eliminating a state’s chemical weapons programme. 1 = Yes 0 = No
<b>min05: good offices and mediation (track 1)<sup>2</sup></b>	Carrying out political diplomatic functions in support of the peace process, especially through the activities of an appointed Special Representative or Special Envoy. 1 = Yes 0 = No
<b>min06: humanitarian assistance</b>	Providing humanitarian assistance or supporting humanitarian assistance efforts undertaken by other entities. Tasks that fall under “humanitarian assistance” also include designing or multi-dimensional programmes that include humanitarian assistance. 1 = Yes 0 = No

<sup>2</sup> Good offices and mediation often go beyond attempts to stop conflict violence by assisting the parties to find a mutual acceptable agreement on other issues, including a political transition, reconstruction, and ways of dealing with the past. Yet, we log it as a minimalist task because the overarching objective and most pressing issue remains to stop the violence.

<b>min07: OMR military</b>	Observing, monitoring, or reporting on ceasefire arrangements, withdrawals, or demilitarisation. This category includes supporting verification arrangements, border control, the investigation of ceasefire violations, the containment of armed violence, and liaison efforts between all sides of the conflict. 1 = Yes 0 = No
<b>min08: OMR police</b>	Observing, monitoring, or reporting on the activities of the local police and ensuring best practices. This includes ensuring the neutrality of local police and advising police authorities, as well as the performance of policing tasks. 1 = Yes 0 = No
<b>min09: refugee and IDP assistance</b>	Assisting with the return of refugees and internally displaced persons. 1 = Yes 0 = No
<b>min10: SALW</b>	Monitoring the illicit flow of small arms and light weapons (SALW). “SALW” should be explicitly mentioned in the mandate for this task to be coded. 1 = Yes 0 = No
<b>min11: secure environment for delivery of aid</b>	Maintaining a secure environment for the delivery of aid and humanitarian assistance. 1 = Yes 0 = No
<b>Moderate Tasks</b>	Sub-category, not a variable: Tasks in this <i>moderate</i> sub-category reflect an approach that aims at no renewed armed conflict and decent governance without specifying the governance model.
<b>mod01: conflict assessment and early warning</b>	Developing a system for forecasting the outbreak of armed conflict, or at a minimum, undertaking efforts to detect a potential escalation of violence at an early stage and putting in place measures to prevent it. 1 = Yes 0 = No
<b>mod02: DDR</b>	Supporting national institutions in disarmament, demobilisation and reintegration (DDR). Tasks that fall under “DDR” include the repatriation of foreign fighters. 1 = Yes 0 = No
<b>mod03: electoral assistance</b>	Providing electoral assistance for referenda or elections. This can include supervising elections, organising the process, and/or implementing voter education campaigns.

	1 = Yes 0 = No
<b>mod04: electoral security</b>	Ensuring a secure environment for the conduct of elections. 1 = Yes 0 = No
<b>mod05: information campaigns</b>	Disseminating information on the UN role in the peace process or UN mission objectives and mission mandates, the importance of civil society participation, human rights, etc. 1 = Yes 0 = No
<b>mod06: monitoring/investigating IHL/IHRL violations</b>	Monitoring or investigating violations of international humanitarian law (IHL) or international human rights law (IHRL). 1 = Yes 0 = No
<b>mod07: recovery, rehabilitation and reconstruction</b>	Supporting short-term economic recovery and rehabilitation through the reconstruction of critical infrastructure. 1 = Yes 0 = No
<b>mod08: support to international criminal justice</b>	Supporting international justice, especially ensuring accountability for war crimes. 1 = Yes 0 = No
<b>mod09: POC children</b>	Protecting children from abductions, trafficking, military recruitment and any other forms of exploitation and harm. 1 = Yes 0 = No
<b>mod10: POC conflict-related sexual violence</b>	Protecting vulnerable members of the civilian population (especially women and children) from conflict-related sexual violence, and to respond to such threats. 1 = Yes 0 = No
<b>mod11: POC general</b>	Preventing or responding to threats of physical violence against civilians without prejudice to the responsibility of the host government. 1 = Yes 0 = No
<b>Maximalist Tasks</b>	Sub-category, not a variable: Tasks in this <i>maximalist</i> sub-category reflect an approach that seeks to address root causes and that aims at building the conditions for positive (and often liberal) peace.
<b>max01: civil society capacity building</b>	Developing civil society capacity and fostering civil society engagement, especially by working with local organisations and rights groups on various issues.

	1 = Yes 0 = No
<b>max02: dialogue and reconciliation (local)</b>	Fostering unity about key political issues at a local and community level. The word “local” must be used with regard to dialogue and reconciliation in order for a task to be coded under this variable. 1 = Yes 0 = No
<b>max03: dialogue and reconciliation (national)</b>	Fostering unity about key political issues at a national level. 1 = Yes 0 = No
<b>max04: dialogue and reconciliation (regional)</b>	Fostering unity about key political issues at a sub-national (=regional) level. This disaggregation is particularly important to analyse cases where conflict is localised in specific regions of the country. 1 = Yes 0 = No
<b>max05: economic reforms</b>	Supporting the government in long-term economic reform. 1 = Yes 0 = No
<b>max06: good governance</b>	Ensuring transparency, accountability and effectiveness in the management of a country’s affairs at all levels, including natural resource management. 1 = Yes 0 = No
<b>max07: human rights promotion</b>	Upholding a human rights mandate. This includes emphasising human rights within national institutions and human rights advocacy. 1 = Yes 0 = No
<b>max08: IB transitional state institutions</b>	Institution building (IB) through support of the transitional authority or the interim administration. Tasks under “IB transitional state institutions” include instances where the UN takes over the state administration and acts as the transitional authority during the period between the signing of the peace agreement and the election of an official government. 1 = Yes 0 = No
<b>max09: promotion of independent media</b>	Promoting the establishment of an independent media. 1 = Yes 0 = No
<b>max10: ROL judicial reform</b>	Upholding the rule of law (ROL) through the fostering of effective, inclusive and accountable justice systems. 1 = Yes

	0 = No
<b>max11: ROL legal reform</b>	Upholding the rule of law (ROL) through the strengthening of the state's legislative framework. 1 = Yes 0 = No
<b>max12: ROL penal system reform</b>	Upholding the rule of law (ROL) by supporting national prison authorities in improving prison infrastructure and enhancing the professionalism and accountability of corrections staff and systems. 1 = Yes 0 = No
<b>max13: sexual- and gender-based violence</b>	Preventing or responding to sexual- and gender-based violence generally, and not limited to sexual violence resulting from the conflict. 1 = Yes 0 = No
<b>max14: SSR military</b>	Supporting security sector reform (SSR) of national armed forces. 1 = Yes 0 = No
<b>max15: SSR police</b>	Supporting security sector reform (SSR) of the police. 1 = Yes 0 = No
<b>max16: support to permanent state administration</b>	Supporting permanent state institutions. This includes extension of state authority throughout the territory of a country as well as strengthening its democratic institutions and constitutional reform. 1 = Yes 0 = No
<b>max17: transitional justice</b>	Promoting and supporting transitional justice, which includes enabling access to justice via an effective and accessible judicial system in situations where crimes and human rights violations cannot be dealt with solely through national authorities. 1 = Yes 0 = No
<b>max18: women's rights and participation</b>	Promoting women's rights with an emphasis on the participation of women in the peace process and ensuing institutions. 1 = Yes 0 = No
<b>ocat_all</b>	Sub-category, not a variable: Data in this sub-category concerns overarching mandate tasks that relate to the character of the mission and can influence multiple mandate tasks within a given mission.



<b>ocat01: use of force</b>	Mission is authorised by the Security Council to use force if acting in self-defence or in defence of the mandate. There should be reference to Chapter VII, and language in the relevant UNSC resolution that the mission is authorised to use all necessary means to enforce or implement its mandate. 1 = Yes 0 = No
<b>ymintasks_if</b>	Formula in cell. 1 = minimalist tasks were established in the year 0 = no minimalist tasks were established in the year
<b>ymodtasks_if</b>	Formula in cell. 1 = moderate tasks were established in the year 0 = no moderate tasks were established in the year
<b>ymaxtasks_if</b>	Formula in cell. 1 = maximalist tasks were established in the year 0 = no maximalist tasks were established in the year
<b>ymintasks_no</b>	Formula in cell. This cell calculates the number of minimalist tasks established for the year.
<b>ymodtasks_no</b>	Formula in cell. This cell calculates the number of moderate tasks established for the year.
<b>ymaxtasks_no</b>	Formula in cell. This cell calculates the number of maximalist tasks established for the year.
<b>ytasks_total</b>	Formula in cell. This cell calculates the total number of tasks established for the year.
<b>mission_class</b>	Overall classification of mission as Minimalist, Moderate or Maximalist. The overall classification score of a mission is calculated according to the formula outlined in Section IV. Minimalist: $0 > \text{score} \leq 1.0$ Moderate: $1.0 > \text{score} \leq 2.0$ Maximalist: $2.0 < \text{score} \leq 3.0$
<b>mcode_stat</b>	1 = this mission's mandate is coded in the dataset 0 = this mission's mandate is not coded in the dataset
<b>version</b>	Data version. In this case, 1.1.

### III. Notes on missions

For more detailed notes on each mission, please download the UNPMM-UI codebook.

### IV. Mission classification formula

A formula is used to determine the classification of a mission as *Minimalist*, *Moderate*, or *Maximalist*. Each *minimalist*, *moderate*, and *maximalist* task is assigned a weight of 1, 2, and 3 respectively. The weighted sum total is then divided by the total number of tasks assigned for the mission, resulting in a score between 1.0 and 3.0.

$$\text{Overall Mission Classification Score} = \frac{(\text{No. of } \textit{minimalist} \text{ tasks} * 1) + (\text{No. of } \textit{moderate} \text{ tasks} * 2) + (\text{No. of } \textit{maximalist} \text{ tasks} * 3)}{\text{Total no. of mission tasks}}$$

The classification criteria is as follows:

<i>Score</i>	<i>Overall Mission Classification</i>
$0 > \text{score} \leq 1.0$	<i>Minimalist</i>
$1.0 > \text{score} \leq 2.0$	<i>Moderate</i>
$2.0 < \text{score} \leq 3.0$	<i>Maximalist</i>

## V. Key data sources

- <https://peacekeeping.un.org/en>
- <https://peacekeeping.un.org/en/list-of-past-peacekeeping-operations>
- <https://dppa.un.org/en/past-missions>
- <https://www.un.org/securitycouncil/file/122688>
- <https://www.unmissions.org>
- <https://www.securitycouncilreport.org>
- <https://undocs.org>