Codebook for Dataset on UN Peace Mission Mandates (UNPMM) V1.2

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I.   Introduction

This dataset provides an empirical understanding of the changes in the mandates of UN Peace Missions between January 1, 1991 to December 31, 2020 (i.e., the period marking the end of the Cold War, to present day). The term "UN Peace Missions" entails UN Peacekeeping Operations (PKO), Special Political Missions (SPM), as well as Special Envoy/Adviser (SE/SA) appointments.

The dataset codes the provisions in UN peace mission mandates according to the classification of *minimalist*, *moderate*, and *maximalist*.[1] Mandate provisions or tasks within the *minimalist* approach content themselves with the absence of renewed armed conflict and focus on containing the violence, thus aiming at bringing about negative peace. Mandate tasks within the *maximalist* approach see peacebuilding as addressing root causes and transforming conflicts, thus aiming at positive (and often a liberal) peace. Lastly, *moderate* mandate tasks aim at no renewed armed conflict and decent governance without specifying the precise governance model.

The peace missions in this dataset have also been assigned an overall mission classification and score that follows this same logic. Missions can be classified as *Minimalist*, *Maximalist*, or *Moderate*. To derive this overall classification, we rely on a formula detailed in Section IV below. For the sake of distinction, we use *minimalist*, *moderate*, and *maximalist* beginning in lower case when speaking of mandate tasks, and *Minimalist*, *Moderate*, and *Maximalist* beginning in upper case when referring to overall mission classifications.

II.   Codebook

Variable Name	Description
mission_id	Unique ID number for each mission.
mission_abbrev	Official abbreviated name for each mission, as given in the UN documentation.
mission_fullname	Full name given to mission (in English).
mission_type	PKO = Peacekeeping Operation SPM = Special Political Mission SE/SA = Special Envoy or Special Adviser appointments
mission_location	The name(s) of the country or region where the mission takes place.
gw_country	This field lists up to 5 countries with which the mission is concerned in alphabetical order by their Gleditsch/Ward (GW) ID as listed at: http://ksgleditsch.com/data/iisystem.dat. This field is limited to reflecting entities that are recognised as countries by the GW list.
gwno_1	This cell codes the first country by its GW number.

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[1] This categorisation is based on Call, C. T. and E. M. Cousens (2007). *Ending Wars and Building Peace*. Coping with Crisis - Working Paper Series. New York: International Peace Academy.

gwno_2	Where applicable, this cell codes the second country by its GW number.
gwno_3	Where applicable, this cell codes the third country by its GW number.
gwno_4	Where applicable, this cell codes the fourth country by its GW number.
gwno_5	Where applicable, this cell codes the fifth country by its GW number.
ucdp_link	This field indicates if the mission has been linked to a conflict or conflicts in the UCDP/PRIO Armed Conflict Dataset (Version 20.1). 1 = Yes 0 = No
ucdpconflict_id1	The ID numbers are listed in ascending order. This field codes the first conflict the mission is concerned with addressing.
ucdpconflict_id2	Where applicable, this field codes the second conflict the mission is concerned with addressing.
ucdpconflict_id3	Where applicable, this field codes the third conflict the mission is concerned with addressing.
ucdpconflict_id4	Where applicable, this field codes the fourth conflict the mission is concerned with addressing.
mission_regionclass	UN regional geographic classification of the mission. This field has been recoded from the UNPMM-UI data accordingly: 1 = Europe (GW No.: 200-399) 2 = Middle East (GW No.: 630-699) 3 = Asia (GW No.: 700-999) 4= Africa (GW No.: 400-626) 5 = Americas (GW No.: 2-199)
mission_yearest	The year the mission was established.
mission_yearend	The year the mission was closed or terminated its mandate. If the mission was still active as of December 31, 2020, this field is indicated as ACTIVE.
mission_orgs	International or regional organisations involved in the mission. UN = UN only AU-UN = African Union and UN OAS-UN = Organization of American States and UN LAS-UN = League of Arab States and UN OPCW-UN = Organisation for the Prohibition of Chemical Weapons and UN
task_yearest	These rows (1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018,

	2019, 2020) form the "x-axis" against which we plot the establishment of *minimalist*, *moderate*, or *maximalist* tasks. 1991 refers to the period January 1 to December 31, 1991; while 2020 refers to the period January 1 to December 31, 2020.
Minimalist Tasks	Sub-category, not a variable: tasks in this *minimalist* sub-category reflect an approach that contents itself with absence of armed conflict and focuses on bringing about the conditions for negative peace.
min01: co-ordination of donors, partners, and UN agencies	Co-ordinating the efforts of international, national, local, or regional partners, funds, organisations etc., or co-ordinating the activities of UN agencies on the ground. This task includes the mobilisation of resources for the mission. It also includes facilitating information-sharing for the delivery of aid. 1 = Yes 0 = No
min02: demilitarization	This task includes the monitoring of troop withdrawal and the flow of arms, ensuring that specific zones are free from military persons, equipment, or activities, or the disarming of armed groups or the civilian population. 1 = Yes 0 = No
min03: demining	Leading mine action, which can include implementing demining programmes and running mine awareness workshops. 1 = Yes 0 = No
min04: elimination of chemical weapons programme	Eliminating a state's chemical weapons programme. 1 = Yes 0 = No
min05: good offices and mediation (track 1)[2]	Carrying out political diplomatic functions in support of the peace process, especially through the activities of an appointed Special Representative or Special Envoy. 1 = Yes 0 = No
min06: humanitarian assistance	Providing humanitarian assistance or supporting humanitarian assistance efforts undertaken by other entities. Tasks that fall under "humanitarian assistance" also include designing multi-dimensional programmes that include humanitarian assistance. 1 = Yes 0 = No

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[2] Good offices and mediation often go beyond attempts to stop conflict violence by assisting the parties to find a mutual acceptable agreement on other issues, including a political transition, reconstruction, and ways of dealing with the past. Yet, we log it as a minimalist task because the overarching objective and most pressing issue remains to stop the violence.

min07: OMR military	Observing, monitoring, or reporting on ceasefire arrangements, withdrawals, or demilitarisation. This category includes supporting verification arrangements, border control, the investigation of ceasefire violations, the containment of armed violence, and liaison efforts between all sides of the conflict. 1 = Yes 0 = No
min08: OMR police	Observing, monitoring, or reporting on the activities of the local police and ensuring best practices. This includes ensuring the neutrality of local police and advising police authorities, as well as the performance of policing tasks. 1 = Yes 0 = No
min09: refugee and IDP assistance	Assisting with the return of refugees and internally displaced persons. 1 = Yes 0 = No
min10: SALW	Monitoring the illicit flow of small arms and light weapons (SALW). "SALW" should be explicitly mentioned in the mandate for this task to be coded. 1 = Yes 0 = No
min11: secure environment for delivery of aid	Maintaining a secure environment for the delivery of aid and humanitarian assistance. 1 = Yes 0 = No
Moderate Tasks	Sub-category, not a variable: Tasks in this *moderate* sub-category reflect an approach that aims at no renewed armed conflict and decent governance without specifying the governance model.
mod01: conflict assessment and early warning	Developing a system for forecasting the outbreak of armed conflict, or at a minimum, undertaking efforts to detect a potential escalation of violence at an early stage and putting in place measures to prevent it. 1 = Yes 0 = No
mod02: DDR	Supporting national institutions in disarmament, demobilisation and reintegration (DDR). Tasks that fall under "DDR" include the repatriation of foreign fighters. 1 = Yes 0 = No
mod03: electoral assistance	Providing electoral assistance for referenda or elections. This can include supervising elections, organising the process, and/or implementing voter education campaigns.

	1 = Yes 0 = No
mod04: electoral security	Ensuring a secure environment for the conduct of elections. 1 = Yes 0 = No
mod05: information campaigns	Disseminating information on the UN role in the peace process or UN mission objectives and mission mandates, the importance of civil society participation, human rights, etc. 1 = Yes 0 = No
mod06: monitoring/investigating IHL/IHRL violations	Monitoring or investigating violations of international humanitarian law (IHL) or international human rights law (IHRL). 1 = Yes 0 = No
mod07: recovery, rehabilitation and reconstruction	Supporting short-term economic recovery and rehabilitation through the reconstruction of critical infrastructure. 1 = Yes 0 = No
mod08: support to international criminal justice	Supporting international justice, especially ensuring accountability for war crimes. 1 = Yes 0 = No
mod09: POC children	Protecting children from abductions, trafficking, military recruitment and any other forms of exploitation and harm. 1 = Yes 0 = No
mod10: POC conflict-related sexual violence	Protecting vulnerable members of the civilian population (especially women and children) from conflict-related sexual violence, and to respond to such threats. 1 = Yes 0 = No
mod11: POC general	Preventing or responding to threats of physical violence against civilians without prejudice to the responsibility of the host government. 1 = Yes 0 = No
Maximalist Tasks	Sub-category, not a variable: Tasks in this *maximalist* sub-category reflect an approach that seeks to address root causes and that aims at building the conditions for positive (and often liberal) peace.
max01: civil society capacity building	Developing civil society capacity and fostering civil society engagement, especially by working with local organisations and rights groups on various issues.

	1 = Yes
	0 = No
max02: dialogue and reconciliation (local)	Fostering unity about key political issues at a local and community level. The word "local" must be used with regard to dialogue and reconciliation in order for a task to be coded under this variable. 1 = Yes 0 = No
max03: dialogue and reconciliation (national)	Fostering unity about key political issues at a national level. 1 = Yes 0 = No
max04: dialogue and reconciliation (regional)	Fostering unity about key political issues at a sub-national (=regional) level. This disaggregation is particularly important to analyse cases where conflict is localised in specific regions of the country. 1 = Yes 0 = No
max05: economic reforms	Supporting the government in long-term economic reform. 1 = Yes 0 = No
max06: good governance	Ensuring transparency, accountability and effectiveness in the management of a country's affairs at all levels, including natural resource management. 1 = Yes 0 = No
max07: human rights promotion	Upholding a human rights mandate. This includes emphasising human rights within national institutions and human rights advocacy. 1 = Yes 0 = No
max08: IB transitional state institutions	Institution building (IB) through support of the transitional authority or the interim administration. Tasks under "IB transitional state institutions" include instances where the UN takes over the state administration and acts as the transitional authority during the period between the signing of the peace agreement and the election of an official government. 1 = Yes 0 = No
max09: promotion of independent media	Promoting the establishment of an independent media. 1 = Yes 0 = No
max10: ROL judicial reform	Upholding the rule of law (ROL) through the fostering of effective, inclusive and accountable justice systems. 1 = Yes

	0 = No
max11: ROL legal reform	Upholding the rule of law (ROL) through the strengthening of the state's legislative framework. 1 = Yes 0 = No
max12: ROL penal system reform	Upholding the rule of law (ROL) by supporting national prison authorities in improving prison infrastructure and enhancing the professionalism and accountability of corrections staff and systems. 1 = Yes 0 = No
max13: sexual- and gender-based violence	Preventing or responding to sexual- and gender-based violence generally, and not limited to sexual violence resulting from the conflict. 1 = Yes 0 = No
max14: SSR military	Supporting security sector reform (SSR) of national armed forces. 1 = Yes 0 = No
max15: SSR police	Supporting security sector reform (SSR) of the police. 1 = Yes 0 = No
max16: support to permanent state administration	Supporting permanent state institutions. This includes extension of state authority throughout the territory of a country as well as strengthening its democratic institutions and constitutional reform. 1 = Yes 0 = No
max17: transitional justice	Promoting and supporting transitional justice, which includes enabling access to justice via an effective and accessible judicial system in situations where crimes and human rights violations cannot be dealt with solely through national authorities. 1 = Yes 0 = No
max18: women's rights and participation	Promoting women's rights with an emphasis on the participation of women in the peace process and ensuing institutions. 1 = Yes 0 = No
ocat_all	Sub-category, not a variable: Data in this sub-category concerns overarching mandate tasks that relate to the character of the mission and can influence multiple mandate tasks within a given mission.

ocat01: use of force	Mission is authorised by the Security Council to use force if acting in self-defence or in defence of the mandate. There should be reference to Chapter VII, and language in the relevant UNSC resolution that the mission is authorised to use all necessary means to enforce or implement its mandate. 1 = Yes 0 = No
ymintasks_if	Formula in cell. 1 = minimalist tasks were established in the year 0 = no minimalist tasks were established in the year
ymodtasks_if	Formula in cell. 1 = moderate tasks were established in the year 0 = no moderate tasks were established in the year
ymaxtasks_if	Formula in cell. 1 = maximalist tasks were established in the year 0 = no maximalist tasks were established in the year
ymintasks_no	Formula in cell. This cell calculates the number of minimalist tasks established for the year.
ymodtasks_no	Formula in cell. This cell calculates the number of moderate tasks established for the year.
ymaxtasks_no	Formula in cell. This cell calculates the number of maximalist tasks established for the year.
ytasks_total	Formula in cell. This cell calculates the total number of tasks established for the year.
mission_class	Overall classification of mission as Minimalist, Moderate or Maximalist. The overall classification score of a mission is calculated according to the formula outlined in Section IV. Minimalist: $0 > score \leq 1.0$ Moderate: $1.0 > score \leq 2.0$ Maximalist: $2.0 < score \leq 3.0$
mcode_stat	1 = this mission's mandate is coded in the dataset 0 = this mission's mandate is not coded in the dataset
version	Data version. In this case, 1.2.

III.   Notes on missions

For more detailed notes on each mission, please download the UNPMM-UI codebook.

IV.   Mission classification formula

A formula is used to determine the classification of a mission as *Minimalist*, *Moderate*, or *Maximalist*. Each *minimalist*, *moderate*, and *maximalist* task is assigned a weight of 1, 2, and 3 respectively. The weighted sum total is then divided by the total number of tasks assigned for the mission, resulting in a score between 1.0 and 3.0.

$$\text{Overall Mission Classification Score} = \frac{(\text{No. of } minimalist \text{ tasks} * 1) + (\text{No. of } moderate \text{ tasks} * 2) + (\text{No. of } maximalist \text{ tasks} * 3)}{\text{Total no. of mission tasks}}$$

The classification criteria is as follows:

Score	Overall Mission Classification
0 > score ≤ 1.0	*Minimalist*
1.0 > score ≤ 2.0	*Moderate*
2.0 < score ≤ 3.0	*Maximalist*

V.   Key data sources

- https://peacekeeping.un.org/en
- https://peacekeeping.un.org/en/list-of-past-peacekeeping-operations
- https://dppa.un.org/en/past-missions
- https://www.un.org/securitycouncil/file/122688
- https://www.unmissions.org
- https://www.securitycouncilreport.org
- https://undocs.org