

Regulatory information

(FinSA client information)

Based on the legal requirements of Art. 8 of the Swiss Financial Services Act (**FinSA**), we supply you with this information sheet, which provides an overview of Richfox Capital Investment Management AG (**Richfox Capital**) and its services.

1. Company information

- **Address**

Richfox Capital Investment Management AG
Talstrasse 83
8001 Zürich
Switzerland
Email: operations@richfox.com
Website: www.richfox.com

The company was established in 2022.

- **Supervisory authority and audit firm**

Richfox Capital has been licensed as a manager of collective assets in accordance with the Swiss Financial Market Supervision Act (**FinIA**) since 2023 and is therefore subject to the prudential supervision of the Swiss Financial Market Supervisory Authority (**FINMA**). As part of this supervision, Richfox Capital is audited by BDO AG (**Auditor**) both in terms of regulatory law and obligations. The addresses of FINMA and the Auditor can be found below.

FINMA

Eidgenössische Finanzmarktaufsicht FINMA
Laupenstrasse 27
3003 Bern
Phone: +41 31 327 91 00
Email: info@finma.ch
Website: www.finma.ch

Auditor

BDO AG
Schiffbaustrasse 2
8031 Zürich
Phone: +41 44 444 35 55
Email: info@bdo.ch
Website: www.bdo.ch

2. Information on the financial services offered

Richfox Capital provides financial services in foreign collective investment schemes. For further information on the various collective investment schemes, the general risks, specifications, and operating procedures, please refer to the relevant fund prospectus and related documents (the **Fund Documents**) and factsheets on this website.

Richfox Capital does not guarantee any yield or performance of investment activities. The investment activity can therefore lead to appreciation or depreciation.

Richfox Capital has all the necessary licenses to perform the services described above.

3. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code of conduct. FinSA provides for retail clients, professional clients and institutional clients' segments. For each client, client classification is determined within the framework of cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting out.

Richfox Capital provides services only to qualified, professional and institutional investors.

4. Information on risks and costs

- General risks associated with financial instrument transactions**

Asset management services involve financial risks. Richfox Capital shall provide all clients with the *Risks associated with Financial Instruments Transactions* brochure before the execution of the contract. This brochure can be found at www.swissbanking.ch.

Clients may contact Richfox Capital in case of any further questions.

- Risks associated with the services offered**

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant Fund Documents.

- Information on costs**

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis.

For more detailed information, please refer to the relevant Fund Documents.

5. Information about relationships with third parties

In connection with the financial services offered by Richfox Capital, economic ties may exist with third parties. The acceptance of payments from third parties, as well as their treatment, is regulated in detail and comprehensively in the respective Fund Documents.

6. Information on the market offer considered

Richfox Capital follows an open universe approach and tries to make the best possible choice for the funds when selecting financial instruments for the portfolios.

Richfox Capital's own collective investments can, where appropriate, be used across the fund portfolios.