

# An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

## ABSTRACT

Quantum computers promise to solve computational problems that are intractable for classical systems, but their inherent sensitivity to noise and decoherence can render the results of any quantum computation useless. Without mitigation, small errors can accumulate, leading to the corruption of quantum information and failure of computations. Fault-tolerant quantum computation is a framework that provides us with tools to reduce the error in any operation performed by a Quantum Computers. This paper presents an overview of fault-tolerant quantum computers, beginning with the foundational principles of quantum information and quantum error correction. We explore the mathematical structure of noise models, introduce quantum error correction codes such as the three-qubit bit-flip code, and discuss techniques like syndrome measurement and error detection. We then develop the framework of fault tolerance, highlighting the role of transversal gates and the threshold theorem, which ensures that reliable computation is possible if the physical error rate is below a certain threshold. Finally, we outline current challenges in reducing overhead and improving error correction efficiency, pointing to future directions in the pursuit of scalable and reliable quantum technologies. This paper provides a comprehensive foundation in fault-tolerant quantum computation while offering insights into the future directions of this field.

## KEYWORDS

Physics and Astronomy; Theoretical, Computational and Quantum Physics; Fault-Tolerant Quantum Computation; Quantum Error Correction, Surface Code; Syndrome Measurement; Threshold Theorem; Quantum Decoherence; Logical Qubits; Transversal Gates

## 1. INTRODUCTION

Large-scale quantum computing is fundamentally limited by the fragility of quantum systems, where errors arise due to interactions with the environment, control imperfections, and decoherence. [15][13] These errors, which manifest as bit-flip errors, phase-flip errors, or a combination of both, can corrupt quantum information and compromise the accuracy of results. [18][4] Without ways to manage these errors, quantum algorithms fail to

produce reliable results, making it impossible to scale quantum systems beyond a few qubits. [16]

To address these challenges, quantum error correction (otherwise known as QEC) provides a framework to protect quantum information by encoding a logical qubit into multiple physical qubits. [8][4] QEC techniques, such as the three-qubit bit-flip code or the surface code, identify and correct errors through syndrome measurements, which detect the presence and type of errors without collapsing the quantum state. [3][6] However, error correction alone is insufficient. Without additional safeguards, error correction circuits themselves can introduce new errors, potentially rendering the error correction process useless. [11]

Fault-tolerant quantum computation (which will hereby be referred to as FTQC) extends QEC by ensuring that errors do not spread uncontrollably through a quantum system. [9][15] Fault-tolerant protocols, such as transversal gates that prevent error propagation and magic state distillation that enables the implementation of non-Clifford gates, are critical for preserving the integrity of quantum operations. [2][17] The threshold theorem guarantees that if the physical error rate is kept below a critical value, fault-tolerant protocols can reduce errors to very low levels, enabling reliable quantum computation over long durations. [1]

As quantum hardware progresses beyond the limitations of noisy intermediate-scale quantum (NISQ) devices, achieving FTQC requires the development of architectures that integrate error correction with fault-tolerant protocols. [16] This paper provides an overview of FTQC, beginning with the mathematical foundations of QEC, followed by an exploration of fault-tolerant techniques and their role in building scalable quantum systems.

## **2. LITERATURE REVIEW**

QEC emerged as a response to the inherent fragility of quantum information. Early schemes like the Shor code [18] and the stabilizer formalism developed by Gottesman [8] laid the foundation for encoding logical qubits into entangled states of multiple physical qubits. These encodings allow errors to be detected and corrected through syndrome measurements without disturbing the encoded information.

Topological codes, especially the surface code introduced by Dennis et al. [3], became a leading approach due to their high error thresholds and compatibility with 2D qubit architectures. Fowler et al. [6] expanded this work with practical implementations, establishing the surface code as a viable candidate for scalable quantum computing.

To support reliable quantum operations, fault-tolerant protocols are essential. Aharonov and Ben-Or [1] and

Gottesman [9] introduced the threshold theorem, showing that errors can be suppressed below any desired level if the physical error rate is beneath a critical threshold. However, achieving universal computation requires non-Clifford gates, which are not fault-tolerantly implementable through transversal means. Bravyi and Kitaev [2] addressed this limitation through magic state distillation, enabling universal gate sets within a fault-tolerant framework.

Efforts to reduce the overhead of fault tolerance have explored alternative error-correcting codes. Subsystem codes, [14] bosonic codes, [12] and hardware-specific models [7] offer more efficient schemes under realistic noise. Despite these advances, challenges remain in lowering qubit requirements and developing fault-tolerant architectures that can operate under experimental noise conditions.

### **3. QUANTUM NOISE AND DECOHERENCE**

#### **3.1 Introduction to Quantum Noise**

Quantum systems, as we have already mentioned, are inherently fragile. Unlike their classical counterparts, where copying and redundancy can be used to mitigate errors, quantum systems are subject to noise arising from interactions with their environment. These disturbances lead to decoherence, which is the gradual destruction of quantum superposition and entanglement. Understanding the origins and modelling of quantum noise is essential to the development of FTQC, where errors must be corrected without disturbing the logical state of the system.

Noise in quantum systems arises because no quantum system is perfectly isolated. Superconducting circuits, trapped ions and spin systems are all inevitably coupled to their surrounding environment. These interactions can cause uncontrolled evolution of a quantum state, which causes phenomena such as amplitude damping, phase damping or random bit flips. Unlike classical errors, quantum errors may involve arbitrary rotations in Hilbert space. Moreover, due to the no-cloning theorem, quantum information cannot be copied and restored from backups. Therefore, the noise within the system must be addressed by embedding the quantum information into larger systems and detecting errors indirectly, without observing the logical qubit itself. To model this, we need to turn to the formalism of quantum channels, which provides us with a mathematical description of noisy evolutions using the operator-sum representation.

#### **3.2 Quantum Channels and Kraus Operators**

A quantum channel is a completely positive, trace-preserving (CPTP) linear map. A CPTP map is a mathematical operation that describes the most general, physically allowed transformation of a quantum state, ensuring that it remains a valid density matrix even when extended to larger entangled systems. In this case, a Quantum Channel can be described as:

$$\varepsilon: \mathfrak{B}(\mathcal{H}) \rightarrow \mathfrak{B}(\mathcal{H}),$$

where  $\mathfrak{B}(\mathcal{H})$  denotes the space of bounded operators on the Hilbert space  $\mathcal{H}$ . Physically, this map takes a density matrix  $\rho$  representing the state of a quantum system and maps it to another valid density matrix  $\varepsilon(\rho)$ , accounting for the possibilities of external interaction from the environment.

For any quantum channel, three mathematical conditions must be fulfilled. Firstly, we have the condition of linearity:

$$\varepsilon(a\rho + b\sigma) = a\varepsilon(\rho) + b\varepsilon(\sigma), \text{ for any scalars } a, b \in \mathbb{C}$$

This means that if the input to a quantum channel is a linear combination of density matrices, then the output is also the linear combination of their individual outputs. Secondly, we have the condition of Trace Preservation:

$$\text{Tr}(\varepsilon(\rho)) = \text{Tr}(\rho)$$

This means that the trace of the density matrix is always one, which indicates that the total probability is 1 if the state is a pure state. If an operation changed the trace, it would mean that probability is either lost or created, which causes the value to be lesser than or more than 1, which is non-physical. Lastly, we have the condition of complete positivity:

$$\forall \rho_{AR} \geq 0, (\varepsilon \otimes I_n)(\rho_{AR}) \geq 0$$

This can seem particularly intimidating to understand, but this describes two entangled systems, A and R (of dimension  $n$ ). The map  $\varepsilon$  is applied only to A and not R. Since this system is entangled, the resulting joint state must still be a valid quantum state. The resulting joint state must remain positive semidefinite. If a map is not completely positive, applying it to part of an entangled state could potentially produce negative eigenvalues, which can result in negative probabilities. For instance, consider the matrix transpose map, defined as  $\varepsilon(\rho) = \rho^T$ . When applied to a non-entangled density matrix, the transpose operation preserves positivity and appears harmless.

However, it is not completely positive. If we apply  $\varepsilon \otimes I$  to one half of a maximally entangled Bell state, the resulting joint state is no longer positive semidefinite, and it acquires negative eigenvalues.

A very popular representation of a quantum channel is its operator-sum decomposition, otherwise known as the Kraus representation. Any CPTP map can be written as:

$$\varepsilon(\rho) = \sum_k E_k \rho E_k^\dagger$$

where the operators  $E_k$  are Kraus Operators, which satisfy the completeness condition:

$$I = \sum_k E_k E_k^\dagger$$

This ensures that the total transformation preserves the trace of  $\rho$ . Each Kraus operator can be interpreted as corresponding to a possible transformation the system undergoes due to the interaction with the environment. Since we do not observe the environment, the final state is a weighted mixture of these outcomes.

### 3.3 Bit Flip Channel

One of the simplest and most instructive examples of a quantum noise model would be the bit flip channel. It describes a situation in which a qubit has some probability  $p$  of undergoing a Pauli-X operation, and a  $1 - p$  probability of remaining unchanged. The channel is defined as:

$$\varepsilon_{bit\ flip}(\rho) = (1 - p)\rho + pX\rho X$$

Alternatively, in Kraus form, it can be represented as:

$$\varepsilon(p) = E_0 \rho E_0^\dagger + E_1 \rho E_1^\dagger, \text{ where } E_0 = \sqrt{1 - p} \cdot I \text{ and } E_1 = \sqrt{p} \cdot X$$

The bit flip channel captures the quantum take on a classical bit error, but unlike in the classical case, such noise affects superpositions as well. In FTQC, this bit flip channel serves as a foundational example in the construction of quantum error correcting codes. The three-qubit repetition code, as discussed in the next chapter, is designed to detect and correct such errors using redundancy and syndrome measurements.

## 4. BASICS OF QUANTUM ERROR CORRECTION

## 4.1 Introduction to Stabiliser Codes

Quantum Error Correction allows us to detect and correct errors that result from environmental interference. This is done by encoding a single logical qubit into multiple physical qubits in a way that allows error detection and correction through carefully chosen measurements. Here, we will introduce the foundations of QEC through the framework of stabiliser codes.

Stabiliser operators are operators that do nothing to a quantum state. In the given example:

$$S|\psi\rangle = |\psi\rangle.$$

We can say that  $S$  stabilises the state  $|\psi\rangle$ , and that the state provides a +1 eigenvalue of the operator  $S$ . Stabiliser operators are commonly made from Pauli Matrices.

We can take the tensor products of these to get operators that can be applied to multiple qubits. For instance  $Z_1Z_2 = Z \otimes Z \otimes I$ , or  $X_1X_3 = X \otimes I \otimes X$ . (In this paper, we will be dealing with and simulating purely 3-qubit systems. As such, the aforementioned notation exclusively describes a 3 qubit system.)

In stabiliser quantum error correction, we define a code space by specifying a set of operators that leave the valid quantum states unchanged. These operators form what is called a stabiliser group, usually denoted  $S$ , and are chosen from the Pauli group over  $n$  qubits:

$$P_n = \{\pm 1, \pm i\} \cdot \{I, X, Y, Z\}^{\otimes n}.$$

The definition of the code space  $C$  can be formally denoted as such:

$$C = \{|\psi\rangle \in \mathbb{C}^{2^n} \mid S|\psi\rangle = |\psi\rangle \text{ for all } S \in \mathbf{S}\}.$$

This essentially means that the code space  $C$  is the set of all quantum states  $|\psi\rangle$  in the  $n$ -qubit Hilbert space such that each Stabiliser leaves  $|\psi\rangle$  unchanged.

Now, suppose some error operator  $E \in P_n$  acts on a valid codeword  $|\psi\rangle \in C$ . The resulting state is  $E|\psi\rangle$  which may or may not lie in the code space anymore. If we add a stabiliser to this new state:

$$S(E|\psi\rangle) = \begin{cases} +E|\psi\rangle & \text{if } [S, E] = 0 \text{ (they commute)} \\ -E|\psi\rangle & \text{if } \{S, E\} = 0 \text{ (they anti-commute)} \end{cases}$$

If  $E$  commutes with all stabilisers: Then  $E|\psi\rangle$  is still stabilised by  $S$ , meaning the error is undetectable by the stabiliser measurements. These types of errors are either trivial or logical operations: they map one valid codeword to another in the code space. If  $E$  anti-commutes with at least one stabiliser: Then the state  $E|\psi\rangle$  is no longer stabilised by that operator. Measuring that stabiliser will return -1 instead of +1, flagging the error. This flips the syndrome associated with the codeword. The stabiliser acts like a signature of what error occurred, allowing the system to infer what went wrong without measuring or collapsing the encoded quantum state.

A stabiliser code is often described by 3 numbers:  $n$ , the number of physical qubits,  $k$ , the number of logical qubits encoded, and  $d$ , the minimum number of qubit errors needed to confuse one code word with another. One example of this would be the Steane code, which can be represented as  $[[7, 1, 3]]$ , which can be used to correct one arbitrary qubit error.

## 4.2 The Three-Qubit Bit-Flip Code

We will now examine the three-qubit bit-flip code as a simple yet illustrative example of a stabiliser code. The three-qubit bit-flip code protects a single logical qubit from a single bit-flip error by encoding it into three physical qubits using repetition. Despite its simplicity, the three-qubit code demonstrates the fundamental principles of quantum error correction.

Given a logical qubit:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle,$$

the encoded state is:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

The state stores the logical value, and all 3 qubits are in agreement. A bit flip on any of the qubits can take the state out of the code space:

$$X_1|\psi\rangle_L = \alpha|100\rangle + \beta|011\rangle$$

$$X_2|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle$$

$$X_3|\psi\rangle_L = \alpha|001\rangle + \beta|110\rangle$$

In each case, the state becomes orthogonal to the original code space, but it remains distinguishable due to the specific error pattern. We can utilise this to detect and correct the error using syndrome measurements. As a stabiliser code, this code is defined by 2 generators:

$$S_1 = Z_1Z_2, \quad S_2 = Z_2Z_3$$

The code space is the +1 eigenspace of both stabilisers. Any bit-flip error will anticommute with one or both stabilisers, which can cause one or both syndrome values to flip to -1.

### 4.3 Basic Syndrome Measurement and Error Correction

To identify and correct errors, we perform syndrome measurements, which involve measuring the stabiliser operators without causing a collapse of the encoded quantum information.

Firstly, we shall go over Syndrome Extraction. We measure  $S_1 = Z_1Z_2$  and  $S_2 = Z_2Z_3$ . These two observables commute with each other and with the encoded state so their measurement outcomes reveal information about where a bit-flip could have occurred, but not about the encoded probability amplitudes. Using the previous example:

Error	$S_1 = Z_1Z_2$	$S_2 = Z_2Z_3$	Syndrome
No Error	+1	+1	(0,0)
Flip on Qubit 1	-1	+1	(1,0)
Flip on Qubit 2	-1	-1	(1,1)
Flip on Qubit 3	+1	-1	(0,1)

Table 1: Syndrome Extraction Table

Once the error is located via the syndrome, we apply the corresponding correction, which can be determined from the above table (Table 1). This procedure restores the state to the original codeword. The entire process can be

repeated continuously to protect quantum information over time. Note that this code can only correct a single bit-flip error. This limitation reflects the code's distance of 3.

#### 4.4 The Role of Ancillas in Syndrome Measurement

In quantum error correction, ancilla qubits play a vital role in non-destructively extracting error information from a quantum system. When measuring stabilizer operators, it is crucial to avoid collapsing or disturbing the encoded logical qubit. Directly measuring the data qubits would collapse their superposition and destroy the encoded quantum information. Ancilla qubits resolve this problem by acting as intermediaries. They are entangled with pairs of data qubits using a series of CNOT gates, in such a way that the parity of the data qubits is mapped onto the ancilla's state. The ancilla is then measured, revealing the syndrome without disturbing the logical state.

### 5. FAULT-TOLERANT QUANTUM COMPUTATION

#### 5.1 Error Propagation and Catastrophic Failures

In classical circuits, errors can often be localised. However, quantum gates (particularly multi-qubit systems) can cause error propagation, which is when errors spread to multiple qubits in a single operation. This can often compromise the integrity of large amounts of quantum information, as even a single fault can corrupt many qubits.

Let us consider a CNOT gate acting on two qubits. If the control qubit has an  $X$  error, it remains on the control and the error propagates to the target:

$$(X \otimes I)CNOT = CNOT(X \otimes X)$$

If the target qubit has a  $Z$  error, it can actually propagate back to the control qubit, causing errors to affect the entire system:

$$(I \otimes Z)CNOT = CNOT(Z \otimes Z)$$

This illustrates how error propagation may take place in a quantum system. Let us now consider a new situation where we have encoded a logical qubit using a stabiliser code that can correct one error per block (which is a group consisting of a logical qubit and the physical qubits that protect it). Suppose we apply a non-fault tolerant CNOT gate between two of such blocks, and a single-qubit error occurs. If this error propagates into 2 errors within one code block, then the QEC code fails to correct it as it exceeds the error correction capacity of one error. This is what is known as Catastrophic Failure. A more general description of Catastrophic Failures would be: a

failure that occurs when multiple gates are applied across qubits in a block, and no mechanism is in place to limit the spread of errors, causing a single hardware fault to potentially invalidate the entire computation.

## 5.2 Transversal Gates and Error Suppression

A key tool in FTQC is the use of Transversal Gates. These gates are used to localise errors, preventing the spread of a single physical error into multiple qubits within the same block, maintaining the integrity of the computation.

A transversal gate is one that applies operations independently and in parallel across corresponding qubits in different code blocks. Formally, a gate  $U$  is transversal if it can be written as the tensor product of single-qubit gates:

$$U = U_1 \otimes U_2 \otimes U_3 \dots \otimes U_n,$$

where  $U_i$  acts on the  $i$ th physical qubit of a code block. If two blocks each encode a logical qubit across  $n$  physical qubits, a transversal implementation of a logical two-qubit gate, such as the logical CNOT, consists of applying CNOT gates independently between the corresponding physical qubits of the two blocks. The logical CNOT can be described as:

$$CNOT_L = CNOT_1 \otimes CNOT_2 \otimes CNOT_3 \dots \otimes CNOT_n,$$

where each CNOT acts between the  $i$ -th qubit of the control block and the  $i$ -th qubit of the target block.

## 5.3 The Threshold Theorem

The Threshold Theorem is the central theoretical result behind the entirety of FTQC. It states that if the physical error rate per operation  $p$  is below a certain threshold  $p_{th}$ , then arbitrarily long quantum computations can be performed reliably, with overhead that grows only polylogarithmically in the circuit size. Generally,  $p_{th}$  is accepted to be around  $10^{-2}$  to  $10^{-4}$ , with more specific ranges set depending on the user and use case. If  $p < p_{th}$ , the quantum computations performed are still reliable and the quantum computer is scalable. If the condition fails, then computations cannot be reliably performed due to a significant possibility of error.

# 6. SIMULATION OF 3-QUBIT ERROR CORRECTION

## 6.1 Mathematical Proof of Error Correction in 3-Qubit System

Now, taking into consideration everything we have looked at so far, we will explore the propagation and correction of quantum errors within a 3-Qubit system, so that we can gain a better understanding of the tools used in building fault tolerant quantum computers.

Let us begin this demonstration by defining a single logical qubit in the superposition:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \text{ where } \alpha, \beta \in \mathbb{C} \text{ and } |\alpha|^2 + |\beta|^2 = 1.$$

This qubit is encoded into 3 physical qubits as such:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

Let us suppose a bit-flip error, represented by the Pauli-X operator, acts on the second qubit due to external environmental conditions. The erroneous state then becomes:

$$|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle.$$

Here, the second qubit has been flipped, moving the state outside of the protected code space. In order to detect the error, we measure stabiliser generators  $S_1 = Z_1 Z_2$  and  $S_2 = Z_2 Z_3$ . Measuring  $S_1$  yields an eigenvalue of -1, indicating that qubits 1 and 2 differ in value. Similarly, measuring  $S_2$  yields an eigenvalue of -1, indicating that qubits 2 and 3 differ in value. Therefore, the measured syndrome is:

$$(S_1, S_2) = (1, 1).$$

This identifies the error as a flip of qubit 2. We can then apply a corrective X gate to the second qubit.

$$X_2|\psi'\rangle = \alpha|000\rangle + \beta|111\rangle = |\psi\rangle_L.$$

The original state is thereby restored.

## 6.2 Computer Simulation of Error Correction in 3-Qubit System

Here is a simulation of a 3-Qubit bit-flip error, as well as the measurement and rectification of the error. This program was created using Qiskit, which is a Quantum Computing framework designed by IBM. The language utilised by this tool is Python. The code for the program can be found in the Appendix A.

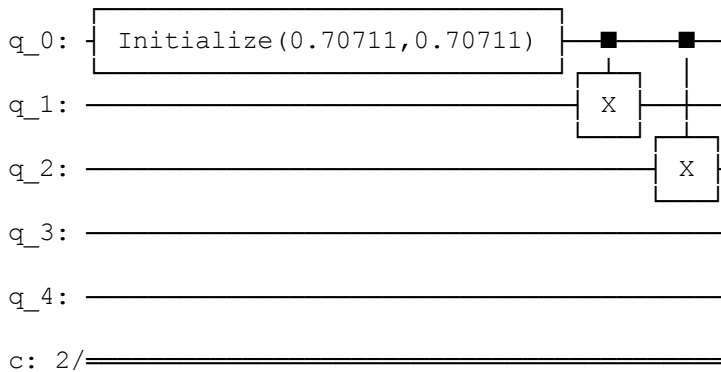
### 6.3 Computer Simulation Results

When run, this code will detail the state of the quantum system at every step throughout the process. The first useful result of the output would be the initialisation of the logical qubit :

```
Initializing logical qubit  $|\psi\rangle = 0.70711|0\rangle + 0.70711|1\rangle$ 
```

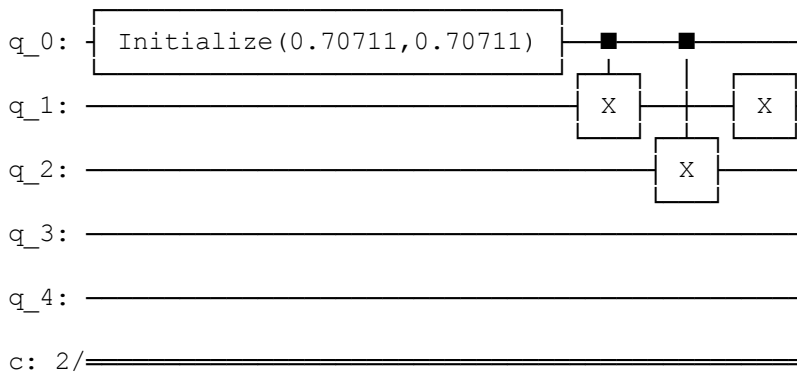
Upon the encoding of the logical qubit into three physical qubits, the circuit will be displayed as such:

Circuit after encoding:



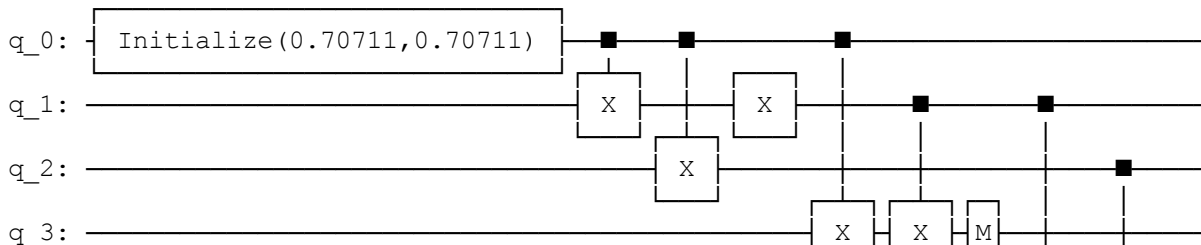
Upon the introduction of a bit-flip error on qubit 1, the second qubit, the state of the circuit will change to:

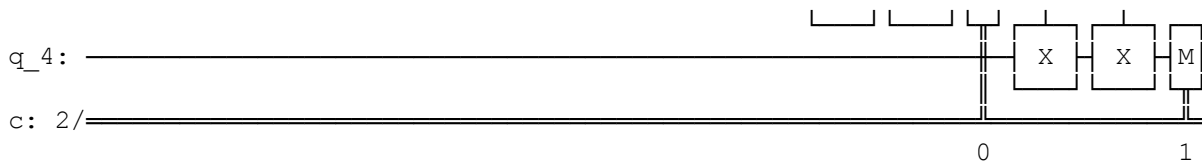
```
> Injecting X error on qubit 1 (q1)...
> Circuit after error injection:
```



Syndrome extraction is then performed, and the Z1Z2 and Z2Z3 stabilisers are measured, displaying the circuit:

```
> Circuit after syndrome extraction:
```



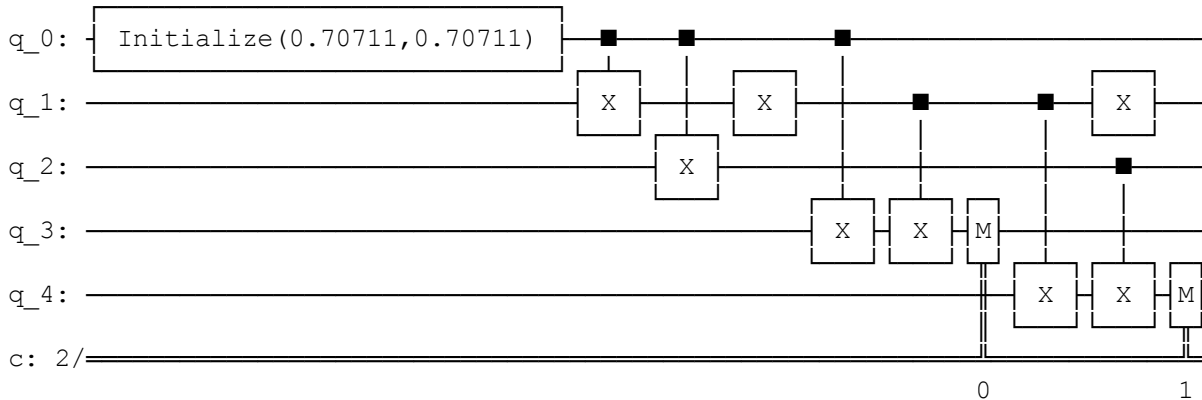


The syndrome measurement is then obtained, which allows the error correction method to be determined:

```
> Simulating syndrome measurement...
> Most common syndrome outcome: 11
> Detected X error on qubit 1 (q1). Applying correction...
```

The error correction technique is applied to the circuit, which is then printed as such:

```
> Full circuit after combining with correction:
```



This circuit shows the final circuit having been corrected, and the quantum information undisturbed.

## 7. RESULTS AND DISCUSSION

### 7.1 Reducing Overhead and Resource Costs

FTQC establishes the theoretical foundation for building reliable and scalable quantum computer systems in the presence of physical imperfections. However, realising FTQC in practice can yield significant challenges. One such challenge would be the immense overhead involved when implementing current error detection schemes. The encoding of a single logical qubit typically demands not just 3, but hundreds or thousands of physical qubits, especially when operating near the threshold error rates. [6] Each logical operation also incurs significant amounts of circuit depth due to the repeated cycles of error detection, syndrome extraction and state preparation. [4]

Reducing this overhead is an active field of research. Some of the common strategies include the design of more efficient quantum error-correcting codes, such as topological codes with low-weight stabilizers that reduce spatial and operational complexity. [10] On top of that, optimized concatenated code constructions which aim to minimize

the total number of required qubits can also be implemented. [11] Recent advances in fault-tolerant circuit synthesis also focus on reducing the cost of non-Clifford gate constructions, which is a major bottleneck for scalable quantum computing. Achieving low-overhead fault-tolerant architectures is essential for realizing practical, large-scale quantum devices.

## **7.2 Development of Efficient Decoders**

Another big challenge faced by FTQC is the development of scalable decoders. After syndrome extraction, the decoding algorithm must infer the likely error that occurred and prescribe the appropriate correction. For larger systems, decoding must be both fast enough to keep pace with hardware cycles and accurate enough to prevent logical errors. [5]

Traditionally practiced decoding methods perform well under simple noise models but can struggle under correlated or biased noise. [3] Emerging approaches leverage machine learning, including neural network-based decoders that can adapt to complex noise patterns and hardware-specific error profiles. [19] Other proposals investigate hardware-accelerated decoding, aiming to perform syndrome analysis and correction decision-making at cryogenic temperatures alongside the quantum processor. [20]

Efficient decoders must balance computational complexity with error-correction performance, ensuring that decoding does not become the new bottleneck as quantum systems scale.

## **7.3 Exploring Alternative Error Models and Codes**

Most early fault-tolerant schemes assume simplified noise models, such as independent and identically distributed (i.i.d.) bit-flip and phase-flip errors. However, actual quantum devices exhibit far more complicated error behaviors, including spatially and temporally correlated errors, non-Markovian effects, and leakage outside the computational subspace. [16]

To address these, researchers are investigating alternative error models and novel quantum codes tailored to specific noise environments. Subsystem codes [14], bosonic codes [12], and tailored surface code variants are some of the leading candidates for improving resilience against realistic hardware noise. At the same time, improved experimental noise characterization techniques are guiding the development of better theoretical models that more accurately capture error behavior. [7] Codes and protocols designed with realistic noise in mind

are expected to enhance the effectiveness of fault-tolerant architectures.

## 8. CONCLUSION

This paper has presented a basic overview of FTQC. Beginning with the framework for QEC, we demonstrated how codes such as the three-qubit bit-flip code serve as the first layer of defense against decoherence and physical noise. We then examined the necessity of fault tolerance in quantum computation. Fault tolerance, as we have observed, is not merely an enhancement to QEC, but a rigorous discipline that ensures quantum algorithms remain functional even when components of the quantum circuit fail. By studying stabilizer codes, transversal gate constructions, and the threshold theorem, we identified how carefully designed logical operations can prevent single-point failures from cascading across a system.

Despite the mathematical completeness of FTQC, there are still practical challenges that exist. High qubit overheads as well as slow and hardware-incompatible decoders hinder the deployment of FTQC on near-term devices. However, emerging approaches suggest a future where these limitations may be significantly mitigated, allowing for the construction of reliable large-scale quantum computer systems.

As quantum technologies advance beyond the NISQ era, FTQC will be indispensable. It does not only offer a path to preserving quantum information over long durations, but also ensures that the benefits of quantum computation can be scaled up safely and reliably. In this light, fault tolerance is the mechanism by which the promise of quantum computing becomes physically and practically realizable.

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## APPENDIX A

Qiskit 3-Qubit Bit Flip and Correction Simulation Code:

```
from qiskit import QuantumCircuit, transpile
from qiskit_aer import Aer
from qiskit.quantum_info import Statevector
import numpy as np

# --- Step 1: Initialize logical qubit ---
alpha = 1 / np.sqrt(2)
beta = 1 / np.sqrt(2)
```

```

print("> Initializing logical qubit  $|\psi\rangle = \{:.5f\}|0\rangle + \{:.5f\}|1\rangle".format(alpha, beta))
# 3 data qubits (q0, q1, q2), 2 ancilla qubits (q3, q4), 2 classical bits (c0, c1)
qc = QuantumCircuit(5, 2)

# --- Step 2: Encode the logical qubit into the 3-qubit repetition code ---
qc.initialize([alpha, beta], 0) # logical qubit on q0
qc.cx(0, 1)
qc.cx(0, 2)
print("\n> Circuit after encoding:")
print(qc.draw('text'))

# --- Step 3: Inject a bit-flip (X) error on one of the qubits ---
print("\n> Injecting X error on qubit 1 (q1)...")
qc.x(1)
print("\n> Circuit after error injection:")
print(qc.draw('text'))

# --- Step 4: Syndrome extraction using ancilla qubits (q3, q4) ---
# Measure Z0Z1 using q3
qc.cx(0, 3)
qc.cx(1, 3)
qc.measure(3, 0)
# Measure Z1Z2 using q4
qc.cx(1, 4)
qc.cx(2, 4)
qc.measure(4, 1)
print("\n> Circuit after syndrome extraction:")
print(qc.draw('text'))

# --- Step 5: Simulate the circuit and obtain syndrome ---
print("\n> Simulating syndrome measurement...")
backend = Aer.get_backend('qasm_simulator')
job = backend.run(transpile(qc, backend), shots=1024)
result = job.result()
counts = result.get_counts()
syndrome = max(counts, key=counts.get)
print("> Most common syndrome outcome:", syndrome)

# --- Step 6: Determine and apply correction based on syndrome ---
correction = QuantumCircuit(5)
if syndrome == '10':
    print("> Detected X error on qubit 0 (q0). Applying correction...")
    correction.x(0)
elif syndrome == '11':
    print("> Detected X error on qubit 1 (q1). Applying correction...")
    correction.x(1)
elif syndrome == '01':
    print("> Detected X error on qubit 2 (q2). Applying correction...")
    correction.x(2)
else: print("> No error detected. No correction applied.")
print("\n> Correction circuit:")
print(correction.draw('text'))

# --- Step 7: Combine full circuit with correction ---
full_circuit = qc.compose(correction)$ 
```

```
print("\n> Full circuit after combining with correction:")
print(full_circuit.draw('text'))

# --- Step 8: Simulate final statevector after correction ---
print("\n> Simulating final corrected statevector...")
state_backend = Aer.get_backend('statevector_simulator')
final_job = state_backend.run(transpile(full_circuit, state_backend))
final_result = final_job.result()
final_statevector = final_result.get_statevector()
print("\n> Final corrected statevector:")
print(final_statevector)
print("\n> Simulation complete. Logical qubit successfully protected and corrected.")
```

## AUTHORS

██████████ is an independent researcher with a strong interest in scalable quantum architectures. He has engaged with leading research institutions and is currently exploring the foundations of fault-tolerant quantum computation, with a focus on building reliable systems beyond the NISQ era.

# **Review: An Overview of Assuring Fault Tolerance in Quantum Computers Through Quantum Error Correction**

This manuscript is best understood and evaluated as a survey/review paper, rather than as a contribution proposing new theory or experimental results. Framed this way, it demonstrates several strengths that are commendable for an early-career author, while also revealing areas where alignment with standard academic review-paper conventions would significantly improve its clarity, rigor, and scholarly impact.

## **Strengths**

The paper provides a clear, structured overview of fault-tolerant quantum computation (FTQC), progressing logically from noise and decoherence, to quantum error correction (QEC), and finally to fault-tolerant protocols and open challenges. The author shows strong command of foundational concepts, including CPTP maps, Kraus operators, stabilizer codes, transversal gates, and the threshold theorem. The explanations are generally accurate, mathematically correct for a reader with some background in quantum mechanics.

As a review, the manuscript does an especially good job synthesizing well-established literature. The references include many canonical works in the field (Shor, Gottesman, Preskill, Fowler, Kitaev), and the literature review section correctly situates surface codes, magic state distillation, and decoder development within the broader FTQC landscape. The inclusion of a worked three-qubit bit-flip example and an accompanying Qiskit simulation is pedagogically valuable and appropriate for the intended audience.

## **Minor areas for improvement**

1. Clarify the paper's contribution and scope as a review: The author states that the paper presents a comprehensive foundation for FTQC; however, a short paragraph clarifying *what this review adds* (e.g., accessibility, unified presentation, or educational simulation) would better frame the paper.
2. Confusion regarding why physics and astronomy is a keyword as astronomy is not mentioned elsewhere in the paper. I suggest it is removed from the keywords.
3. Improve synthesis and comparison across approaches: While many techniques are described accurately, the paper often presents them sequentially rather than comparatively. Review papers typically highlight tradeoffs (e.g., surface codes vs. bosonic codes, transversal gates vs. magic state overhead, classical vs. ML-based decoders). Adding 1 or 2 explicit comparison tables or summary paragraphs would strengthen the review character of the work.

## **Recommendation**

**Revise and resubmit (minor revisions needed).** As a survey paper, this work has a solid technical foundation and demonstrates impressive engagement with the FTQC literature. With clearer positioning as a review, stronger synthesis across methods, and modest tightening of presentation, it could become a strong pedagogical and reference-style contribution suitable for a student-focused academic journal.

# Referee report: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author 100135 - Submission 100128

## 1 Summary and overview

The manuscript is clearly motivated: it explains how noise and decoherence make scalable quantum computing difficult, and why quantum error correction (QEC) and fault-tolerant quantum computation (FTQC) are essential. The organization is easy to follow, moving from background literature to noise models, QEC fundamentals, fault tolerance, and finally a short simulation. The use of the three-qubit bit-flip code is an effective choice for introducing stabilizers and syndrome measurement at an accessible level. The paper closes by illustrating the main ideas with a Qiskit-based circuit intended to demonstrate encoding, error detection, and correction.

## 2 Strong Points:

Strengths: Clear motivation, logical structure, appropriate example selection for beginners.

## 3 Major Issue:

1) Kraus representation has key mathematical mistakes

In the quantum channel section, the paper states the Kraus form and the “completeness condition,” but the trace-preserving condition is written incorrectly, and the bit-flip Kraus operators are also incorrect.

What to correct:

For a CPTP map:

$$\mathcal{E}(\rho) = \sum_k E_k \rho E_k^\dagger$$

Trace preservation requires:

$$\sum_k E_k^\dagger E_k = I$$

(not

$$\sum_k E_k E_k^\dagger = I$$

unless you're specifically discussing unital channels).

For the bit-flip channel, if you want Kraus operators:

$$E_0 = \sqrt{1-p}I, \quad E_1 = \sqrt{p}X$$

The paper currently uses factors like  $(1-p)$  and  $p$  without square roots, which breaks the completeness relation.

Why it matters: This is foundational—if these are wrong, readers can't trust later math.

## Minor issues

- **Typos / awkward phrasing:** a few sentences are hard to parse on first read. For example, wording like “decoding by encoding” should be rewritten more plainly.
- **Qubit labels:** the paper switches between different indexing conventions. Please stick to one (either qubits 0–2 everywhere or 1–3 everywhere) so the stabilizers and circuit match cleanly.
- **References:** the bibliography formatting is inconsistent (missing issue/page ranges in places, uneven style). Standardize all entries to the same format.
- **Tone:** a few phrases are conversational (e.g., “intimidating”). Consider slightly more neutral wording to match a journal-style overview.

## Suggestions to improve the paper

A short paragraph near the end of the introduction stating the paper's specific scope would make the roadmap even clearer (e.g., that the goal is an overview of QEC/FTQC with a simple worked example, rather than a full survey of modern codes). It would also help to define, in one or two sentences, what is meant by “fault tolerance” in practice (i.e., performing computation reliably even when gates and measurements are noisy, provided error rates are below a threshold).

The conclusion would be stronger if it briefly summarized the main technical takeaway in one sentence (noise  $\rightarrow$  error models  $\rightarrow$  syndrome extraction  $\rightarrow$  correction  $\rightarrow$  fault-tolerant overhead/threshold). It could also end with a concrete “next step” for readers, such as extending the example from a bit-flip code to a code that also protects against phase errors (e.g., Shor or Steane) or discussing

how surface codes and decoding relate to current hardware. The conclusion has no references; therefore not connecting the conclusion with the literature. The conclusion would benefit from explicitly tying the final takeaways with existing literature to reinforce the context and support the closing claims.

- **Add one short “Quantum basics” bridge.** Before introducing Kraus operators, add a short section (about half a page) that defines:
  - qubit state vs. density matrix (pure vs. mixed),
  - what Pauli  $X, Y, Z$  do physically,
  - why measuring stabilizers does not reveal  $\alpha, \beta$ .

This will reduce the “math jump” between Sections 3–4.

- **Clarify what the 3-qubit code can/can’t do.** Explicitly state that:
  - it corrects one bit flip ( $X$  error),
  - it does not correct phase flips ( $Z$  errors),
  - for arbitrary errors one needs more powerful codes (e.g., Shor/Steane/surface code).
- **Mention the Eastin–Knill limitation (even briefly).** Since transversal gates and magic states are discussed, add a sentence such as:

“No quantum error-correcting code has a universal set of transversal gates (Eastin–Knill), which is why magic-state methods are needed for universality.”

## 4 Referee Final Comment:

The paper needs to be revised according to the above in order to be accepted.

# An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

## ABSTRACT

Quantum computers promise to solve computational problems that are intractable for classical systems, but their inherent sensitivity to noise and decoherence can render the results of any quantum computation useless. Without mitigation, small errors can accumulate, leading to the corruption of quantum information and failure of computations. Fault-tolerant quantum computation is a framework that provides us with tools to reduce the error in any operation performed by a Quantum Computers. This paper presents an overview of fault-tolerant quantum computers, beginning with the foundational principles of quantum information and quantum error correction. We explore the mathematical structure of noise models, introduce quantum error correction codes such as the three-qubit bit-flip code, and discuss techniques like syndrome measurement and error detection. We then develop the framework of fault tolerance, highlighting the role of transversal gates and the threshold theorem, which ensures that reliable computation is possible if the physical error rate is below a certain threshold. Finally, we outline current challenges in reducing overhead and improving error correction efficiency, pointing to future directions in the pursuit of scalable and reliable quantum technologies. This paper provides a comprehensive foundation in fault-tolerant quantum computation while offering insights into the future directions of this field.

## KEYWORDS

Physics; Theoretical, Computational and Quantum Physics; Fault-Tolerant Quantum Computation; Quantum Error Correction, Surface Code; Syndrome Measurement; Threshold Theorem; Quantum Decoherence; Logical Qubits; Transversal Gates

## 1. INTRODUCTION

Large-scale quantum computing is fundamentally limited by the fragility of quantum systems, where errors arise due to interactions with the environment, control imperfections, and decoherence. [15][13] These errors, which manifest as bit-flip errors, phase-flip errors, or a combination of both, can corrupt quantum information and compromise the accuracy of results. [18][4] Without ways to manage these errors, quantum algorithms fail to

produce reliable results, making it impossible to scale quantum systems beyond a few qubits. [16]

To address these challenges, quantum error correction (otherwise known as QEC) provides a framework to protect quantum information by encoding a logical qubit into multiple physical qubits. [8][4] QEC techniques, such as the three-qubit bit-flip code or the surface code, identify and correct errors through syndrome measurements, which detect the presence and type of errors without collapsing the quantum state. [3][6] However, error correction alone is insufficient. Without additional safeguards, error correction circuits themselves can introduce new errors, potentially rendering the error correction process useless. [11]

Fault-tolerant quantum computation (which will hereby be referred to as FTQC) extends QEC by ensuring that errors do not spread uncontrollably through a quantum system. [9][15] Fault tolerance specifically refers to performing computations reliably despite noise, given that error rates are below a threshold value. Fault-tolerant protocols, such as transversal gates that prevent error propagation and magic state distillation that enables the implementation of non-Clifford gates, are critical for preserving the integrity of quantum operations. [2][17] The threshold theorem guarantees that if the physical error rate is kept below a critical value, fault-tolerant protocols can reduce errors to very low levels, enabling reliable quantum computation over long durations. [1]

As quantum hardware progresses beyond the limitations of noisy intermediate-scale quantum (NISQ) devices, achieving FTQC requires the development of architectures that integrate error correction with fault-tolerant protocols. [16]

This paper is an introductory review on FTQC, aimed at providing early-stage researchers and undergraduates with an accessible bridge to the field's formalisms. This review adds a unified presentation of QEC, where one consistent and clear set of assumptions and examples is carried from noise channels through stabiliser syndrome projectors, error propagation identities, transversal constructions and the threshold theorem. Furthermore, this review contributes an educational Qiskit simulation that guides readers step by step through syndrome extraction, diagnosis and recovery for a noisy three-qubit code.

## **2. LITERATURE REVIEW**

QEC emerged as a response to the inherent fragility of quantum information. Early schemes like the Shor code [18] and the stabiliser formalism developed by Gottesman [8] laid the foundation for encoding logical qubits into

entangled states of multiple physical qubits. These encodings allow errors to be detected and corrected through syndrome measurements without disturbing the encoded information.

Topological codes, especially the surface code introduced by Dennis et al. [3], became a leading approach due to their high error thresholds and compatibility with 2D qubit architectures. Fowler et al. [6] expanded this work with practical implementations, establishing the surface code as a viable candidate for scalable quantum computing.

To support reliable quantum operations, fault-tolerant protocols are essential. Aharonov and Ben-Or [1] and Gottesman [9] introduced the threshold theorem, showing that errors can be suppressed below any desired level if the physical error rate is beneath a critical threshold. However, achieving universal computation requires non-Clifford gates, which are not fault-tolerantly implementable through transversal means. Bravyi and Kitaev [2] addressed this limitation through magic state distillation, enabling universal gate sets within a fault-tolerant framework. Magic states are needed for universality as no quantum error-correcting code has a universal set of transversal gates (Eastin–Knill Limitation).

Efforts to reduce the overhead of fault tolerance have explored alternative error-correcting codes. Subsystem codes, [14] bosonic codes, [12] and hardware-specific models [7] offer more efficient schemes under realistic noise. Despite these advances, challenges remain in lowering qubit requirements and developing fault-tolerant architectures that can operate under experimental noise conditions.

### **3. QUANTUM NOISE AND DECOHERENCE**

#### **3.1 Introduction to Quantum Noise**

Quantum systems, as we have already mentioned, are inherently fragile. Unlike their classical counterparts, where copying and redundancy can be used to mitigate errors, quantum systems are subject to noise arising from interactions with their environment. These disturbances lead to decoherence, which is the gradual destruction of quantum superposition and entanglement. Understanding the origins and modelling of quantum noise is essential to the development of FTQC, where errors must be corrected without disturbing the logical state of the system.

Noise in quantum systems arises because no quantum system is perfectly isolated. Superconducting circuits, trapped ions and spin systems are all inevitably coupled to their surrounding environment. These interactions can cause uncontrolled evolution of a quantum state, which causes phenomena such as amplitude damping, phase

damping or random bit flips. Unlike classical errors, quantum errors may involve arbitrary rotations in Hilbert space. Moreover, due to the no-cloning theorem, quantum information cannot be copied and restored from backups. Therefore, the noise within the system must be addressed by embedding the quantum information into larger systems and detecting errors indirectly, without observing the logical qubit itself. To model this, we need to turn to the formalism of quantum channels, which provides us with a mathematical description of noisy evolutions using the operator-sum representation.

### 3.2 Quantum Basics

Before introducing quantum noise through Kraus operators, it is useful to briefly establish several foundational concepts that clarify how quantum states are represented, how errors act on them, and why stabiliser measurements do not destroy the encoded information. This section serves as a conceptual bridge between the formal description of qubits and the mathematics behind QEC, particularly for those with less experience in quantum computation.

A qubit state is most simply described as a pure state, written in Dirac notation as

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \text{ where } \alpha, \beta \in \mathbb{C} \text{ and } |\alpha|^2 + |\beta|^2 = 1$$

Pure states represent maximal knowledge about a quantum system. However, in practice a qubit is often not prepared in exactly the same state each time, or it becomes entangled with an unobserved environment. In these situations the qubit cannot be described by a single state vector  $|\psi\rangle$  alone, because our description must also include classical uncertainty and environmental interference. The appropriate representation is the density matrix  $\rho$ . For a pure state, the density matrix is

$$\rho = |\psi\rangle\langle\psi|$$

whereas a mixed state is a probabilistic ensemble  $\{(p_i, |\psi_i\rangle)\}$  described by

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$

Mixed states therefore capture situations where the system is not in any single definite state from our perspective. They are the standard language for modeling noise processes such as decoherence and dissipation.

Errors acting on qubits are commonly expressed using the Pauli operators:

The Pauli-X Gate, where  $X|0\rangle = |1\rangle$  and  $X|1\rangle = |0\rangle$ , which is effectively a bit flip.

The Pauli-Z Gate, where  $Z|0\rangle = |0\rangle$  and  $Z|1\rangle = -|1\rangle$ , which corresponds to a phase flip.

The Pauli-Y Gate, where  $Y = iXZ$ , which performs both a bit and phase flip.

Importantly, any arbitrary single-qubit error can be expressed as a linear combination of these Pauli operators, which is why Pauli errors form the basis of most quantum error-correction frameworks.

One of the largest concerns in quantum computing is extracting information about errors without learning the quantum state itself, which would cause the state's superposition to collapse. This can be achieved through stabiliser measurements. Stabiliser operations are chosen such that all valid code states are eigenstates with eigenvalue +1. Measuring a stabiliser therefore reveals if an error has occurred without distinguishing between different logical states in the code space. As a result, error syndromes can be extracted repeatedly without collapsing the logical qubit.

With this groundwork set, we are prepared to describe quantum noise more formally using the density-matrix framework and Kraus operator representations in the following section.

### 3.3 Quantum Channels and Kraus Operators

A quantum channel is a completely positive, trace-preserving (CPTP) linear map. A CPTP map is a mathematical operation that describes the most general, physically allowed transformation of a quantum state, ensuring that it remains a valid density matrix even when extended to larger entangled systems. In this case, a Quantum Channel can be described as:

$$\varepsilon: \mathfrak{B}(\mathcal{H}) \rightarrow \mathfrak{B}(\mathcal{H}),$$

where  $\mathfrak{B}(\mathcal{H})$  denotes the space of bounded operators on the Hilbert space  $\mathcal{H}$ . Physically, this map takes a density matrix  $\rho$  representing the state of a quantum system and maps it to another valid density matrix  $\varepsilon(\rho)$ , accounting for the possibilities of external interaction from the environment.

For any quantum channel, three mathematical conditions must be fulfilled. Firstly, we have the condition of linearity:

$$\varepsilon(a\rho + b\sigma) = a\varepsilon(\rho) + b\varepsilon(\sigma), \text{ for any scalars } a, b \in \mathbb{C}$$

This means that if the input to a quantum channel is a linear combination of density matrices, then the output is also the linear combination of their individual outputs. Secondly, we have the condition of Trace Preservation:

$$\text{Tr}(\varepsilon(\rho)) = \text{Tr}(\rho)$$

This means that the trace of the density matrix is always one, which indicates that the total probability is 1 if the state is a pure state. If an operation changed the trace, it would mean that probability is either lost or created, which causes the value to be lesser than or more than 1, which is non-physical. Lastly, we have the condition of complete positivity:

$$\forall \rho_{AR} \geq 0, (\varepsilon \otimes I_n)(\rho_{AR}) \geq 0$$

This describes two entangled systems, A and R (of dimension n). The map  $\varepsilon$  is applied only to A and not R. Since this system is entangled, the resulting joint state must still be a valid quantum state. The resulting joint state must remain positive semidefinite. If a map is not completely positive, applying it to part of an entangled state could potentially produce negative eigenvalues, which can result in negative probabilities. For instance, consider the matrix transpose map, defined as  $\varepsilon(\rho) = \rho^T$ . When applied to a non-entangled density matrix, the transpose operation preserves positivity and appears harmless. However, it is not completely positive. If we apply  $\varepsilon \otimes I$  to one half of a maximally entangled Bell state, the resulting joint state is no longer positive semidefinite, and it acquires negative eigenvalues.

A very popular representation of a quantum channel is its operator-sum decomposition, otherwise known as the Kraus representation. Any CPTP map can be written as:

$$\varepsilon(\rho) = \sum_k E_k \rho E_k^\dagger$$

where the operators  $E_k$  are Kraus Operators, which satisfy the completeness condition:

$$I = \sum_k E_k^\dagger E_k$$

This ensures that the total transformation preserves the trace of  $\rho$ . Each Kraus operator can be interpreted as

corresponding to a possible transformation the system undergoes due to the interaction with the environment.

Since we do not observe the environment, the final state is a weighted mixture of these outcomes.

### 3.4 Bit Flip Channel

One of the simplest examples of a quantum noise model would be the bit flip channel. It describes a situation in which a qubit has some probability  $p$  of undergoing a Pauli-X operation, and a  $1 - p$  probability of remaining unchanged. The channel is defined as:

$$\varepsilon_{bit\ flip}(\rho) = (1 - p)\rho + pX\rho X$$

Alternatively, in Kraus form, it can be represented as:

$$\varepsilon(\rho) = E_0\rho E_0^\dagger + E_1\rho E_1^\dagger, \text{ where } E_0 = \sqrt{1 - p} \cdot I \text{ and } E_1 = \sqrt{p} \cdot X, \text{ fulfilling } E_0^\dagger E_0 + E_1^\dagger E_1 = I$$

The bit flip channel captures the quantum take on a classical bit error, but unlike in the classical case, such noise affects superpositions as well. In FTQC, this bit flip channel serves as a foundational example in the construction of quantum error correcting codes. The three-qubit repetition code, as discussed in the next chapter, is designed to detect and correct such errors using redundancy and syndrome measurements.

## 4. BASICS OF QUANTUM ERROR CORRECTION

### 4.1 Introduction to Stabiliser Codes

Quantum Error Correction allows us to detect and correct errors that result from environmental interference. This is done by encoding a single logical qubit into multiple physical qubits in a way that allows error detection and correction through carefully chosen measurements. Here, we will introduce the foundations of QEC through the framework of stabiliser codes.

Stabiliser operators are operators that do nothing to a quantum state. In the given example:

$$S|\psi\rangle = |\psi\rangle.$$

We can say that  $S$  stabilises the state  $|\psi\rangle$ , and that the state provides a +1 eigenvalue of the operator  $S$ . Stabiliser operators are commonly made from Pauli Matrices.

We can take the tensor products of these to get operators that can be applied to multiple qubits. For instance

$Z_1 Z_2 = Z \otimes Z \otimes I$ , or  $X_1 X_3 = X \otimes I \otimes X$ . (In this paper, we will be dealing with and simulating purely 3-qubit systems. As such, the aforementioned notation exclusively describes a 3 qubit system.)

In stabiliser quantum error correction, we define a code space by specifying a set of operators that leave the valid quantum states unchanged. These operators form what is called a stabiliser group, usually denoted  $S$ , and are chosen from the Pauli group over  $n$  qubits:

$$P_n = \{\pm 1, \pm i\} \cdot \{I, X, Y, Z\}^{\otimes n}.$$

The definition of the code space  $C$  can be formally denoted as such:

$$C = \{|\psi\rangle \in \mathbb{C}^{2^n} \mid S|\psi\rangle = |\psi\rangle \text{ for all } S \in \mathcal{S}\}.$$

This essentially means that the code space  $C$  is the set of all quantum states  $|\psi\rangle$  in the  $n$ -qubit Hilbert space such that each Stabiliser leaves  $|\psi\rangle$  unchanged.

Now, suppose some error operator  $E \in P_n$  acts on a valid codeword  $|\psi\rangle \in C$ . The resulting state is  $E|\psi\rangle$  which may or may not lie in the code space anymore. If we add a stabiliser to this new state:

$$S(E|\psi\rangle) = \begin{cases} +E|\psi\rangle & \text{if } [S, E] = 0 \text{ (they commute)} \\ -E|\psi\rangle & \text{if } \{S, E\} = 0 \text{ (they anti-commute)} \end{cases}$$

If  $E$  commutes with all stabilisers: Then  $E|\psi\rangle$  is still stabilised by  $S$ , meaning the error is undetectable by the stabiliser measurements. These types of errors are either trivial or logical operations: they map one valid codeword to another in the code space. If  $E$  anti-commutes with at least one stabiliser: Then the state  $E|\psi\rangle$  is no longer stabilised by that operator. Measuring that stabiliser will return -1 instead of +1, flagging the error. This flips the syndrome associated with the codeword. The stabiliser acts like a signature of what error occurred, allowing the system to infer what went wrong without measuring or collapsing the encoded quantum state.

A stabiliser code is often described by 3 numbers:  $n$ , the number of physical qubits,  $k$ , the number of logical qubits encoded, and  $d$ , the minimum number of qubit errors needed to confuse one code word with another. One example of this would be the Steane code, which can be represented as  $[[7, 1, 3]]$ , which can be used to correct one arbitrary qubit error.

## 4.2 The Three-Qubit Bit-Flip Code

We will now examine the three-qubit bit-flip code as a simple yet illustrative example of a stabiliser code. The three-qubit bit-flip code protects a single logical qubit from a single bit-flip error by encoding it into three physical qubits using repetition. Despite its simplicity, the three-qubit code demonstrates the fundamental principles of quantum error correction.

Given a logical qubit:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle,$$

the encoded state is:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

The state stores the logical value, and all 3 qubits are in agreement. A bit flip on any of the qubits can take the state out of the code space:

$$X_1|\psi\rangle_L = \alpha|100\rangle + \beta|011\rangle$$

$$X_2|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle$$

$$X_3|\psi\rangle_L = \alpha|001\rangle + \beta|110\rangle$$

In each case, the state becomes orthogonal to the original code space, but it remains distinguishable due to the specific error pattern. We can utilise this to detect and correct the error using syndrome measurements. As a stabiliser code, this code is defined by 2 generators:

$$S_1 = Z_1Z_2, \quad S_2 = Z_2Z_3$$

The code space is the +1 eigenspace of both stabilisers. Any bit-flip error will anticommute with one or both stabilisers, which can cause one or both syndrome values to flip to -1.

It is important to emphasize the scope of the three-qubit bit-flip code. The code is designed to correct at most one bit-flip (Pauli-X) error occurring on any of the three physical qubits. However, it does not protect against phase-flip (Pauli-Z) errors, since such errors commute with the stabiliser generators and therefore do not produce a

detectable syndrome. Correcting both kinds of errors requires more powerful quantum error correction codes, such as the Shor code, the Steane code, or topological constructions like the surface code, which can address additional single-qubit errors and form the basis of fully fault-tolerant quantum computation.

### 4.3 Basic Syndrome Measurement and Error Correction

To identify and correct errors, we perform syndrome measurements, which involve measuring the stabiliser operators without causing a collapse of the encoded quantum information.

Firstly, we shall go over Syndrome Extraction. We measure  $S_1 = Z_1 Z_2$  and  $S_2 = Z_2 Z_3$ . These two observables commute with each other and with the encoded state so their measurement outcomes reveal information about where a bit-flip could have occurred, but not about the encoded probability amplitudes. Using the previous example:

Error	$S_1 = Z_1 Z_2$	$S_2 = Z_2 Z_3$	Syndrome
No Error	+1	+1	(0,0)
Flip on Qubit 1	-1	+1	(1,0)
Flip on Qubit 2	-1	-1	(1,1)
Flip on Qubit 3	+1	-1	(0,1)

Table 1: Syndrome Extraction Table

Once the error is located via the syndrome, we apply the corresponding correction, which can be determined from the above table (Table 1). This procedure restores the state to the original codeword. The entire process can be repeated continuously to protect quantum information over time. Note that this code can only correct a single bit-flip error. This limitation reflects the code's distance of 3.

### 4.4 The Role of Ancillas in Syndrome Measurement

In quantum error correction, ancilla qubits play a vital role in non-destructively extracting error information from a quantum system. When measuring stabiliser operators, it is crucial to avoid collapsing or disturbing the encoded logical qubit. Directly measuring the data qubits would collapse their superposition and destroy the encoded quantum information. Ancilla qubits resolve this problem by acting as intermediaries. They are entangled with

pairs of data qubits using a series of CNOT gates, in such a way that the parity of the data qubits is mapped onto the ancilla's state. The ancilla is then measured, revealing the syndrome without disturbing the logical state.

## 5. FAULT-TOLERANT QUANTUM COMPUTATION

### 5.1 Error Propagation and Catastrophic Failures

In classical circuits, errors can often be localised. However, quantum gates (particularly multi-qubit systems) can cause error propagation, which is when errors spread to multiple qubits in a single operation. This can often compromise the integrity of large amounts of quantum information, as even a single fault can corrupt many qubits.

Let us consider a CNOT gate acting on two qubits. If the control qubit has an  $X$  error, it remains on the control and the error propagates to the target:

$$(X \otimes I)CNOT = CNOT(X \otimes X)$$

If the target qubit has a  $Z$  error, it can actually propagate back to the control qubit, causing errors to affect the entire system:

$$(I \otimes Z)CNOT = CNOT(Z \otimes Z)$$

This illustrates how error propagation may take place in a quantum system. Let us now consider a new situation where we have encoded a logical qubit using a stabiliser code that can correct one error per block (which is a group consisting of a logical qubit and the physical qubits that protect it). Suppose we apply a non-fault tolerant CNOT gate between two of such blocks, and a single-qubit error occurs. If this error propagates into 2 errors within one code block, then the QEC code fails to correct it as it exceeds the error correction capacity of one error. This is what is known as Catastrophic Failure. A more general description of Catastrophic Failures would be: a failure that occurs when multiple gates are applied across qubits in a block, and no mechanism is in place to limit the spread of errors, causing a single hardware fault to potentially invalidate the entire computation.

### 5.2 Transversal Gates and Error Suppression

A key tool in FTQC is the use of Transversal Gates. These gates are used to localise errors, preventing the spread of a single physical error into multiple qubits within the same block, maintaining the integrity of the computation.

A transversal gate is one that applies operations independently and in parallel across corresponding qubits in different code blocks. Formally, a gate  $U$  is transversal if it can be written as the tensor product of single-qubit gates:

$$U = U_1 \otimes U_2 \otimes U_3 \dots \otimes U_n,$$

where  $U_i$  acts on the  $i$ th physical qubit of a code block. If two blocks each encode a logical qubit across  $n$  physical qubits, a transversal implementation of a logical two-qubit gate, such as the logical CNOT, consists of applying CNOT gates independently between the corresponding physical qubits of the two blocks. The logical CNOT can be described as:

$$CNOT_L = CNOT_1 \otimes CNOT_2 \otimes CNOT_3 \dots \otimes CNOT_n,$$

where each CNOT acts between the  $i$ -th qubit of the control block and the  $i$ -th qubit of the target block.

### 5.3 The Threshold Theorem

The Threshold Theorem is the central theoretical result behind the entirety of FTQC. It states that if the physical error rate per operation  $p$  is below a certain threshold  $p_{th}$ , then arbitrarily long quantum computations can be performed reliably, with overhead that grows only polylogarithmically in the circuit size. Generally,  $p_{th}$  is accepted to be around  $10^{-2}$  to  $10^{-4}$ , with more specific ranges set depending on the user and use case. If  $p < p_{th}$ , the quantum computations performed are still reliable and the quantum computer is scalable. If the condition fails, then computations cannot be reliably performed due to a significant possibility of error.

## 6. SIMULATION OF 3-QUBIT ERROR CORRECTION

### 6.1 Mathematical Proof of Error Correction in 3-Qubit System

Now, taking into consideration everything we have looked at so far, we will explore the propagation and correction of quantum errors within a 3-Qubit system, so that we can gain a better understanding of the tools used in building fault tolerant quantum computers.

Let us begin this demonstration by defining a single logical qubit in the superposition:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \text{ where } \alpha, \beta \in \mathbb{C} \text{ and } |\alpha|^2 + |\beta|^2 = 1.$$

This qubit is encoded into 3 physical qubits as such:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

Let us suppose a bit-flip error, represented by the Pauli-X operator, acts on the second qubit due to external environmental conditions. The erroneous state then becomes:

$$|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle.$$

Here, the second qubit has been flipped, moving the state outside of the protected code space. In order to detect the error, we measure stabiliser generators  $S_1 = Z_1 Z_2$  and  $S_2 = Z_2 Z_3$ . Measuring  $S_1$  yields an eigenvalue of -1, indicating that qubits 1 and 2 differ in value. Similarly, measuring  $S_2$  yields an eigenvalue of -1, indicating that qubits 2 and 3 differ in value. Therefore, the measured syndrome is:

$$(S_1, S_2) = (1, 1).$$

This identifies the error as a flip of qubit 2. We can then apply a corrective X gate to the second qubit.

$$X_2|\psi'\rangle = \alpha|000\rangle + \beta|111\rangle = |\psi\rangle_L.$$

The original state is thereby restored.

## 6.2 Computer Simulation of Error Correction in 3-Qubit System

Here is a simulation of a 3-Qubit bit-flip error, as well as the measurement and rectification of the error. This program was created using Qiskit, which is a Quantum Computing framework designed by IBM. The language utilised by this tool is Python. The code for the program can be found in the Appendix A.<sup>1</sup>

## 6.3 Computer Simulation Results

When run, this code will detail the state of the quantum system at every step throughout the process. The first useful result of the output would be the initialisation of the logical qubit :

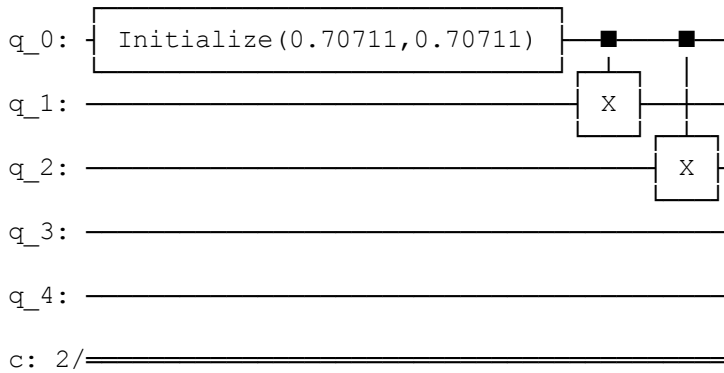
```
Initializing logical qubit |\psi\rangle = 0.70711|0\rangle + 0.70711|1\rangle
```

Upon the encoding of the logical qubit into three physical qubits, the circuit will be displayed as such:

```
Circuit after encoding:
```

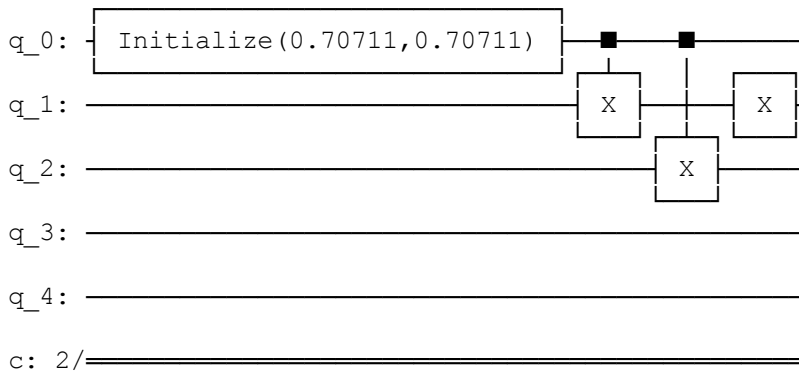
---

<sup>1</sup>Qiskit uses zero-based indexing, and therefore the simulation labels qubits are q\_0 to q\_4 rather than q\_1 to q\_5.



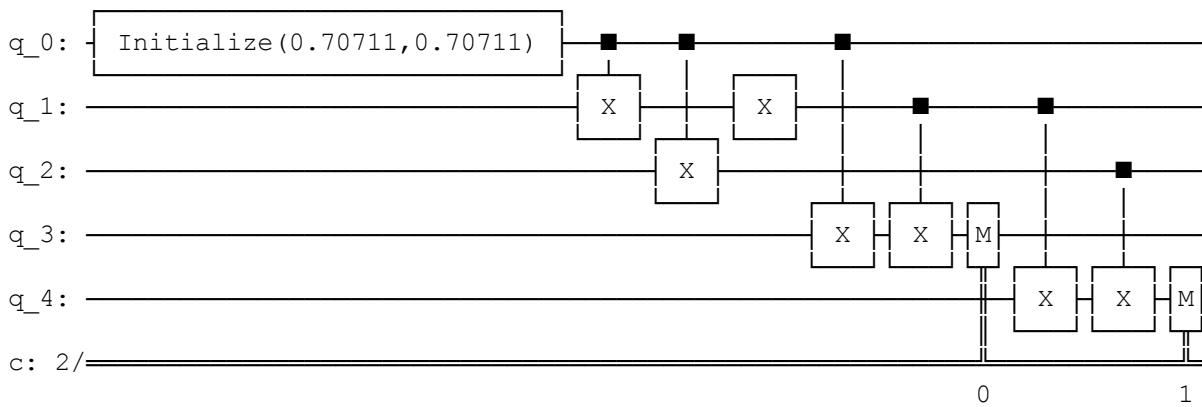
Upon the introduction of a bit-flip error on qubit 1, the second qubit, the state of the circuit will change to:

```
> Injecting X error on qubit 1 (q1)...
> Circuit after error injection:
```



Syndrome extraction is then performed, and the Z1Z2 and Z2Z3 stabilisers are measured, displaying the circuit:

```
> Circuit after syndrome extraction:
```



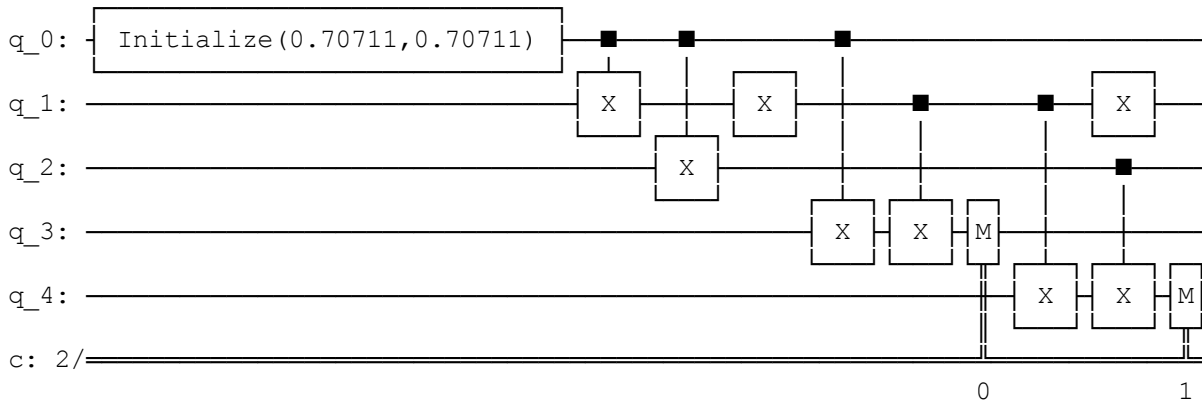
The syndrome measurement is then obtained, which allows the error correction method to be determined:

```
> Simulating syndrome measurement...
> Most common syndrome outcome: 11
```

> Detected X error on qubit 1 (q1). Applying correction...

The error correction technique is applied to the circuit, which is then printed as such:

> Full circuit after combining with correction:



This circuit shows the final circuit having been corrected, and the quantum information undisturbed.

## 7. RESULTS AND DISCUSSION

### 7.1 Reducing Overhead and Resource Costs

FTQC establishes the theoretical foundation for building reliable and scalable quantum computer systems in the presence of physical imperfections. However, realising FTQC in practice can yield significant challenges. One such challenge would be the immense overhead involved when implementing current error detection schemes. The encoding of a single logical qubit typically demands not just 3, but hundreds or thousands of physical qubits, especially when operating near the threshold error rates. [6] Each logical operation also incurs significant amounts of circuit depth due to the repeated cycles of error detection, syndrome extraction and state preparation. [4]

Reducing this overhead is an active field of research. Some of the common strategies include the design of more efficient quantum error-correcting codes, such as topological codes with low-weight stabilisers that reduce spatial and operational complexity. [10] On top of that, optimized concatenated code constructions which aim to minimize the total number of required qubits can also be implemented. [11] Recent advances in fault-tolerant circuit synthesis also focus on reducing the cost of non-Clifford gate constructions, which is a major bottleneck for scalable quantum computing. Achieving low-overhead fault-tolerant architectures is essential for realizing practical, large-scale quantum devices.

### 7.2 Development of Efficient Decoders

Another big challenge faced by FTQC is the development of scalable decoders. After syndrome extraction, the decoding algorithm must infer the likely error that occurred and prescribe the appropriate correction. For larger systems, decoding must be both fast enough to keep pace with hardware cycles and accurate enough to prevent logical errors. [5]

Traditionally practiced decoding methods perform well under simple noise models but can struggle under correlated or biased noise. [3] Emerging approaches leverage machine learning, including neural network-based decoders that can adapt to complex noise patterns and hardware-specific error profiles. [19] Other proposals investigate hardware-accelerated decoding, aiming to perform syndrome analysis and correction decision-making at cryogenic temperatures alongside the quantum processor. [20]

Approach	Strengths	Weaknesses
Minimum Weight Perfect Matching	High accuracy near i.i.d. Pauli noise.	Classical compute can be heavy at scale, performance can also degrade under strong bias.
Union-Find	Very fast, low memory use, therefore scalable.	Slightly worse logical error rates than MWPM under comparable conditions.
Belief Propagation	Can incorporate biased or structured noise better than naïve Pauli-i.i.d. assumptions.	Requires tuning, may fail on loopy graphs.
Machine Learning Decoders	Can adapt to correlated, biased and hardware specific noise, and inference can be fast after training	Requires good training data

Table 2: Comparison Of Decoder Approaches

There exist many of such aforementioned approaches and proposals to scalable decoders. As shown in Table 2, decoder design is a three-way trade-off between accuracy, latency, and robustness to realistic noise. MWPM, otherwise known as Minimum Weight Perfect Matching, remains a strong accuracy baseline under near-i.i.d. Pauli error models, but its classical compute cost can become significant at scale. Faster methods such as union-find and other greedy decoders sacrifice some logical performance in exchange for real-time throughput. When the noise deviates from simple assumptions, probabilistic methods, machine-learning decoders can better match the error structure, at the cost of model tuning, training data, and generalization issues.

Efficient decoders must balance computational complexity with error-correction performance, ensuring that decoding does not become the new bottleneck as quantum systems scale.

### 7.3 Exploring Alternative Error Models and Codes

Most early fault-tolerant schemes assume simplified noise models, such as independent and identically distributed (i.i.d.) bit-flip and phase-flip errors. However, actual quantum devices exhibit far more complicated error behaviors, including spatially and temporally correlated errors, non-Markovian effects, and leakage outside the computational subspace. [16]

Approach	Strengths	Weaknesses
Subsystem Codes	Reduced stabiliser measurement overhead, can tolerate certain correlated and measurement errors	More complex decoding
Bosonic Codes	Naturally match dominant physical noise	Require high-quality oscillators and control
Tailored Surface Code Variants	High fault-tolerance thresholds, adaptable to biased or correlated noise	Performance depends strongly on accurate noise characterization
Leakage-aware Protocols	Can prevent leakage from spreading and corrupting syndromes	Additional circuitry, time, and control complexity

Table 3: Comparison Of Fault-Tolerant Protocols

To address these error behaviours, researchers are investigating alternative error models and novel quantum codes tailored to specific noise environments, as mentioned above (Table 3). Subsystem codes [14], bosonic codes [12], and tailored surface code variants are some of the leading candidates for improving resilience against realistic hardware noise. At the same time, improved experimental noise characterization techniques are guiding the development of better theoretical models that more accurately capture error behavior. [7] Codes and protocols designed with realistic noise in mind are expected to enhance the effectiveness of fault-tolerant architectures.

Beyond the idealized i.i.d. Pauli-noise setting, contemporary fault-tolerant design increasingly emphasizes protocols whose advantages and limitations are best understood in the context of realistic device noise. Subsystem codes are advantageous because their gauge structure can reduce stabiliser-measurement overhead

and offer flexibility against certain measurement and correlated error mechanisms, although this typically comes at the cost of more intricate decoding and, in many instances, lower thresholds than leading topological codes. Bosonic codes, by contrast, exploit oscillator degrees of freedom to align the encoding with dominant physical processes such as loss and dephasing and can mitigate leakage by construction, but they rely on high-coherence modes and precise, platform-specific control. Tailored surface codes remain central due to their comparatively high thresholds and mature theoretical footing, yet they retain substantial resource demands and their realized benefits depend sensitively on accurate noise modeling and characterization. Lastly, Leakage-aware protocols specifically address errors in which qubits leave the computational subspace by incorporating detection, suppression, or reset mechanisms, thereby preventing leaked states from persisting across cycles and contaminating syndrome extraction and logical operations.

## **8. CONCLUSION**

This paper has presented a basic overview of FTQC. Beginning with the framework for QEC, we demonstrated how codes such as the three-qubit bit-flip code serve as the first layer of defense against decoherence and physical noise. We then examined the necessity of fault tolerance in quantum computation. Fault tolerance, as we have observed, is not merely an enhancement to QEC, but a rigorous discipline that ensures quantum algorithms remain functional even when components of the quantum circuit fail. By studying error models, syndrome extraction and correction, and the threshold theorem, we identified how carefully designed logical operations can prevent single-point failures from cascading across a system. [21]

Despite the mathematical completeness of FTQC, there are still practical challenges that exist. High qubit overheads as well as slow and hardware-incompatible decoders hinder the deployment of FTQC on near-term devices. [22] However, emerging approaches suggest a future where these limitations may be significantly mitigated, allowing for the construction of reliable large-scale quantum computer systems.

As a concrete next step, readers can examine surface-code implementations adapted to the constraints of current hardware platforms, and how syndrome extraction is paired with practical decoding strategies. This provides a route from the abstract principles of fault tolerance to real engineering considerations that need to be taken.

As quantum technologies advance beyond the NISQ era, FTQC will be indispensable. It does not only offer a path

to preserving quantum information over long durations, but also ensures that the benefits of quantum computation can be scaled up safely and reliably. In this light, fault tolerance is the mechanism by which the promise of quantum computing becomes physically and practically realisable.

## 9. ACKNOWLEDGMENTS

I would like to thank [REDACTED], for his guidance in developing the mathematical foundations of this paper and for providing the tools necessary to bring it to completion. I am also grateful to the [REDACTED] for fostering a community of like-minded, research-driven students who deepened my understanding of quantum computing and its current literature and academic context. Finally, I extend my thanks to [REDACTED] for igniting my passion for theoretical physics and for inspiring the main focus of this paper.

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## APPENDIX A

Qiskit 3-Qubit Bit Flip and Correction Simulation Code:

```
from qiskit import QuantumCircuit, transpile
from qiskit_aer import Aer
from qiskit.quantum_info import Statevector
import numpy as np

# --- Step 1: Initialize logical qubit ---
alpha = 1 / np.sqrt(2)
beta = 1 / np.sqrt(2)
print("> Initializing logical qubit  $|\psi\rangle = \{:.5f\}|0\rangle + \{:.5f\}|1\rangle".format(alpha, beta))
# 3 data qubits (q0, q1, q2), 2 ancilla qubits (q3, q4), 2 classical bits (c0, c1)
qc = QuantumCircuit(5, 2)

# --- Step 2: Encode the logical qubit into the 3-qubit repetition code ---
qc.initialize([alpha, beta], 0) # logical qubit on q0
qc.cx(0, 1)
qc.cx(0, 2)
print("\n> Circuit after encoding:")
print(qc.draw('text'))

# --- Step 3: Inject a bit-flip (X) error on one of the qubits ---
print("\n> Injecting X error on qubit 1 (q1)...")
qc.x(1)
print("\n> Circuit after error injection:")
print(qc.draw('text'))

# --- Step 4: Syndrome extraction using ancilla qubits (q3, q4) ---
# Measure Z0Z1 using q3
qc.cx(0, 3)
qc.cx(1, 3)
qc.measure(3, 0)
# Measure Z1Z2 using q4
qc.cx(1, 4)
qc.cx(2, 4)
qc.measure(4, 1)
print("\n> Circuit after syndrome extraction:")
print(qc.draw('text'))

# --- Step 5: Simulate the circuit and obtain syndrome ---
print("\n> Simulating syndrome measurement...")
backend = Aer.get_backend('qasm_simulator')
job = backend.run(transpile(qc, backend), shots=1024)
result = job.result()
counts = result.get_counts()
syndrome = max(counts, key=counts.get)
print("> Most common syndrome outcome:", syndrome)$ 
```

```


# --- Step 6: Determine and apply correction based on syndrome ---
correction = QuantumCircuit(5)
if syndrome == '10':
    print("> Detected X error on qubit 0 (q0). Applying correction...")
    correction.x(0)
elif syndrome == '11':
    print("> Detected X error on qubit 1 (q1). Applying correction...")
    correction.x(1)
elif syndrome == '01':
    print("> Detected X error on qubit 2 (q2). Applying correction...")
    correction.x(2)
else: print("> No error detected. No correction applied.")
print("\n> Correction circuit:")
print(correction.draw('text'))

# --- Step 7: Combine full circuit with correction ---
full_circuit = qc.compose(correction)
print("\n> Full circuit after combining with correction:")
print(full_circuit.draw('text'))

# --- Step 8: Simulate final statevector after correction ---
print("\n> Simulating final corrected statevector...")
state_backend = Aer.get_backend('statevector_simulator')
final_job = state_backend.run(transpile(full_circuit, state_backend))
final_result = final_job.result()
final_statevector = final_result.get_statevector()
print("\n> Final corrected statevector:")
print(final_statevector)
print("\n> Simulation complete. Logical qubit successfully protected and corrected.")

```

## AUTHORS

 is an independent researcher with a strong interest in scalable quantum architectures. He has engaged with leading research institutions and is currently exploring the foundations of fault-tolerant quantum computation, with a focus on building reliable systems beyond the NISQ era.

## Revision Change Log

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: XXXXXXXXXX

#	Section	Nature of Change	Description
1	Keywords	Content Removal	Removed “Physics and Astronomy” to scope the keyword section better.
2	Section 1	Content Addition	Added a paragraph defining the paper as an introductory review with a unified presentation and Qiskit simulation example.
3	Section 1	Content Addition	Added a one-sentence definition of fault tolerance in practical terms.
4	Section 2	Clarification	Mentioned the Eastin-Knill limitation statement following the introduction of Magic State Distillation
5	Section 3.2	Subsection Addition	Added the “Quantum Basics” subsection which explains the basics of pure vs mixed states, Pauli operators, and stabiliser measurements.
6	Section 3.3	Correction	Corrected the trace-preserving condition.
7	Section 3.4	Clarification	Explicitly mentioned the completeness condition for bit-flip Kraus operators.
8	Section 4.1	Correction	Rephrased the unclear wording due to a typographical error.
9	Section 4.2	Content Addition	Added a summary of what the 3-qubit bit-flip code can and cannot correct.
10	Section 6.2	Clarification	Added a footnote explaining why zero-based qubit indexing was used in the Qiskit simulation, despite prior content not doing so.
11	Section 7.2	Table Addition & Content Addition	Added a table (Table 2) and accompanying paragraph comparing strengths and weaknesses of decoder approaches.
12	Section 7.3	Table Addition & Content Addition	Added a table (Table 3) and accompanying paragraph comparing strengths and weaknesses of fault-tolerant protocol families.
13	Section 8	Content Modification	Modified the summary sentence outlining the content progression throughout the paper.
14	Section 8	Content Addition	Added a “next step” guideline that directs readers to explore surface-code implementations and practical decoding strategies
15	Section 8	Literature Anchoring	Added 2 citations (Terhal 2015; Brown et al. 2016) to the claims in the conclusion paragraphs.
16	References	Content Modification	Replaced Reference 21 (Terhal 2015)
17	References	Content Addition	Added Reference 22 (Brown et al. 2016)
18	References	Content Modification	Reformatted all the references in accordance to APA 7 format.

## Response to Reviewer 1

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: [REDACTED]

Reviewer Addressed: Reviewer 1

I thank the Editor and the Reviewer for their careful reading of my paper and for their constructive and insightful comments. I have revised the manuscript thoroughly in response to these suggestions. Below, I provide a point-by-point response to each comment, indicating how the work has been modified. All of the changes I have made are also visible in the Change Log document, which is a summary of all edits that have been made to my work.

---

### General Comment

*“Clarify the paper’s contribution and scope as a review: The author states that the paper presents a comprehensive foundation for FTQC; however, a short paragraph clarifying what this review adds (e.g., accessibility, unified presentation, or educational simulation) would better frame the paper.”*

### Response

I thank the Reviewer for the recommendation. In response to this, I have expanded the introduction (Section 1) to include the recommendations, stating the intended audience and function of the paper.

### Revision

I have clarified that the paper is an introductory review of FTQC (Fault-Tolerant Quantum Computing) aimed at early-stage researchers and undergraduates. The added paragraph furthermore emphasises the paper’s contribution as a unified and pedagogically structured presentation of QEC (Quantum Error Correction), in which a consistent set of assumptions and examples is carried from noise channels through stabiliser syndrome projectors, error propagation identities, transversal gates and the threshold theorem. Additionally, the introduction now highlights the inclusion of the educational Qiskit simulation, which walks readers through syndrome extraction and diagnosis for the noisy three-qubit code. The corresponding changes appear in the Introduction (Section 1, Page 2).

---

### General Comment

*“Confusion regarding why physics and astronomy is a keyword as astronomy is not mentioned elsewhere in the paper. I suggest it is removed from the keywords.”*

### Response

I thank the Reviewer for identifying this.

### Revision

“Physics and Astronomy” has been removed as a keyword. The corresponding changes appear in the Keywords (Page 1).

---

## General Comment

*“Improve synthesis and comparison across approaches: While many techniques are described accurately, the paper often presents them sequentially rather than comparatively. Review papers typically highlight tradeoffs (e.g., surface codes vs. bosonic codes, transversal gates vs. magic state overhead, classical vs. ML-based decoders). Adding 1 or 2 explicit comparison tables or summary paragraphs would strengthen the review character of the work.”*

## Response

I thank the Reviewer for this constructive suggestion. I agree that a review paper benefits from explicit synthesis and side-by-side comparison rather than purely sequential exposition.

## Revision

To strengthen the comparative character of the paper, I added two more comparison tables (Table 2 and Table 3) along with accompanying synthesis paragraphs.

Firstly, I introduced Table 2 (Comparison of Decoder Approaches) summarising representative decoders such as MWPM (Minimum-Weight Perfect Matching), union-find, belief propagation and ML (Machine Learning) based decoders in terms of strengths and weaknesses. I also added a new paragraph that framed decoder choice as a trade-off among accuracy, latency and robustness. This paragraph also clarifies why MWPM is often treated as an accuracy baseline under near-i.i.d. Pauli noise, while faster decoders prioritize throughput, and ML based approaches can better exploit structured noise at the cost of tuning and data requirements.

Secondly, I introduced Table 3 (Comparison of Fault-Tolerant Protocols) and added a synthesis paragraph comparing several protocol families such as subsystem codes, bosonic codes, tailored surface-code variants, and leakage-aware protocols, with respect to how they address non-i.i.d., biased, correlated, and leakage errors as well as the practical costs they produce.

The corresponding changes appear in Development of Efficient Decoders (Section 7.2, Page 16) for Table 2, and Exploring Alternative Error Models and Codes (Section 7.3, Page 17) for Table 3.

---

I believe that these revisions have substantially improved the scope and clarity of the paper, and that they address the Reviewer’s comments in full. I once again thank the Editor and the Reviewer for their time and valuable feedback, and hope that the revised manuscript is now suitable for further consideration for publication.

Sincerely,



Corresponding Author

## Response to Reviewer 2

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: [REDACTED]

Reviewer Addressed: Reviewer 2

I thank the Editor and the Reviewer for their careful reading of my paper and for their constructive and insightful comments. I have revised the manuscript thoroughly in response to these suggestions. Below, I provide a point-by-point response to each comment, indicating how the work has been modified. All of the changes I have made are also visible in the Change Log document, which is a summary of all edits that have been made to my work.

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### Major Issue

*“Kraus representation has key mathematical mistakes In the quantum channel section, the paper states the Kraus form and the “completeness condition,” but the trace-preserving condition is written incorrectly, and the bit-flip Kraus operators are also incorrect.”*

### Response (1)

I thank the Reviewer for checking the mathematical details in the quantum channel section. I agree that correctness at this level is important, and I address the two points raised separately below.

### Revision (1)

The Reviewer is correct that the trace-preserving (completeness) condition was stated incorrectly due to a typographical error. For a completely positive trace-preserving (CPTP) map

$$\varepsilon(\rho) = \sum_k E_k \rho E_k^\dagger,$$

the correct trace-preserving condition is

$$I = \sum_k E_k^\dagger E_k,$$

and not  $I = \sum_k E_k E_k^\dagger$  unless specifically discussing unital channels. This error has been corrected throughout the manuscript.

The corresponding changes appear in the Quantum Channels and Kraus Operators (Section 3.3, Page 6).

### Response (2)

I respectfully note that the Kraus operators for the bit-flip channel were already stated correctly in the manuscript. The paper uses

$$E_0 = \sqrt{1-p} \cdot I \text{ and } E_1 = \sqrt{p} \cdot X,$$

which satisfy the completeness condition

$$E_0^\dagger E_0 + E_1^\dagger E_1 = (1-p)I + pI = I$$

The manuscript does not use unsquared coefficients in place of the square roots, and the completeness relation is therefore preserved. To avoid any misreading or misunderstanding, the completeness condition has been added to the section where the equation was stated.

### Revision (2)

The completeness (trace-preserving) condition has been stated explicitly immediately after the Kraus representation of the bit-flip channel, verifying that  $E_0^\dagger E_0 + E_1^\dagger E_1 = I$ . This makes the trace-preserving property of the channel explicit at the point of definition.

The corresponding changes appear in the Bit Flip Channel (Section 3.4, Page 7).

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### Minor Issue

*“Typos / awkward phrasing: a few sentences are hard to parse on first read. For example, wording like “decoding by encoding” should be rewritten more plainly.”*

### Response

I thank the Reviewer for highlighting this typographical error.

### Revision

The typographical error has been rectified. Other areas of the document were checked to ensure better flow. The corresponding changes appear in the Introduction to Stabiliser Codes (Section 4.1, Page 7).

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### Minor Issue

*“Qubit labels: the paper switches between different indexing conventions. Please stick to one (either qubits 0–2 everywhere or 1–3 everywhere) so the stabilizers and circuit match cleanly.”*

### Response

I thank the Reviewer for noting the inconsistency in qubit indexing notations. The manuscript itself uses the conventional labels  $q_1, q_2, q_3$  while the Qiskit implementation necessarily follows zero-based indexing such as  $q_0, q_1, q_2$ . To prevent misunderstanding while preserving correctness and abiding by Qiskit conventions, I have made the indexing convention explicit at the start of the simulation subsection and clarified the mapping between the two notations.

### Revision

A footnote has been added in Section 6.2 (Computer Simulation of Error Correction in 3-Qubit System) stating that “Qiskit uses zero-based indexing, and therefore the simulation labels qubits are  $q_0$  to  $q_4$  rather than  $q_1$  to  $q_5$ .” This ensures that readers can align the circuit labels with the analytical notation.

The corresponding change appears in the footnote referenced in Computer Simulation of Error Correction in 3-Qubit System (Section 6.2, Page 13).

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### Minor Issue

*“References: the bibliography formatting is inconsistent (missing issue/page ranges in places, uneven style). Standardize all entries to the same format.”*

### Response

I thank the Reviewer for noting the inconsistency in bibliography formatting and standardization.

### Revision

All references have been re-formatted as per 7th Edition APA Style. The page ranges and missing issue numbers have been included. All reference entries have been edited to now follow the same format.

The corresponding change appears in References (Section 10, Page 19-21).

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### Minor Issue

*“Tone: a few phrases are conversational (e.g., “intimidating”). Consider slightly more neutral wording to match a journal-style overview.”*

### Response

I thank the Reviewer for this stylistic suggestion. I agree that a more neutral tone is appropriate for a journal-style overview.

### Revision

Conversational phrasing (including “intimidating”) has been revised to more academic wording, and the manuscript was reviewed for similar instances to ensure consistent tone throughout.

The corresponding change appears in Quantum Channels and Kraus Operators (Section 3.3, Page 6).

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### General Suggestion

*“A short paragraph near the end of the introduction stating the paper’s specific scope would make the roadmap even clearer (e.g., that the goal is an overview of QEC/FTQC with a simple worked example, rather than a full survey of modern codes).”*

### Response

I thank the Reviewer for this helpful suggestion. I agree that an explicit statement of scope near the end of the Introduction improves the roadmap and prevents the manuscript from being interpreted as a full survey of all modern fault-tolerant codes.

### Revision

The Introduction has been expanded to include a concise scope statement clarifying that the paper is an introductory overview of QEC/FTQC with a single worked example (including an educational Qiskit simulation), rather than a comprehensive survey of all contemporary code families and decoding protocols.

The corresponding change appears in the Introduction (Section 1, Page 2).

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### General Suggestion

*“It would also help to define, in one or two sentences, what is meant by “fault tolerance” in practice (i.e., performing computation reliably even when gates and measurements are noisy, provided error rates are below a threshold).”*

## Response

I thank the Reviewer for this suggestion. I agree that a concise practical definition of fault tolerance improves clarity for readers new to the topic.

## Revision

A one-sentence definition has been added to the Introduction stating that fault tolerance refers to “performing computations reliably despite noise, provided physical error rates remain below a threshold value”.

The corresponding change appears in the Introduction (Section 1, Page 2).

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## General Suggestion

*“The conclusion would be stronger if it briefly summarized the main technical takeaway in one sentence (noise → error models → syndrome extraction → correction → fault-tolerant overhead/threshold). It could also end with a concrete “next step” for readers, such as extending the example from a bit-flip code to a code that also protects against phase errors (e.g., Shor or Steane) or discussing how surface codes and decoding relate to current hardware.”*

## Response

I thank the Reviewer for this helpful recommendation. I agree that the conclusion should both restate the core technical thread of the paper succinctly and provide a concrete next step that connects the introductory example to practical fault-tolerant architectures.

## Revision

The conclusion has been revised to include a one-sentence summary of the main technical takeaway, emphasizing the progression from error models to syndrome extraction/correction and the role of the threshold theorem in preventing single-point failures from cascading. In addition, the conclusion now ends with a concrete next step directing readers to examine surface-code implementations under current hardware constraints and how syndrome extraction is paired with practical decoding strategies, bridging abstract FTQC principles to engineering considerations.

The corresponding changes appear in the Conclusion (Section 8, page 18).

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## General Suggestion

*“The conclusion has no references; therefore not connecting the conclusion with the literature. The conclusion would benefit from explicitly tying the final takeaways with existing literature to reinforce the context and support the closing claims.”*

## Response

I thank the Reviewer for this suggestion. I agree that explicitly anchoring the conclusion in the literature strengthens the closing takeaways and reinforces the context for the reader.

## Revision

I revised the conclusion to include citations supporting key closing statements. In particular, I added references when summarizing the role of quantum error correction and fault-tolerant principles, and when noting practical limitations relevant to near-term implementations (e.g., overhead and decoding constraints). The conclusion now cites Terhal (2015) and Brown et

al. (2016) to connect the final takeaways with established review literature on quantum error correction and quantum memories.

The corresponding changes appear in the Conclusion (Section 8, Page 18), with the new references added to References (Section 10, Page 20-21).

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### General Suggestion

*“Add one short “Quantum basics” bridge...”*

### Response

I thank the Reviewer for this valuable suggestion. I agree that a short conceptual bridge improves accessibility and reduces the mathematical jump between the qubit formalism and the Kraus-operators.

### Revision

I added a new “Quantum Basics” subsection immediately before introducing Kraus Operators. This subsection distinguishes pure states (state vectors) from mixed states (density matrices) and motivates the density-matrix formalism for noisy/open systems, briefly explains the physical action of the Pauli X,Y,Z operators as bit/phase errors, and explains why stabiliser measurements extract error syndromes without revealing the logical amplitude values.

The corresponding changes appear in the new section Quantum Basics (Section 3.2, Page 4-5).

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### General Suggestion

*“Clarify what the 3-qubit code can/can’t do...”*

### Response

I thank the Reviewer for highlighting the importance of clearly stating the limitations of the three-qubit bit-flip code. I agree that making these constraints explicit is essential to avoid overinterpretation of or wrongful extrapolation from the example.

### Revision

I added a new paragraph to The Three-Qubit Bit-Flip Code (Section 4.2) to explicitly state that the code corrects at most one Pauli-X (bit-flip) error, does not protect against Pauli-Z (phase-flip) errors, and therefore cannot correct arbitrary single-qubit errors. The text now clarifies that correcting both bit- and phase-flip errors requires more powerful codes such as the Shor code, the Steane code, or topological constructions like the surface code.

The corresponding changes appear in The Three-Qubit Bit-Flip Code (Section 4.2, Page 8-9).

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### General Suggestion

*“Mention the Eastin–Knill limitation (even briefly)...”*

### Response

I thank the Reviewer for this suggestion. I agree that briefly stating the Eastin-Knill limitation provides the motivation for why magic-state methods are required for universality when relying on transversal constructions.

## Revision

I added a one-sentence statement after introducing magic state distillation clarifying that magic states are needed for universality because no quantum error-correcting code admits a universal set of transversal gates (Eastin–Knill). The corresponding changes appear in the Literature Review (Section 2, Page 3).

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I believe that these revisions have substantially improved the scope and clarity of the paper, and that they address the Reviewer's comments in full. I once again thank the Editor and the Reviewer for their time and valuable feedback, and hope that the revised manuscript is now suitable for further consideration for publication.

Sincerely,



Corresponding Author

## **Recommendation: Accepted for publication, conditional on edits and revisions**

The manuscript is now a clear, technically sound, and well-structured introduction to FTQC that is suitable for publication, subject to minor amendments. The new “Quantum Basics” section, the clarified definition of ‘fault tolerance’, and the corrected treatment of quantum channels/Kraus operators all contribute to a logical conceptual flow for readers (specialists as well as others coming from a mixed background).

The author has engaged seriously with both reviewers’ concerns. In particular, they have (i) fixed the trace-preserving condition and made the Kraus representation more explicit, (ii) clarified the scope and limitations of the three-qubit bit-flip code; (iii) added a concise statement of the paper’s scope in the introduction; (iv) improved the conclusion by tying it back to key literature (Terhal; Brown et al. etc). The Qiskit example is now nicely framed as an educational illustration rather than a full-scale simulation study.

I would suggest the following three (final!) minor editorial adjustments:

- Make sure all equations and symbols are formatted uniformly across the text and in the captions. For example, “ $Z_1Z_2$  and  $Z_2Z_3$  stabilisers” (page 14) while in the main body text numbers appear as subscripts, e.g.  $Z_1Z_2$
- In Section 6 (perhaps early in the section), the author might add one short sentence explaining what a reader should take away from the code.
- The abstract is clear but it would be helpful to clarify the target audience of this paper (for example, early graduate students or non-specialists in adjacent fields).

**Decision: Accept for publication, conditional on minor revisions**

**Review:** This manuscript provides a clear and well-organized introductory review of fault-tolerant quantum computation (FTQC). The exposition progresses logically from quantum noise and Kraus operators to stabilizer codes, the three-qubit repetition code, error propagation, transversal gates, and the threshold theorem, concluding with a discussion of decoders and alternative code families. The inclusion of a Qiskit simulation of the three-qubit bit-flip code adds pedagogical value and makes the work accessible to early-career researchers. The reference list appropriately includes foundational works (e.g., Aharonov–Ben-Or, Dennis et al., Bravyi–Kitaev, Preskill), thereby demonstrating the author’s familiarity with core literature. Overall, the manuscript successfully provides a structured survey of major ideas in QEC and FTQC.

That being said, the paper would still benefit from deeper analytical integration and clearer intellectual positioning. While it is framed as a “unified presentation,” the sections still largely function as sequential descriptions rather than a synthesized narrative. For example, although quantum channels and Kraus operators are introduced early, the later stabilizer framework is not explicitly tied back to how general CPTP noise models reduce to Pauli errors in fault-tolerant constructions. Similarly, the threshold theorem is presented as central but remains qualitative, without a sketch of concatenation or an explanation of logical error scaling behavior.

To strengthen the manuscript, the author may consider addressing the following specific points:

- Clarify the “unified presentation” claim by explicitly connecting the noise model discussion (Section 3) to the stabilizer formalism and fault-tolerant constructions introduced later
- Deepen the threshold theorem section with a brief schematic explanation of how recursive encoding suppresses logical error rates, rather than only stating the existence of a threshold range
- Expand the simulation section by incorporating a probabilistic bit-flip channel and reporting logical error rates across multiple trials, rather than demonstrating a single deterministic injected error
- Provide more critical comparison in Sections 7.2-7.3. While decoder and code families are summarized with strengths and weaknesses, the trade-offs (e.g., overhead vs. threshold vs. hardware realism) are not analyzed in sufficient depth
- Temper some moderately strong phrasing, such as describing FTQC as “mathematically complete,” which may overstate the maturity of the field, given ongoing challenges discussed elsewhere in the paper

With modest additions in the above areas, the manuscript could move beyond a well-executed survey.

# An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Raghav Sriram

## ABSTRACT

Quantum computers promise to solve computational problems that are intractable for classical systems, but their inherent sensitivity to noise and decoherence can render the results of any quantum computation useless. Without mitigation, small errors can accumulate, leading to the corruption of quantum information and failure of computations. Fault-tolerant quantum computation is a framework that provides us with tools to reduce the error in any operation performed by a Quantum Computers. This paper presents an overview of fault-tolerant quantum computation, beginning with the foundational principles of quantum information and quantum error correction, and is written for undergraduates and non-specialists seeking a unified introduction to the field. We explore the mathematical structure of noise models, introduce quantum error correction codes such as the three-qubit bit-flip code, and discuss techniques like syndrome measurement and error detection. We then develop the framework of fault tolerance, highlighting the role of transversal gates and the threshold theorem, which ensures that reliable computation is possible if the physical error rate is below a certain threshold. Finally, we outline current challenges in reducing overhead and improving error correction efficiency, pointing to future directions in the pursuit of scalable and reliable quantum technologies. This paper provides a comprehensive foundation in fault-tolerant quantum computation while offering insights into the future directions of this field.

## KEYWORDS

Physics; Theoretical, Computational and Quantum Physics; Fault-Tolerant Quantum Computation; Quantum Error Correction, Surface Code; Syndrome Measurement; Threshold Theorem; Quantum Decoherence; Logical Qubits; Transversal Gates

## 1. INTRODUCTION

Large-scale quantum computing is fundamentally limited by the fragility of quantum systems, where errors arise due to interactions with the environment, control imperfections, and decoherence. [15][13] These errors, which manifest as bit-flip errors, phase-flip errors, or a combination of both, can corrupt quantum information and compromise the accuracy of results. [18][4] Without ways to manage these errors, quantum algorithms fail to

produce reliable results, making it impossible to scale quantum systems beyond a few qubits. [16]

To address these challenges, quantum error correction (otherwise known as QEC) provides a framework to protect quantum information by encoding a logical qubit into multiple physical qubits. [8][4] QEC techniques, such as the three-qubit bit-flip code or the surface code, identify and correct errors through syndrome measurements, which detect the presence and type of errors without collapsing the quantum state. [3][6] However, error correction alone is insufficient. Without additional safeguards, error correction circuits themselves can introduce new errors, potentially rendering the error correction process useless. [11]

Fault-tolerant quantum computation (which will hereby be referred to as FTQC) extends QEC by ensuring that errors do not spread uncontrollably through a quantum system. [9][15] Fault tolerance specifically refers to performing computations reliably despite noise, given that error rates are below a threshold value. Fault-tolerant protocols, such as transversal gates that prevent error propagation and magic state distillation that enables the implementation of non-Clifford gates, are critical for preserving the integrity of quantum operations. [2][17] The threshold theorem guarantees that if the physical error rate is kept below a critical value, fault-tolerant protocols can reduce errors to very low levels, enabling reliable quantum computation over long durations. [1]

As quantum hardware progresses beyond the limitations of noisy intermediate-scale quantum (NISQ) devices, achieving FTQC requires the development of architectures that integrate error correction with fault-tolerant protocols. [16]

This paper is an introductory review on FTQC, aimed at providing early-stage researchers and undergraduates with an accessible bridge to the field's formalisms. This review adds a unified presentation of QEC, where one consistent and clear set of assumptions and examples is carried from noise channels through stabiliser syndrome projectors, error propagation identities, transversal constructions and the threshold theorem. In particular, the channel-based noise models in Section 3 are carried forward as Pauli error processes that define stabiliser syndromes and enable the later analysis of error propagation, transversal gates, and the threshold theorem. Furthermore, this review contributes an educational Qiskit simulation that guides readers step by step through syndrome extraction, diagnosis and recovery for a noisy three-qubit code.

## **2. LITERATURE REVIEW**

QEC emerged as a response to the inherent fragility of quantum information. Early schemes like the Shor code [18] and the stabiliser formalism developed by Gottesman [8] laid the foundation for encoding logical qubits into entangled states of multiple physical qubits. These encodings allow errors to be detected and corrected through syndrome measurements without disturbing the encoded information.

Topological codes, especially the surface code introduced by Dennis et al. [3], became a leading approach due to their high error thresholds and compatibility with 2D qubit architectures. Fowler et al. [6] expanded this work with practical implementations, establishing the surface code as a viable candidate for scalable quantum computing.

To support reliable quantum operations, fault-tolerant protocols are essential. Aharonov and Ben-Or [1] and Gottesman [9] introduced the threshold theorem, showing that errors can be suppressed below any desired level if the physical error rate is beneath a critical threshold. However, achieving universal computation requires non-Clifford gates, which are not fault-tolerantly implementable through transversal means. Bravyi and Kitaev [2] addressed this limitation through magic state distillation, enabling universal gate sets within a fault-tolerant framework. Magic states are needed for universality as no quantum error-correcting code has a universal set of transversal gates (Eastin–Knill Limitation).

Efforts to reduce the overhead of fault tolerance have explored alternative error-correcting codes. Subsystem codes, [14] bosonic codes, [12] and hardware-specific models [7] offer more efficient schemes under realistic noise. Despite these advances, challenges remain in lowering qubit requirements and developing fault-tolerant architectures that can operate under experimental noise conditions.

### **3. QUANTUM NOISE AND DECOHERENCE**

#### **3.1 Introduction to Quantum Noise**

Quantum systems, as we have already mentioned, are inherently fragile. Unlike their classical counterparts, where copying and redundancy can be used to mitigate errors, quantum systems are subject to noise arising from interactions with their environment. These disturbances lead to decoherence, which is the gradual destruction of quantum superposition and entanglement. Understanding the origins and modelling of quantum noise is essential to the development of FTQC, where errors must be corrected without disturbing the logical state of the system.

Noise in quantum systems arises because no quantum system is perfectly isolated. Superconducting circuits,

trapped ions and spin systems are all inevitably coupled to their surrounding environment. These interactions can cause uncontrolled evolution of a quantum state, which causes phenomena such as amplitude damping, phase damping or random bit flips. Unlike classical errors, quantum errors may involve arbitrary rotations in Hilbert space. Moreover, due to the no-cloning theorem, quantum information cannot be copied and restored from backups. Therefore, the noise within the system must be addressed by embedding the quantum information into larger systems and detecting errors indirectly, without observing the logical qubit itself. To model this, we need to turn to the formalism of quantum channels, which provides us with a mathematical description of noisy evolutions using the operator-sum representation.

### 3.2 Quantum Basics

Before introducing quantum noise through Kraus operators, it is useful to briefly establish several foundational concepts that clarify how quantum states are represented, how errors act on them, and why stabiliser measurements do not destroy the encoded information. This section serves as a conceptual bridge between the formal description of qubits and the mathematics behind QEC, particularly for those with less experience in quantum computation.

A qubit state is most simply described as a pure state, written in Dirac notation as

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \text{ where } \alpha, \beta \in \mathbb{C} \text{ and } |\alpha|^2 + |\beta|^2 = 1$$

Pure states represent maximal knowledge about a quantum system. However, in practice a qubit is often not prepared in exactly the same state each time, or it becomes entangled with an unobserved environment. In these situations the qubit cannot be described by a single state vector  $|\psi\rangle$  alone, because our description must also include classical uncertainty and environmental interference. The appropriate representation is the density matrix  $\rho$ . For a pure state, the density matrix is

$$\rho = |\psi\rangle\langle\psi|$$

whereas a mixed state is a probabilistic ensemble  $\{(p_i|\psi_i\rangle)\}$  described by

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$

Mixed states therefore capture situations where the system is not in any single definite state from our perspective. They are the standard language for modeling noise processes such as decoherence and dissipation.

Errors acting on qubits are commonly expressed using the Pauli operators:

The Pauli-X Gate, where  $X|0\rangle = |1\rangle$  and  $X|1\rangle = |0\rangle$ , which is effectively a bit flip.

The Pauli-Z Gate, where  $Z|0\rangle = |0\rangle$  and  $Z|1\rangle = -|1\rangle$ , which corresponds to a phase flip.

The Pauli-Y Gate, where  $Y = iXZ$ , which performs both a bit and phase flip.

Importantly, any arbitrary single-qubit error can be expressed as a linear combination of these Pauli operators, which is why Pauli errors form the basis of most quantum error-correction frameworks.

One of the largest concerns in quantum computing is extracting information about errors without learning the quantum state itself, which would cause the state's superposition to collapse. This can be achieved through stabiliser measurements. Stabiliser operations are chosen such that all valid code states are eigenstates with eigenvalue +1. Measuring a stabiliser therefore reveals if an error has occurred without distinguishing between different logical states in the code space. As a result, error syndromes can be extracted repeatedly without collapsing the logical qubit.

With this groundwork set, we are prepared to describe quantum noise more formally using the density-matrix framework and Kraus operator representations in the following section.

### 3.3 Quantum Channels and Kraus Operators

A quantum channel is a completely positive, trace-preserving (CPTP) linear map. A CPTP map is a mathematical operation that describes the most general, physically allowed transformation of a quantum state, ensuring that it remains a valid density matrix even when extended to larger entangled systems. In this case, a Quantum Channel can be described as:

$$\varepsilon: \mathfrak{B}(\mathcal{H}) \rightarrow \mathfrak{B}(\mathcal{H}),$$

where  $\mathfrak{B}(\mathcal{H})$  denotes the space of bounded operators on the Hilbert space  $\mathcal{H}$ . Physically, this map takes a density matrix  $\rho$  representing the state of a quantum system and maps it to another valid density matrix  $\varepsilon(\rho)$ , accounting for the possibilities of external interaction from the environment.

For any quantum channel, three mathematical conditions must be fulfilled. Firstly, we have the condition of linearity:

$$\varepsilon(a\rho + b\sigma) = a\varepsilon(\rho) + b\varepsilon(\sigma), \text{ for any scalars } a, b \in \mathbb{C}$$

This means that if the input to a quantum channel is a linear combination of density matrices, then the output is also the linear combination of their individual outputs. Secondly, we have the condition of Trace Preservation:

$$\text{Tr}(\varepsilon(\rho)) = \text{Tr}(\rho)$$

This means that the trace of the density matrix is always one, which indicates that the total probability is 1 if the state is a pure state. If an operation changed the trace, it would mean that probability is either lost or created, which causes the value to be lesser than or more than 1, which is non-physical. Lastly, we have the condition of complete positivity:

$$\forall \rho_{AR} \geq 0, (\varepsilon \otimes I_n)(\rho_{AR}) \geq 0$$

This describes two entangled systems, A and R (of dimension n). The map  $\varepsilon$  is applied only to A and not R. Since this system is entangled, the resulting joint state must still be a valid quantum state. The resulting joint state must remain positive semidefinite. If a map is not completely positive, applying it to part of an entangled state could potentially produce negative eigenvalues, which can result in negative probabilities. For instance, consider the matrix transpose map, defined as  $\varepsilon(\rho) = \rho^T$ . When applied to a non-entangled density matrix, the transpose operation preserves positivity and appears harmless. However, it is not completely positive. If we apply  $\varepsilon \otimes I$  to one half of a maximally entangled Bell state, the resulting joint state is no longer positive semidefinite, and it acquires negative eigenvalues.

A very popular representation of a quantum channel is its operator-sum decomposition, otherwise known as the Kraus representation. Any CPTP map can be written as:

$$\varepsilon(\rho) = \sum_k E_k \rho E_k^\dagger$$

where the operators  $E_k$  are Kraus Operators, which satisfy the completeness condition:

$$I = \sum_k E_k^\dagger E_k$$

This ensures that the total transformation preserves the trace of  $\rho$ . Each Kraus operator can be interpreted as corresponding to a possible transformation the system undergoes due to the interaction with the environment. Since we do not observe the environment, the final state is a weighted mixture of these outcomes.

### 3.4 Bit Flip Channel

One of the simplest examples of a quantum noise model would be the bit flip channel. It describes a situation in which a qubit has some probability  $p$  of undergoing a Pauli-X operation, and a  $1 - p$  probability of remaining unchanged. The channel is defined as:

$$\varepsilon_{bit\ flip}(\rho) = (1 - p)\rho + pX\rho X$$

Alternatively, in Kraus form, it can be represented as:

$$\varepsilon(\rho) = E_0\rho E_0^\dagger + E_1\rho E_1^\dagger, \text{ where } E_0 = \sqrt{1 - p} \cdot I \text{ and } E_1 = \sqrt{p} \cdot X, \text{ fulfilling } E_0^\dagger E_0 + E_1^\dagger E_1 = I$$

The bit flip channel captures the quantum take on a classical bit error, but unlike in the classical case, such noise affects superpositions as well. In FTQC, this bit flip channel serves as a foundational example in the construction of quantum error correcting codes. The three-qubit repetition code, as discussed in the next chapter, is designed to detect and correct such errors using redundancy and syndrome measurements.

## 4. BASICS OF QUANTUM ERROR CORRECTION

### 4.1 Introduction to Stabiliser Codes

Quantum Error Correction allows us to detect and correct errors that result from environmental interference. This is done by encoding a single logical qubit into multiple physical qubits in a way that allows error detection and correction through carefully chosen measurements. Here, we will introduce the foundations of QEC through the framework of stabiliser codes.

Stabiliser operators are operators that do nothing to a quantum state. In the given example:

$$S|\psi\rangle = |\psi\rangle.$$

We can say that  $S$  stabilises the state  $|\psi\rangle$ , and that the state provides a +1 eigenvalue of the operator  $S$ . Stabiliser

operators are commonly made from Pauli Matrices.

We can take the tensor products of these to get operators that can be applied to multiple qubits. For instance  $Z_1 Z_2 = Z \otimes Z \otimes I$ , or  $X_1 X_3 = X \otimes I \otimes X$ . (In this paper, we will be dealing with and simulating purely 3-qubit systems. As such, the aforementioned notation exclusively describes a 3 qubit system.)

In stabiliser quantum error correction, we define a code space by specifying a set of operators that leave the valid quantum states unchanged. These operators form what is called a stabiliser group, usually denoted  $S$ , and are chosen from the Pauli group over  $n$  qubits:

$$P_n = \{\pm 1, \pm i\} \cdot \{I, X, Y, Z\}^{\otimes n}.$$

The definition of the code space  $C$  can be formally denoted as such:

$$C = \{|\psi\rangle \in \mathbb{C}^{2^n} \mid S|\psi\rangle = |\psi\rangle \text{ for all } S \in \mathcal{S}\}.$$

This essentially means that the code space  $C$  is the set of all quantum states  $|\psi\rangle$  in the  $n$ -qubit Hilbert space such that each Stabiliser leaves  $|\psi\rangle$  unchanged.

Now, suppose some error operator  $E \in P_n$  acts on a valid codeword  $|\psi\rangle \in C$ . The resulting state is  $E|\psi\rangle$  which may or may not lie in the code space anymore. If we add a stabiliser to this new state:

$$S(E|\psi\rangle) = \begin{cases} +E|\psi\rangle & \text{if } [S, E] = 0 \text{ (they commute)} \\ -E|\psi\rangle & \text{if } \{S, E\} = 0 \text{ (they anti-commute)} \end{cases}$$

If  $E$  commutes with all stabilisers: Then  $E|\psi\rangle$  is still stabilised by  $S$ , meaning the error is undetectable by the stabiliser measurements. These types of errors are either trivial or logical operations: they map one valid codeword to another in the code space. If  $E$  anti-commutes with at least one stabiliser: Then the state  $E|\psi\rangle$  is no longer stabilised by that operator. Measuring that stabiliser will return -1 instead of +1, flagging the error. This flips the syndrome associated with the codeword. The stabiliser acts like a signature of what error occurred, allowing the system to infer what went wrong without measuring or collapsing the encoded quantum state.

A stabiliser code is often described by 3 numbers:  $n$ , the number of physical qubits,  $k$ , the number of logical qubits encoded, and  $d$ , the minimum number of qubit errors needed to confuse one code word with another. One

example of this would be the Steane code, which can be represented as  $[[7,1,3]]$ , which can be used to correct one arbitrary qubit error.

## 4.2 The Three-Qubit Bit-Flip Code

We will now examine the three-qubit bit-flip code as a simple yet illustrative example of a stabiliser code. The three-qubit bit-flip code protects a single logical qubit from a single bit-flip error by encoding it into three physical qubits using repetition. Despite its simplicity, the three-qubit code demonstrates the fundamental principles of quantum error correction.

Given a logical qubit:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle,$$

the encoded state is:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

The state stores the logical value, and all 3 qubits are in agreement. A bit flip on any of the qubits can take the state out of the code space:

$$X_1|\psi\rangle_L = \alpha|100\rangle + \beta|011\rangle$$

$$X_2|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle$$

$$X_3|\psi\rangle_L = \alpha|001\rangle + \beta|110\rangle$$

In each case, the state becomes orthogonal to the original code space, but it remains distinguishable due to the specific error pattern. We can utilise this to detect and correct the error using syndrome measurements. As a stabiliser code, this code is defined by 2 generators:

$$S_1 = Z_1Z_2, \quad S_2 = Z_2Z_3$$

The code space is the +1 eigenspace of both stabilisers. Any bit-flip error will anticommute with one or both stabilisers, which can cause one or both syndrome values to flip to -1.

It is important to emphasize the scope of the three-qubit bit-flip code. The code is designed to correct at most one

bit-flip (Pauli-X) error occurring on any of the three physical qubits. However, it does not protect against phase-flip (Pauli-Z) errors, since such errors commute with the stabiliser generators and therefore do not produce a detectable syndrome. Correcting both kinds of errors requires more powerful quantum error correction codes, such as the Shor code, the Steane code, or topological constructions like the surface code, which can address additional single-qubit errors and form the basis of fully fault-tolerant quantum computation.

### 4.3 Basic Syndrome Measurement and Error Correction

To identify and correct errors, we perform syndrome measurements, which involve measuring the stabiliser operators without causing a collapse of the encoded quantum information.

Firstly, we shall go over Syndrome Extraction. We measure  $S_1 = Z_1 Z_2$  and  $S_2 = Z_2 Z_3$ . These two observables commute with each other and with the encoded state so their measurement outcomes reveal information about where a bit-flip could have occurred, but not about the encoded probability amplitudes. Using the previous example:

Error	$S_1 = Z_1 Z_2$	$S_2 = Z_2 Z_3$	Syndrome
No Error	+1	+1	(0,0)
Flip on Qubit 1	-1	+1	(1,0)
Flip on Qubit 2	-1	-1	(1,1)
Flip on Qubit 3	+1	-1	(0,1)

Table 1: Syndrome Extraction Table

Once the error is located via the syndrome, we apply the corresponding correction, which can be determined from the above table (Table 1). This procedure restores the state to the original codeword. The entire process can be repeated continuously to protect quantum information over time. Note that this code can only correct a single bit-flip error. This limitation reflects the code's distance of 3.

### 4.4 The Role of Ancillas in Syndrome Measurement

In quantum error correction, ancilla qubits play a vital role in non-destructively extracting error information from a quantum system. When measuring stabiliser operators, it is crucial to avoid collapsing or disturbing the encoded

logical qubit. Directly measuring the data qubits would collapse their superposition and destroy the encoded quantum information. Ancilla qubits resolve this problem by acting as intermediaries. They are entangled with pairs of data qubits using a series of CNOT gates, in such a way that the parity of the data qubits is mapped onto the ancilla's state. The ancilla is then measured, revealing the syndrome without disturbing the logical state.

## 5. FAULT-TOLERANT QUANTUM COMPUTATION

### 5.1 Error Propagation and Catastrophic Failures

In classical circuits, errors can often be localised. However, quantum gates (particularly multi-qubit systems) can cause error propagation, which is when errors spread to multiple qubits in a single operation. This can often compromise the integrity of large amounts of quantum information, as even a single fault can corrupt many qubits.

Let us consider a CNOT gate acting on two qubits. If the control qubit has an  $X$  error, it remains on the control and the error propagates to the target:

$$(X \otimes I)CNOT = CNOT(X \otimes X)$$

If the target qubit has a  $Z$  error, it can actually propagate back to the control qubit, causing errors to affect the entire system:

$$(I \otimes Z)CNOT = CNOT(Z \otimes Z)$$

This illustrates how error propagation may take place in a quantum system. Let us now consider a new situation where we have encoded a logical qubit using a stabiliser code that can correct one error per block (which is a group consisting of a logical qubit and the physical qubits that protect it). Suppose we apply a non-fault tolerant CNOT gate between two of such blocks, and a single-qubit error occurs. If this error propagates into 2 errors within one code block, then the QEC code fails to correct it as it exceeds the error correction capacity of one error. This is what is known as Catastrophic Failure. A more general description of Catastrophic Failures would be: a failure that occurs when multiple gates are applied across qubits in a block, and no mechanism is in place to limit the spread of errors, causing a single hardware fault to potentially invalidate the entire computation.

### 5.2 Transversal Gates and Error Suppression

A key tool in FTQC is the use of Transversal Gates. These gates are used to localise errors, preventing the spread of a single physical error into multiple qubits within the same block, maintaining the integrity of the

computation.

A transversal gate is one that applies operations independently and in parallel across corresponding qubits in different code blocks. Formally, a gate  $U$  is transversal if it can be written as the tensor product of single-qubit gates:

$$U = U_1 \otimes U_2 \otimes U_3 \dots \otimes U_n,$$

where  $U_i$  acts on the  $i$ th physical qubit of a code block. If two blocks each encode a logical qubit across  $n$  physical qubits, a transversal implementation of a logical two-qubit gate, such as the logical CNOT, consists of applying CNOT gates independently between the corresponding physical qubits of the two blocks. The logical CNOT can be described as:

$$CNOT_L = CNOT_1 \otimes CNOT_2 \otimes CNOT_3 \dots \otimes CNOT_n,$$

where each CNOT acts between the  $i$ -th qubit of the control block and the  $i$ -th qubit of the target block.

### 5.3 The Threshold Theorem

The Threshold Theorem is the central theoretical result behind the entirety of FTQC. It states that if the physical error rate per operation  $p$  is below a certain threshold  $p_{th}$ , then arbitrarily long quantum computations can be performed reliably, with overhead that grows only polylogarithmically in the circuit size. Generally,  $p_{th}$  is accepted to be around  $10^{-2}$  to  $10^{-4}$ , with more specific ranges set depending on the user and use case. If  $p < p_{th}$ , the quantum computations performed are still reliable and the quantum computer is scalable. If the condition fails, then computations cannot be reliably performed due to a significant possibility of error.

The mechanism behind the Threshold Theorem can be understood through the concept of recursive encoding. Consider a quantum error-correcting code that can correct up to  $t$  errors in a block of physical qubits. If the physical error rate per operation is  $p$ , then after one level of encoding the logical error rate is no longer linear in  $p$ . Instead, logical failure requires multiple physical faults within the same block, so the logical error rate scales approximately like  $p^{t+1}$ , up to constant combinatorial factors. [23]

This newly encoded logical qubit can then be encoded again using the same code, a process known as

“concatenation”. In this second level, the previously obtained logical error rate becomes the effective “physical” error rate for the new block. As a result, the logical error rate is suppressed again by roughly the same power scaling law.

If the initial physical error rate is below a critical threshold value  $p_{threshold}$ , the recursive suppression continues with each level of encoding. The logical error rate would decrease rapidly with every level, enabling arbitrarily long computations to be performed reliably. If the physical error rate exceeds this threshold, however, recursive encoding no longer improves reliability and errors accumulate instead.

## 6. SIMULATION OF 3-QUBIT ERROR CORRECTION

### 6.1 Mathematical Proof of Error Correction in 3-Qubit System

Now, taking into consideration everything we have looked at so far, we will explore the propagation and correction of quantum errors within a 3-Qubit system, so that we can gain a better understanding of the tools used in building fault tolerant quantum computers.

Let us begin this demonstration by defining a single logical qubit in the superposition:

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \text{ where } \alpha, \beta \in \mathbb{C} \text{ and } |\alpha|^2 + |\beta|^2 = 1.$$

This qubit is encoded into 3 physical qubits as such:

$$|\psi\rangle_L = \alpha|000\rangle + \beta|111\rangle.$$

Let us suppose a bit-flip error, represented by the Pauli-X operator, acts on the second qubit due to external environmental conditions. The erroneous state then becomes:

$$|\psi\rangle_L = \alpha|010\rangle + \beta|101\rangle.$$

Here, the second qubit has been flipped, moving the state outside of the protected code space. In order to detect the error, we measure stabiliser generators  $S_1 = Z_1Z_2$  and  $S_2 = Z_2Z_3$ . Measuring  $S_1$  yields an eigenvalue of -1, indicating that qubits 1 and 2 differ in value. Similarly, measuring  $S_2$  yields an eigenvalue of -1, indicating that qubits 2 and 3 differ in value. Therefore, the measured syndrome is:

$$(S_1, S_2) = (1, 1).$$

This identifies the error as a flip of qubit 2. We can then apply a corrective X gate to the second qubit.

$$X_2|\psi'\rangle = \alpha|000\rangle + \beta|111\rangle = |\psi\rangle_L.$$

The original state is thereby restored.

## 6.2 Computer Simulation of Error Correction in 3-Qubit System

Here is a simulation of a 3-Qubit bit-flip error, as well as the measurement and rectification of the error. This program was created using Qiskit, which is a Quantum Computing framework designed by IBM. The language utilised by this tool is Python. The code for the program can be found in the Appendix A.<sup>1</sup> This simulation demonstrates to readers how syndrome measurement can identify and correct a single bit-flip error while preserving the encoded quantum information.

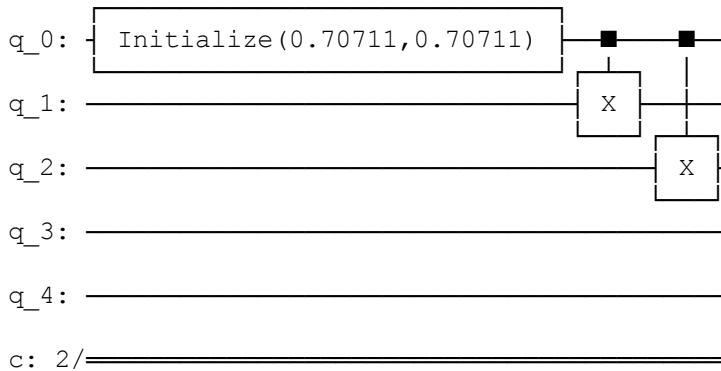
## 6.3 Single Sampled Execution of Computer Simulation (Worked Example)

Here is a single-sampled execution of the probabilistic noise model used to illustrate the mechanics of syndrome extraction and recovery. When run, this code will detail the state of the quantum system at every step throughout the process. The first useful result of the output would be the initialisation of the logical qubit :

```
Initializing logical qubit  $|\psi\rangle = 0.70711|0\rangle + 0.70711|1\rangle$ 
```

Upon the encoding of the logical qubit into three physical qubits, the circuit will be displayed as such:

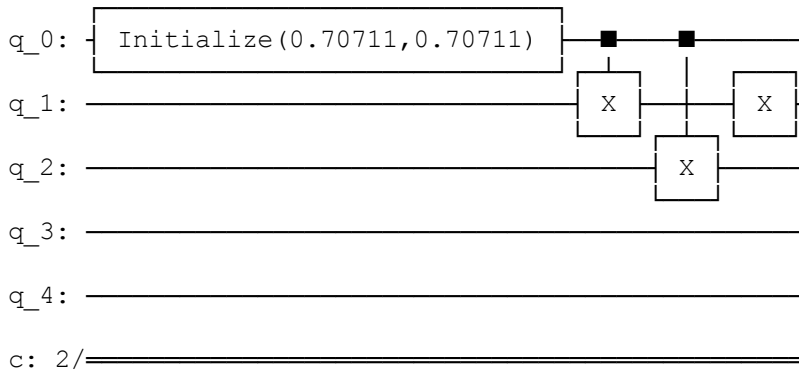
Circuit after encoding:



Upon the introduction of a bit-flip error on qubit 1, the second qubit, the state of the circuit will change to:

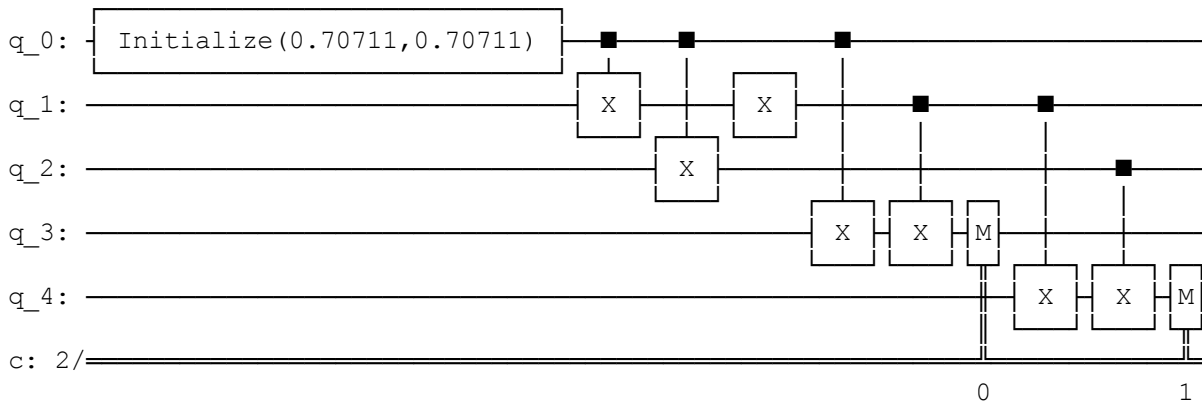
<sup>1</sup>Qiskit uses zero-based indexing, and therefore the simulation labels qubits as q\_0 to q\_4 rather than q\_1 to q\_5.

```
> Injecting X error on random qubit...
> Circuit after error injection:
```



Syndrome extraction is then performed, and the  $Z_1Z_2$  and  $Z_2Z_3$  stabilisers are measured, displaying the circuit:

```
> Circuit after syndrome extraction:
```

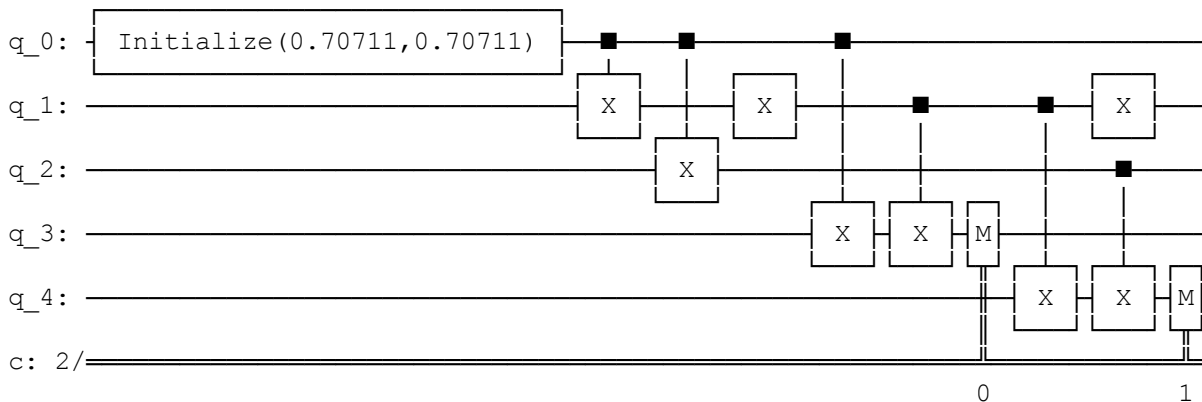


The syndrome measurement is then obtained, which allows the error correction method to be determined:

```
> Simulating syndrome measurement...
> Most common syndrome outcome: 11
> Detected X error on qubit 1 (q1). Applying correction...
```

The error correction technique is applied to the circuit, which is then printed as such:

```
> Full circuit after combining with correction:
```



This circuit shows the final circuit having been corrected, and the quantum information undisturbed.

## 6.4 Empirical Logical Error Rates Under a Probabilistic Bit-Flip Channel

While Section 6.3 presented a single sampled execution of the probabilistic noise model, we now examine the behaviour of the code across many trials. In this experiment, each of the three data qubits is subjected independently to a bit-flip error with probability  $p$ , corresponding to an i.i.d bit-flip channel.

For each trial, a logical state is prepared, encoded, subjected to stochastic noise, corrected using stabiliser measurements, and then decoded for measurement. A logical failure is an output measurement inconsistent with the originally prepared logical state. Repeating this process over many independent trials yields an empirical estimate of the logical error rate  $p_L$  as a function of the physical bit flip probability  $p$ .

As expected, the three-qubit repetition code suppresses single-qubit bit-flip errors. We can see that logical failure events arise primarily when two or more physical bit flips occur within the same block, exceeding the code's correction capability. Consequently, for sufficiently small values of  $p$ , the observed logical error rate scales approximately quadratically with the physical error probability, reflecting the requirement of multiple simultaneous faults for logical failure. For small values of  $p$ , the dominant contribution to logical failure arises from two simultaneous bit-flip events, whose probability scales as  $\binom{3}{2}p^2(1-p) \approx 3p^2$ , while higher order terms are negligible.

Below, Table 2 summarises representative simulation results over  $N$  trials for selected values of  $p$ . The empirical data confirm the anticipated reduction in logical error probability relative to the underlying physical error rate in the low-noise regime.

Physical Error Rate, $p$	Trials, $N$	Logical Error Rate $p_L$	Theoretical $p_L$
0.01	10000	0.0003	0.000298
0.05	10000	0.0074	0.007375
0.10	10000	0.0275	0.028000
0.20	10000	0.104	0.104000

Table 2: Logical Error Rates of the Three-Qubit Repetition Code Under i.i.d. Bit-Flip Noise

These results illustrate the central idea underlying fault tolerance in encoding, which is that logical failure requires correlated faults. In the low-noise regime, this leads to a nonlinear suppression of logical error rates relative to physical error rates, reflecting the redundancy built into the code.

## **7. RESULTS AND DISCUSSION**

### **7.1 Reducing Overhead and Resource Costs**

FTQC establishes the theoretical foundation for building reliable and scalable quantum computer systems in the presence of physical imperfections. However, realising FTQC in practice can yield significant challenges. One such challenge would be the immense overhead involved when implementing current error detection schemes. The encoding of a single logical qubit typically demands not just 3, but hundreds or thousands of physical qubits, especially when operating near the threshold error rates. [6] Each logical operation also incurs significant amounts of circuit depth due to the repeated cycles of error detection, syndrome extraction and state preparation. [4]

Reducing this overhead is an active field of research. Some of the common strategies include the design of more efficient quantum error-correcting codes, such as topological codes with low-weight stabilisers that reduce spatial and operational complexity. [10] On top of that, optimized concatenated code constructions which aim to minimize the total number of required qubits can also be implemented. [11] Recent advances in fault-tolerant circuit synthesis also focus on reducing the cost of non-Clifford gate constructions, which is a major bottleneck for scalable quantum computing. Achieving low-overhead fault-tolerant architectures is essential for realizing practical, large-scale quantum devices.

### **7.2 Development of Efficient Decoders**

Another big challenge faced by FTQC is the development of scalable decoders. After syndrome extraction, the decoding algorithm must infer the likely error that occurred and prescribe the appropriate correction. For larger systems, decoding must be both fast enough to keep pace with hardware cycles and accurate enough to prevent logical errors. [5]

Traditionally practiced decoding methods perform well under simple noise models but can struggle under correlated or biased noise. [3] Emerging approaches leverage machine learning, including neural network-based decoders that can adapt to complex noise patterns and hardware-specific error profiles. [19] Other proposals

investigate hardware-accelerated decoding, aiming to perform syndrome analysis and correction decision-making at cryogenic temperatures alongside the quantum processor. [20]

Approach	Strengths	Weaknesses
Minimum Weight Perfect Matching	High accuracy near i.i.d. Pauli noise.	Classical compute can be heavy at scale, performance can also degrade under strong bias.
Union-Find	Very fast, low memory use, therefore scalable.	Slightly worse logical error rates than MWPM under comparable conditions.
Belief Propagation	Can incorporate biased or structured noise better than naïve Pauli-i.i.d. assumptions.	Requires tuning, may fail on loopy graphs.
Machine Learning Decoders	Can adapt to correlated, biased and hardware specific noise, and inference can be fast after training	Requires good training data

Table 3: Comparison Of Decoder Approaches

There exist many of such aforementioned approaches and proposals to scalable decoders. As shown in Table 3, decoder design is a three-way trade-off between accuracy, latency, and robustness to realistic noise. MWPM, otherwise known as Minimum Weight Perfect Matching, remains a strong accuracy baseline under near-i.i.d. Pauli error models, but its classical compute cost can become significant at scale. Faster methods such as union-find and other greedy decoders sacrifice some logical performance in exchange for real-time throughput. When the noise deviates from simple assumptions, probabilistic methods, machine-learning decoders can better match the error structure, at the cost of model tuning, training data, and generalization issues.

Efficient decoders must balance computational complexity with error-correction performance, ensuring that decoding does not become the new bottleneck as quantum systems scale.

Importantly, decoder performance cannot be evaluated independently of the chosen code family and hardware platform. High-threshold codes such as surface codes are often paired with MWPM-style decoders, where accuracy is prioritized over simplicity. In contrast to this, subsystem and hardware-specialized codes may shift complexity from stabilizer measurement overhead to decoding logic. As system sizes grow, the interplay between code structure and decoding latency becomes a central architectural constraint, as a theoretically strong code

may become impractical if the associated decoder cannot operate within hardware cycle times.

### 7.3 Exploring Alternative Error Models and Codes

Most early fault-tolerant schemes assume simplified noise models, such as independent and identically distributed (i.i.d.) bit-flip and phase-flip errors. However, actual quantum devices exhibit far more complicated error behaviors, including spatially and temporally correlated errors, non-Markovian effects, and leakage outside the computational subspace. [16]

Approach	Strengths	Weaknesses
Subsystem Codes	Reduced stabiliser measurement overhead, can tolerate certain correlated and measurement errors	More complex decoding
Bosonic Codes	Naturally match dominant physical noise	Require high-quality oscillators and control
Tailored Surface Code Variants	High fault-tolerance thresholds, adaptable to biased or correlated noise	Performance depends strongly on accurate noise characterization
Leakage-aware Protocols	Can prevent leakage from spreading and corrupting syndromes	Additional circuitry, time, and control complexity

Table 4: Comparison Of Fault-Tolerant Protocols

To address these error behaviours, researchers are investigating alternative error models and novel quantum codes tailored to specific noise environments, as mentioned above (Table 4). Subsystem codes [14], bosonic codes [12], and tailored surface code variants are some of the leading candidates for improving resilience against realistic hardware noise. At the same time, improved experimental noise characterization techniques are guiding the development of better theoretical models that more accurately capture error behavior. [7] Codes and protocols designed with realistic noise in mind are expected to enhance the effectiveness of fault-tolerant architectures.

Beyond the idealized i.i.d. Pauli-noise setting, contemporary fault-tolerant design increasingly emphasizes protocols whose advantages and limitations are best understood in the context of realistic device noise. Subsystem codes are advantageous because their gauge structure can reduce stabiliser-measurement overhead and offer flexibility against certain measurement and correlated error mechanisms, although this typically comes

at the cost of more intricate decoding and, in many instances, lower thresholds than leading topological codes. Bosonic codes, by contrast, exploit oscillator degrees of freedom to align the encoding with dominant physical processes such as loss and dephasing and can mitigate leakage by construction, but they rely on high-coherence modes and precise, platform-specific control. Tailored surface codes remain central due to their comparatively high thresholds and mature theoretical footing, yet they retain substantial resource demands and their realized benefits depend sensitively on accurate noise modeling and characterization. Lastly, Leakage-aware protocols specifically address errors in which qubits leave the computational subspace by incorporating detection, suppression, or reset mechanisms, thereby preventing leaked states from persisting across cycles and contaminating syndrome extraction and logical operations.

These trade-offs reveal that threshold values alone do not determine architectural viability. Codes with high theoretical thresholds may incur issues such as substantial qubit overhead, increased stabilizer depth, or demanding classical decoding requirements. Conversely, codes optimized for specific physical noise processes may reduce overhead or measurement burden, but operate with tighter performance margins. Practically, scalable fault-tolerant design emerges from balancing three competing objectives. Firstly, maximizing the threshold. Secondly, minimizing resource overhead. Finally, aligning with realistic hardware noise and control constraints. No existing code simultaneously optimizes all three, making architecture design inherently platform-dependent.

## **8. CONCLUSION**

This paper has presented a basic overview of FTQC. Beginning with the framework for QEC, we demonstrated how codes such as the three-qubit bit-flip code serve as the first layer of defense against decoherence and physical noise. We then examined the necessity of fault tolerance in quantum computation. Fault tolerance, as we have observed, is not merely an enhancement to QEC, but a rigorous discipline that ensures quantum algorithms remain functional even when components of the quantum circuit fail. By studying error models, syndrome extraction and correction, and the threshold theorem, we identified how carefully designed logical operations can prevent single-point failures from cascading across a system. [21]

There are still practical challenges that exist in FTQC. High qubit overheads as well as slow and hardware-incompatible decoders hinder the deployment of FTQC on near-term devices. [22] However, emerging

approaches suggest a future where these limitations may be significantly mitigated, allowing for the construction of reliable large-scale quantum computer systems.

As a concrete next step, readers can examine surface-code implementations adapted to the constraints of current hardware platforms, and how syndrome extraction is paired with practical decoding strategies. This provides a route from the abstract principles of fault tolerance to real engineering considerations that need to be taken.

As quantum technologies advance beyond the NISQ era, FTQC will be indispensable. It does not only offer a path to preserving quantum information over long durations, but also ensures that the benefits of quantum computation can be scaled up safely and reliably. In this light, fault tolerance is the mechanism by which the promise of quantum computing becomes physically and practically realisable.

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## APPENDIX A

Qiskit 3-Qubit Bit Flip and Correction Simulation Code:

```

from qiskit import QuantumCircuit, transpile
from qiskit_aer import Aer
from qiskit.quantum_info import Statevector
import numpy as np

# --- Step 1: Initialize logical qubit ---
alpha = 1 / np.sqrt(2)
beta = 1 / np.sqrt(2)
print("> Initializing logical qubit  $|\psi\rangle = \{:.5f\}|0\rangle + \{:.5f\}|1\rangle".format(alpha, beta))
# 3 data qubits (q0, q1, q2), 2 ancilla qubits (q3, q4), 2 classical bits (c0, c1)
qc = QuantumCircuit(5, 2)

# --- Step 2: Encode the logical qubit into the 3-qubit repetition code ---
qc.initialize([alpha, beta], 0) # logical qubit on q0
qc.cx(0, 1)
qc.cx(0, 2)
print("\n> Circuit after encoding:")
print(qc.draw('text'))

# --- Step 3: Inject a bit-flip (X) error on one of the qubits ---
print("\n> Injecting X error on random qubit...")
p = 0.2 # physical bit-flip probability
for q in [0, 1, 2]:
    if np.random.rand() < p:
        qc.x(q)
print("\n> Circuit after error injection:")
print(qc.draw('text'))$ 
```

```

# --- Step 4: Syndrome extraction using ancilla qubits (q3, q4) ---
# Measure Z0Z1 using q3
qc.cx(0, 3)
qc.cx(1, 3)
qc.measure(3, 0)
# Measure Z1Z2 using q4
qc.cx(1, 4)
qc.cx(2, 4)
qc.measure(4, 1)
print("\n> Circuit after syndrome extraction:")
print(qc.draw('text'))

# --- Step 5: Simulate the circuit and obtain syndrome ---
print("\n> Simulating syndrome measurement...")
backend = Aer.get_backend('qasm_simulator')
job = backend.run(transpile(qc, backend), shots=1024)
result = job.result()
counts = result.get_counts()
syndrome = max(counts, key=counts.get)
print("> Most common syndrome outcome:", syndrome)

# --- Step 6: Determine and apply correction based on syndrome ---
correction = QuantumCircuit(5)
if syndrome == '10':
    print("> Detected X error on qubit 0 (q0). Applying correction...")
    correction.x(0)
elif syndrome == '11':
    print("> Detected X error on qubit 1 (q1). Applying correction...")
    correction.x(1)
elif syndrome == '01':
    print("> Detected X error on qubit 2 (q2). Applying correction...")
    correction.x(2)
else: print("> No error detected. No correction applied.")
print("\n> Correction circuit:")
print(correction.draw('text'))

# --- Step 7: Combine full circuit with correction ---
full_circuit = qc.compose(correction)
print("\n> Full circuit after combining with correction:")
print(full_circuit.draw('text'))

# --- Step 8: Simulate final statevector after correction ---
print("\n> Simulating final corrected statevector...")
state_backend = Aer.get_backend('statevector_simulator')
final_job = state_backend.run(transpile(full_circuit, state_backend))
final_result = final_job.result()
final_statevector = final_result.get_statevector()
print("\n> Final corrected statevector:")
print(final_statevector)
print("\n> Simulation complete. Logical qubit successfully protected and corrected.")

```

## APPENDIX B

## Qiskit 3-Qubit Bit Flip and Correction Logical Error Rate Tabulation Code:

```
from qiskit import QuantumCircuit, transpile
from qiskit_aer import Aer
import numpy as np

backend = Aer.get_backend("qasm_simulator")

def build_trial_circuit(prepare_bit: int, p: float, rng: np.random.Generator) ->
QuantumCircuit:

    qc = QuantumCircuit(5, 3)

    # 1) Prepare |0> or |1> on q0
    if prepare_bit == 1:
        qc.x(0)

    # 2) Encode the 3-qubit repetition code
    qc.cx(0, 1)
    qc.cx(0, 2)

    # 3) i.i.d. bit-flip channel on the data qubits
    for q in [0, 1, 2]:
        if rng.random() < p:
            qc.x(q)

    # 4) Syndrome extraction
    # Measure Z0Z1 using ancilla q3 -> c0
    qc.cx(0, 3)
    qc.cx(1, 3)
    qc.measure(3, 0)

    # Measure Z1Z2 using ancilla q4 -> c1
    qc.cx(1, 4)
    qc.cx(2, 4)
    qc.measure(4, 1)

    # 5) Decode (inverse of encoding)
    qc.cx(0, 2)
    qc.cx(0, 1)

    # 6) Measure logical output q0 -> c2
    qc.measure(0, 2)

    return qc

def apply_correction_postprocess(outcome: str) -> int:
    """
    Apply the correction logic by post-processing the measurement results.

    Syndrome Mapping (c1 c0):
    00 -> no correction
    10 -> X on q0
    11 -> X on q1
    01 -> X on q2
    """
```

```

    Since we decode before measuring q0, only the '10' case (X on q0) flips the
    decoded logical bit.
    The outcome is a 3-bit string: c2 c1 c0 (MSB -> LSB).
    """
    c2 = int(outcome[0])
    c1 = int(outcome[1])
    c0 = int(outcome[2])

    # If syndrome is '10' (c1=1, c0=0), emulate X on q0 by flipping logical bit
    if (c1, c0) == (1, 0):
        c2 ^= 1

    return c2

def one_trial_failure(prepare_bit: int, p: float, rng: np.random.Generator) -> bool:
    """
    Run one trial and return True if logical failure, otherwise return False.
    """
    qc = build_trial_circuit(prepare_bit, p, rng)
    result = backend.run(transpile(qc, backend), shots=1).result()
    outcome = next(iter(result.get_counts().keys()))
    logical_out = apply_correction_postprocess(outcome)
    return logical_out != prepare_bit

def estimate_logical_error_rate(p: float, N: int = 10000, seed: int = 42) -> float:
    """
    Estimate the logical error rate p_L over N independent trials.
    """
    rng = np.random.default_rng(seed)
    failures = 0
    for i in range(N):
        prepare_bit = i % 2
        failures += one_trial_failure(prepare_bit, p, rng)
    return failures / N

def theoretical_pL(p: float) -> float:
    """
    For i.i.d. bit flips on 3 qubits, the 3-qubit repetition code fails when >=2 flips
    occur:
    
$$p_L = P(2 \text{ flips}) + P(3 \text{ flips}) = 3 p^2 (1-p) + p^3$$

    """
    return 3*(p**2)*(1 - p) + (p**3)

if __name__ == "__main__":
    # Here, please choose the N and p values for Table 2
    N_trials = 5000
    ps = [0.01, 0.05, 0.10, 0.20]

    print("p\tN\tp_L (observed)\tp_L (theoretical)")
    for p in ps:
        pL_obs = estimate_logical_error_rate(p=p, N=N_trials, seed=7)
        pL_th = theoretical_pL(p)
        print(f"{p:.2f}\t{N_trials}\t{pL_obs:.6f}\t\t{pL_th:.6f}")

```

## **AUTHORS**

Raghav Sriram is an independent researcher with a strong interest in scalable quantum architectures and quantum cryptography. He has engaged with leading research institutions and is currently exploring the foundations of fault-tolerant quantum computation, with a focus on building reliable systems beyond the NISQ era.

## Revision Change Log 2

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: Raghav Sriram

#	Section	Nature of Change	Description
1	Section 6.3	Correction	Changed $Z1Z2$ and $Z2Z3$ to $Z_1Z_2$ and $Z_2Z_3$
2	Section 6.2	Content Addition	Added a line describing what readers could take away from the 3-qubit bit-flip simulation written below in Qiskit.
3	Abstract	Content Modification	Clarified the audience of the paper when stating the learning outcomes.
4	Introduction	Content Addition	Tied noise model discussion to stabiliser formalism and fault-tolerant constructions introduced later.
5	Section 5.3	Content Addition	Added 3 paragraphs explaining concatenation and how recursive encoding suppresses logical error rates, improving the superficial explanation
6	Section 6.4	Content Addition	Added Section 6.4 to include a probabilistic i.i.d. bit-flip channel and a Monte Carlo evaluation of logical error rates across independent trials.
7	Section 6.3	Content Modification	Renamed Section 6.3 to “Single Sampled Execution of Computer Simulation (Worked Example)”
8	Appendix A	Appendix Modification	Changed the code to be from deterministic to probabilistic, such that readers can simulate more scenarios apart from the worked example, as well as the name of the appendix.
9	Section 6.3	Content Modification	Updated simulator results, specifically when printing the state of the circuit and the error implemented to reflect the probabilistic nature of the errors that result from the new code written.
10	Section 6.3	Content Addition	Added text describing the quadratic scaling of the logical error rate arising from the probability of two simultaneous bit-flip events in the three-qubit block.
11	Section 6.3	Table Addition	Created Table 2, describing logical error rates of the Three-Qubit repetition code under i.i.d. bit-flip noise
12	Section 7.2	Table Modification	Renamed Table 3 and corresponding references to Table 4
13	Section 7.3	Table Modification	Renamed Table 2 and corresponding references to Table 3
14	Appendix B	Appendix Creation	Created Appendix B, containing the Qiskit 3-Qubit Bit Flip and Correction Logical Error Rate Tabulation Code:
15	Added to 7.2	Content Addition	Expanded Section 7.2 to provide a clearer analysis of the trade-offs between decoding accuracy, latency, and architectural scalability.
16	Added to 7.3	Content Addition	Expanded Section 7.3 to deepen the comparison of code families by analyzing the balance between threshold performance, qubit overhead, and hardware realism in practical fault-tolerant architectures
17	Section 8	Content Removal	Removed the strong phrasing describing FTQC as mathematically complete
18	Section 10	Source Addition	Added a source to the claim put forth about recursive encoding.

## Response to Action Editor

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: Raghav Sriram

Reviewer Addressed: Action Editor

I thank the Action Editor and Managing Editor for the conditional acceptance of my manuscript and for their constructive final remarks. I have implemented the requested revisions in full. I have addressed these point-by-point as shown below, and all changes are reflected in the revised manuscript and summarised in the Change Log, labelled "Change Log 2".

---

### Minor Issue

*"Make sure all equations and symbols are formatted uniformly across the text and in the captions. For example, "Z1Z2 and Z2Z3 stabilisers" (page 14) while in the main body text numbers appear as subscripts"*

### Response

I thank the Action Editor for highlighting this typographical error.

### Revision

The typographical error has been rectified. All areas of the document that are plaintext have been checked for similar errors. The corresponding changes appear in Section 6.3.

---

### Minor Issue

*"In Section 6 (perhaps early in the section), the author might add one short sentence explaining what a reader should take away from the code."*

### Response

I thank the Action Editor for this suggestion. I agree that this would be a good way to prime readers' expectations and understanding when they begin studying the code.

### Revision

I have added a line that states "This simulation demonstrates to readers how syndrome measurement can identify and correct a single bit-flip error while preserving the encoded quantum information".

The corresponding change appears in the footnote referenced in Section 6.2

---

### Minor Issue

*"The abstract is clear but it would be helpful to clarify the target audience of this paper (for example, early graduate students or non-specialists in adjacent fields)."*

### Response

I thank the Action Editor for noting the clarification needed in the paper's target audience.

## Revision

I have updated the abstract to state “This paper presents an overview of fault-tolerant quantum computation, beginning with the foundational principles of quantum information and quantum error correction, and is written for undergraduates and non-specialists seeking a unified introduction to the field.”

The corresponding change appears in the Abstract.

---

I thank the Action Editor and Managing Editor for their constructive guidance throughout the review process. I trust that the revisions implemented satisfactorily address the remaining comments, and I am grateful for the opportunity to bring this work to publication.

Sincerely,

Raghav Sriram  
Corresponding Author

## **Response to Managing Editor**

Submission ID: 100128

Submission Title: An Overview Of Assuring Fault Tolerance In Quantum Computers Through Quantum Error Correction

Author ID: 100135

Corresponding Author: Raghav Sriram

Reviewer Addressed: Managing Editor

I thank the Action Editor and Managing Editor for the conditional acceptance of my manuscript and for their constructive final remarks. I have implemented the requested revisions in full. I have addressed these point-by-point as shown below, and all changes are reflected in the revised manuscript and summarised in the Change Log, labelled “Change Log 2”.

---

### **Minor Issue**

*“Clarify the “unified presentation” claim by explicitly connecting the noise model discussion (Section 3) to the stabilizer formalism and fault-tolerant constructions introduced later”*

### **Response**

I thank the Managing Editor for highlighting this necessary clarification. I agree that a sentence explicitly connecting these parts of my paper would aid in understanding.

### **Revision**

A line has been added, stating “In particular, the channel-based noise models in Section 3 are carried forward as Pauli error processes that define stabiliser syndromes and enable the later analysis of error propagation, transversal gates, and the threshold theorem.”.

The corresponding changes appear in the Introduction (Section 1, Page 2).

---

### **Minor Issue**

*“Deepen the threshold theorem section with a brief schematic explanation of how recursive encoding suppresses logical error rates, rather than only stating the existence of a threshold range”*

### **Response**

I thank the Managing Editor for this suggestion. I agree that my initial explanation could be improved and taken to a greater depth, so that it provides readers with a better understanding of the concept of the Threshold Theorem. This can also aid in the readers’ comprehension of referenced literature they may encounter later in the document.

### **Revision**

I have expanded the section to include a schematic explanation of recursive encoding and how concatenation suppresses logical error rates below the threshold. The revised section now briefly outlines how multi-level encoding reduces effective error rates and clarifies the mechanism underlying the existence of a fault-tolerance threshold.

The corresponding change appears in The Threshold Theorem (Section 5.3, Page 13).

---

### Minor Issue

*“Expand the simulation section by incorporating a probabilistic bit-flip channel and reporting logical error rates across multiple trials, rather than demonstrating a single deterministic injected error”*

### Response

I thank the Managing Editor for this constructive suggestion.

### Revision

In response, I have expanded the simulation section to include a probabilistic i.i.d. bit-flip channel and a Monte Carlo evaluation of logical error rates across independent trials. Section 6.3 now presents a deterministic worked example for pedagogical clarity, while a newly created Section 6.4 introduces a statistical analysis in which each physical qubit undergoes a bit-flip with probability  $p$ . The logical error rate is estimated empirically over  $N$  trials and compared with the theoretical expression  $p_L = 3p^2(1 - p) + p^3$ .

A new table (Table 2, where the old Table 2 and Table 3 were renamed Table 3 and Table 4 respectively) reports representative empirical and theoretical values, demonstrating the expected quadratic scaling in the low-noise regime. The Monte Carlo implementation used to generate these results has been added as Appendix B.

The corresponding changes appear in the Appendix B, Section 6.3 and Section 6.4.

---

### Minor Issue

*“Provide more critical comparison in Sections 7.2-7.3. While decoder and code families are summarized with strengths and weaknesses, the trade-offs (e.g., overhead vs. threshold vs. hardware realism) are not analyzed in sufficient depth”*

### Response

I thank the Managing Editor for pointing this out.

### Revision

I have expanded Sections 7.2 and 7.3 to provide a more clear analysis of trade-offs between decoding accuracy, latency, threshold values, overhead and hardware realism. The revised text now links decoder complexity to code structure and emphasises the constraints that arise when balancing threshold performance with real-world resource limitations.

The corresponding changes appear in Section 7.2 and 7.3.

---

### Minor Issue

*“Temper some moderately strong phrasing, such as describing FTQC as “mathematically complete,” which may overstate the maturity of the field, given ongoing challenges discussed elsewhere in the paper”*

### Response

I thank the Managing Editor for taking note of this.

### Revision

I have removed the strong phrasing describing FTQC as mathematically complete.

The corresponding changes appear in Section 8.

---

I thank the Action Editor and Managing Editor for their constructive guidance throughout the review process. I trust that the revisions implemented satisfactorily address the remaining comments, and I am grateful for the opportunity to bring this work to publication.

Sincerely,

Raghav Sriram  
Corresponding Author