

Binod Prasad

Operation Manager in IFRS/US-GAAP Financial Regulatory reporting | Transfer Agency, Client Maintenance | Data and Research Analyst in Investment Banking and Equity Markets
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Professional Synopsis

- 9+ Years of experience as Deputy Manager and other key roles in US GAAP/IFRS Financial Regulatory Reporting, Fund Accounting.
- 4+ Years of experience as Operations and Team Leader Roles in Client Data Maintenance (On-boarding of new clients KYC, EDD, CDD and AML Checks of Corporate and Global Clients), Trade/Dealing/Settlement Processing in Transfer Agency in UK, EMEA and Global Markets.
- End-to-end process management including Daily SLA/KPI on RTR(Record to Report), PTP(Procure to Pay) and OTC (Order to Cash) Derivatives Transaction of major clients on Blackline Tool.
- Managed a Process Automation Project to Enhance the Functional features of Applications and performance UAT and Report to all stockholders.
- Team Management, Team Performance Review, Goal Setting and periodic monitoring of Goal achievement Progress Process Dashboard Reporting and allocation of processing Task to team.
- I have a proven record of managing large teams and projects, implementing innovative solutions, and enhancing operational efficiency and process improvement.
- Always achieved Operational Excellence through my passion for 100% accurate and efficient management of large volume of Data and reporting to various stakeholders' to achieve ambitious financial and operational targets for our Clients.

Core-Competencies

- Fast pace thinking and ability to work credibly and effectively with people at all levels.
- Communication skills topped with confidence, focused to result oriented approach honoring time lines; Organizing & Prioritizing skills with ability to handle large volume of information accurately and efficiently.
- An Eye for details with cross-functional orientation, a team player approach with go-getter attitude.
- Skilled at building trust, enthusiasm and commitment in the direction taken by the organization.

Skilled at various Process Management Tools

- Using of Bloomberg, MCH Tools for Equity Analysis, AWD for Work Scanning and Prioritizing
- Expert in Arc Reporting by RR Donnelly for Production of Shareholder reporting and
- Production and prepare the Form N CSR Filing of Shareholder reporting at SEC Edgar
- Reporting tools like MS Excel Power BI and BE (Business Excellence Tools)
- Advanced financial Reporting and modelling of Equities and US Funds Accounts of Global Clients
- Research and Analysis and reporting of Equity, Fixed Income, REITS, Hedge and Loan Funds and security.
- KYC, AML, CDD, EDD checking of Global Clients and Medical Underwriting Checks of Insurance Policies.
- Expertise in IT tools like ServiceNow, MS-Office etc

People Management and Leadership Skills

- Leadership Acumen for Managing Large Teams
- Recruitment of Staff then Training & Development Coaching
- Business sales Development
- Insurance and Agency Channel Development
- IT Risk & Compliance Adherence Management
- Team Management, Performance Review, Goal Setting and continuous monitoring of Goal Progress and as People Manager
- Basic Life and Fire Safety Program Certificate

Work History

February 2022 - September 2024

Financial Services Operations Manager
SS&C Fin Tech Services, Pune, India

Roles and responsibilities

- Successfully Managed the transition of GIDS Client Maintenance process after COVID to Offshore Pune center by Building a Team of 20 Associates starting from hiring, onboarding and training of staff within the stipulated time
- Strengthened operations within the UK Client Maintenance team
- Managed routine updates on performance metrics with clients
- On-boarding of new clients KYC, EDD, CDD and AML Checks of Corporate and Global Clients mainly residing in United Kingdom (UK)
- Training and coaching of Teams on Client based applications like Nelly, and
- Enhanced efficiency by automating the capture and manipulation of client data using Image SAP Tool
- Oversaw work of administrative staff supporting Client Maintenance operations and facilitated key cross-functional collaboration.

July 2012 - February 2022

Deputy Manager (Financial Regulatory Reporting)
State Street Syntel, Pune, India

Roles and responsibilities

- Preparation of Portfolio of Investments for various US and global clients.
- Financial Reporting of various kinds of Mutual funds, fixed income and Equity, and derivatives Securities e.g
- Swaps Future, Options, and Repurchase Agreements
- Work with Business Excellence team in Development, Testing and Implementation of Various Applications
- Plan and conduct Functional / User Acceptance testing of any Automation in the Business Applications.
- Perform daily Client reporting and client calls on daily SLA and challenges on Reporting of POI (Portfolio of Investments deliverables).
- Training and coaching of Teams on various applications like Advanced excel or ARC
- Perform Team performance review and appraisal

July 2009 - March 2012

Team Leader (UK TA Asset Servicing)
BNY Mellon India Pvt. Ltd, Pune, India

Roles and responsibilities

- New Client creation, Transfer Servicing of UK Unit trust and Mutual Funds IN and Out (Purchase and Redemptions) operations of the treasury team
- Trade Processing & Verifying, AML Research and settlement of highly specialized large value deals of Barclays global funds
- Work closely with on-sight team to ensure smooth functioning of day to day activities
- Managed specialized trading team involves large value deals and AML processing of Barclays global fund
- Awarded with one of the best Employee of the 4th Quarter (2010) in UK TA
- Promoted as Team Leader from Quality Analyst and managed 2 teams of total 18 people

May 2007 - June 2010

Sales Development Manager (SDM)
HDFC Standard Life Insurance, Pune, India

Roles and responsibilities

- Sales and Development of Agency channel by recruitment of Financial Advisors, Provide Training and Provide support to them for achieving Insurance Sales Business targets.
- Initial Underwriting of Insurance proposal of the customers
- Training and development of financial consultants
- Maintain and enhance Customer relations at various branches of HDFC home loan finance
- Financial planning consultation on various financial products viz
- Mutual funds, Insurance plans and fixed deposits

Projects and Award summary

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- Lead a development of EPIPLEX Computer based Learning (CBT) Learning Project to capture critical processes for training and intelligence in the State Street Syntel.
 - Developed a Dashboard and Reporting tool to monitor and report the performance and analytics of the various operations details to Management and HR for Critical Decisions.
 - Migrated major clients like BMO-Canada, MFS and NACC2 to new financial reporting tool ARC and now added more clients in 2021 in the State Street Syntel.
 - Managed specialized trading team involves large value deals and AML processing of Barclays global fund in BNY Mellon.
 - Awarded with one of the best Employee of the 4th Quarter (2010) in UK-TA in BNY-Mellon.
 - Awarded Extra-Miller for Management and Development of a Specialized project in State Street Syntel.

Education

July 2007

Post Graduate Diploma in Finance and Marketing

Savitribai Phule Pune University at Pune, MH, IN
Distinction Award for Academic Achievement

July 2010

MCM (Master in Computer Management)

Savitribai Phule Pune University at Pune, MH, IN

August 2003

Bachelor of Science in Botany (B.Sc. Honours)

J M College Bhurkunda at Hazaribagh, Jharkhand

Certifications

- CISI (Chartered institute of Securities and Investments, UK) certified Financial Professional.
- NISM Operations and Risk Management Module.
- NISM Capital Markets Module.
- NISM Research Analyst Module.
- Knowledge of Blackline Tool
- Certified Financial Planner.