

Regular Meeting of the  
**Santa Clara County Health Authority  
Compliance Committee**

Thursday, May 26, 2022, 2:00 PM – 3:00 PM  
Santa Clara Family Health Plan  
6201 San Ignacio Ave, San Jose, CA 95119

**Via Teleconference**

(408) 638-0968

Meeting ID: 811 5131 9799

Passcode: CC2022!

<https://us06web.zoom.us/j/81151319799>

## AGENDA

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|---|-------------|------|--------|
| 1. <b>Roll Call</b>   | Ms. Murphy  | 2:00 | 5 min  |
| 2. <b>Public Comment</b><br>Members of the public may speak to any item not on the agenda; two minutes per speaker. The Compliance Committee reserves the right to limit the duration of the public comment period to 30 minutes.                   | Ms. Murphy  | 2:05 | 5 min  |
| 3. <b>Meeting Minutes</b><br>Review meeting minutes of the February 24, 2022 Compliance Committee.<br><b>Possible Action:</b> Approve February 24, 2022 Compliance Committee minutes.   | Ms. Murphy  | 2:10 | 5 min  |
| 4. <b>Compliance Activity Report</b><br>Discuss status of regulatory audits, related corrective action plans, and other compliance issues.  | Mr. Haskell | 2:15 | 10 min |
| 5. <b>Oversight Activity Report</b><br>Review the following oversight activities:<br>a. Compliance dashboard<br>b. Oversight audits<br>c. Corrective Action Plans   | Mr. Quan    | 2:25 | 15 min |
| 6. <b>Fraud, Waste, and Abuse Report</b><br>Discuss FWA activities and investigations.  | Ms. Nguyen  | 2:40 | 15 min |
| 7. <b>Compliance Policies</b><br>Review the following documents:<br>• CP.01 Regulatory Reporting<br>• CP.02 Fraud Waste and Abuse<br>• CP.04 Data Mining to Detect, Correct and Prevent FWA<br>• CP.05 Record Retention<br>• CP.06 False Claims Act | Mr. Haskell | 2:55 | 5 min  |

- CP.07 Corrective Actions
  - CP.08 Compliance Reporting Mechanisms
  - CP.09 Exclusion Screening
  - CP.10 Compliance Training
  - CP.11 Effective Communications
  - CP.12 Annual Compliance Program Effectiveness Audit
  - CP.15 Standards of Conduct
  - CP.16 Vendor and FDR Contracting
  - CP.17 Risk Assessment and Audit Work Plan
  - CP.18 Protection of HIV AIDS Information
  - CP.26 Compliance Hotline
  - CP.28 Subcontracting Terminations and Block Transfer Filings
  - CP.30 Conducting Internal Investigations
  - CP.31 Voluntary Self-Disclosures of Significant Non-Compliance and Fraud, Waste & Abuse
  - CP.32 Conflict of Interest
  - CP.33 Well-Publicized Disciplinary Standards
  - CP.35 Key Personnel Filing
  - CP.37 DMHC Independent Medical Review (IMR)
  - DE.01 Delegation Oversight
  - DE.02 Pre-Delegation Audit
  - DE.03 Delegation Agreement
  - DE.05 Joint Operations Committee Meetings Between SCFHP and FDRs/Delegated Entities
  - DE.07 Delegation Corrective Action
- Possible Action:** Approve Policies CP.01, CP.02, CP.04, CP.05, CP.06, C.07, CP.08, CP.09, CP.10, CP.11, CP.12, CP.15, CP.16, CP.17, CP.18, CP.26, CP.28, CP.30, CP.31, CP.32, CP.33, CP.35, CP.37, DE.01, DE.02, DE.03, DE.05, and DE.07.

## 8. Adjournment

3:00

### Notice to the Public—Meeting Procedures

- Persons wishing to address the Compliance Committee on any item on the agenda are requested to advise the Recorder so that the Chairperson can call on them when the item comes up for discussion.
- The Committee may take other actions relating to the issues as may be determined following consideration of the matter and discussion of the possible action.
- In compliance with the Americans with Disabilities Act, those requiring accommodations in this meeting should notify Rita Zambrano 48 hours prior to the meeting at (408) 874-1842.
- To obtain a copy of any supporting document that is available, contact Rita Zambrano at (408) 874-1842. Agenda materials distributed less than 72 hours before a meeting can be inspected at the Santa Clara Family Health Plan offices at 6201 San Ignacio Ave, San Jose, CA 95119.
- This agenda and meeting documents are available at [www.scfhp.com](http://www.scfhp.com).