



**Medi-Cal Managed Care
ON-SITE DOCUMENT CHECKLIST**

Please provide documentation of the following checked (✓) items:	Policies	Evidence of provider and staff training (sign-in sheets)		Office documents
		Annually (Three-Year Lookback)	Upon hire	
1. Blood borne pathogens exposure prevention	✓	✓		
2. Biohazardous waste handling	✓	✓		
3. Infection control / universal precautions	✓	✓		
4. Fire safety / prevention	✓		✓	
5. Disability rights and provider obligations	✓		✓	
6. Emergency non-medical procedures	✓		✓	
7. Child / elder abuse / domestic violence reporting	✓		✓	
8. Emergency medical procedures	✓		✓	
9. Patient confidentiality	✓		✓	
10. Informed consent for invasive procedures	✓		✓	
11. Sensitive services / minors' rights	✓		✓	
12. Prior authorization	✓		✓	
13. Health plan referral process / procedure / resources	✓		✓	
14. Grievance / complaint procedure and grievance forms (plan specific)	✓		✓	
15. Cultural and linguistics	✓		✓	
16. Provider Rights and Disability Obligations				✓
17. All professional licenses and Drug Enforcement Agency (DEA) of all professionals on-site including ancillary providers (OB-GYN, chiropractor, podiatrist, physical therapist, etc.)				✓



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18. <i>Posted Notice to Consumers</i> for the licensed MD(s) and/or Physician Assistant(s) with QR code				✓
19. MA certificates, phlebotomy, EKG / Audiometric screening / Vision screening / Anthropometric measurements, including obtaining Body Mass Index (BMI) percentile / Dental screening and fluoride varnish application				✓
20. Provider and staff signature page (for non-EMR users to assist the auditor in identifying provider / staff signatures / initials on patient charts)				✓
21. Site specific, written bloodborne pathogens exposure control plan, and sharps injury incident log / form				✓
22. Pharmaceutical and lab supplies inventory monitoring, handling, and disposal policies and procedures				✓
23. Inventory logs to monitor expiration of supplies in the last three years (emergency kit, medications, lab supplies, etc.)				✓
24. Daily refrigerator and freezer temperature logs in the last three years				✓
25. <i>Vaccine Protection Plan</i>				✓
26. Routine quality control test result logs for lab test equipment (glucometers, urinalysis machines, etc.) in the last three years per manufacturer's instructions				✓
27. Clearly diagrammed evacuation route for emergencies posted at all designated entrances / exits, stairs, and elevators				✓
28. Current vaccine information statements (VIS) for all vaccines administered on-site				✓
29. Health education materials / hand-outs in all applicable languages and topics				✓



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30. Medical record release form to also include: 1) Area to indicate the specific notes to be released; 2) Expiration of release; and 3) Purpose for release				✓
31. Confidentiality agreement with external vendors who may have access to medical records (for example, cleaning crew)				✓
32. Office fax cover pages with confidentiality statement				✓
33. <i>Clinical Laboratory Improvement Amendments of 1988 (CLIA)</i> certificate				✓
34. Most recent service contract and pick-up receipt for regulated medical waste in the last three years				✓
35. Site-specific written schedule of routine cleaning / log in the last three years				✓
36. Site-specific policy / procedures or manufacturer's instructions for Instrument / equipment sterilization (if applicable)				✓
37. Spore testing of autoclave / steam sterilizer with documentation results at least monthly in the last three years (if applicable)				✓
38. Standardized procedures for nurse practitioners and practice agreement(s) with physician assistants (if applicable)				✓
39. <i>Current California Radiologic Health Branch Inspection Report</i> of X-ray equipment (if applicable)				✓