

# Disclosure Document

Pierre Schroeder



## About Us

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## It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

## What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

I can give you advice about your personal, rural or business risks. I do this by completing a risk assessment of you current lifestyle and /or your involvement in the rural and business sectors. Once I have identified whether there are risks present, that is not protected, I then proceed to put a protection plan in place that will meet these needs identified earlier.

## What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

You may contact the internal complaints scheme by contacting Pierre Schroeder the principal adviser at our offices or on 021 120 4884. If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact our disputes resolution scheme FSCL or Financial Services Complaints Ltd @ 0800 347 257 / [info@fscl.org.nz](mailto:info@fscl.org.nz) / PO Box 5967, Lambton Quay, Wellington, 6143. We are a member of FSCL so there will be no cost to you for this service.

## How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

## Remuneration

I do not charge a fee for my services, I do however receive remuneration by means of a commission payment from the insurance providers.

## Declaration

I, Pierre Schroeder, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the [Financial Advisers Act 2008](#) and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

