

The Investigations Specialists

fieldfisher

Full-service offering in Financial Services Investigations

Experience in key areas

Anti Money-Laundering (AML)

- › Advising a global bank on a complex cross-border AML/tax evasion regulatory investigation into financial crime and AML systems and controls
- › Advising an global financial institution in relation to a global regulatory investigation into the adequacy of the firm's systems and controls for the review, approval and onboarding of high net worth offshore individuals
- › Advising a payment services provider on a Central Bank investigation into its AML/CFT compliance and escalation procedures

Regulatory, Supervisory & Governance

- › Advising a global custodian and securities service provider on an AMF investigation into its fund depositary business
- › Advising a US financial institution on an FCA article 166 skilled person review of senior manager conduct rules, including governance structures, effective steps to control the business effectively, establish reporting lines and oversee delegation
- › Assisting with a FCA investigation into the fitness and propriety of current and former Senior Managers

Corruption, White Collar & Fraud

- › Assisting an international bank dealing with an SFO investigation into funds (1.5 USD Bn) stolen from the Angolan Central Bank by a high profile politician
- › Helping compliance and HR teams with internal investigations into employee fraud, misappropriation of funds, insider dealing, collusion and bribing cases

Anti Money-Laundering (AML)

IT breaches, Cyber, Data Privacy

Regulatory, Supervisory & Governance

Corruption, White Collar & Fraud

35 Partners specializing in Financial Services Investigations across Europe

Employment & Disciplinary Reviews

Sanctions

Antitrust

IT Breaches, Cyber, Data Privacy

- › Advising a leading asset manager on an FCA investigation into the adequacy of its cyber resiliency, cyber security systems and controls (s.166 Skilled Person review)
- › Investigative and advisory work in relation to sensitive data loss, unauthorised access to personal data, unauthorised extraction of personal account details by disgruntled employee and hacking attacks

Employment & Disciplinary Reviews

- › Our fee earners have been on secondment in banks and assisted with various queries, including investigations. We regularly advise on employee misconduct, including disciplinary and accountability reviews
- › Advising foreign bank on investigation into integrity of its head of compliance following various grievances and advising on subsequent disciplinary and dismissal

Sanctions

- › Advising an international bank on a milestone US sanctions breach investigation
- › Drafting new sanctions policies and procedures for a global bank and providing compliance training

Antitrust

- › Advising major international banks on a number of key multijurisdictional antitrust investigations: Forex, Libor, CDS, Loan Syndication

Cost-effective tech support

eDiscovery

Our team has many years' experience using technology to assist in the cost-effective and accurate review of unstructured electronic data as part of the review and disclosure required on contentious matters. We also work with the leading third party providers of eDiscovery and advanced analytics services.

Condor ALS

Condor, Fieldfisher's alternative legal solutions business, is another way we are able to deliver material cost savings to our clients. Condor helps our clients to handle routine and volume legal work at a lower price point than a traditional law firm by using technology, project management, process improvement and paralegals in our lower cost service centre located in Belfast.

FeeSolve

Fieldfisher prides itself on staying ahead of the market and it provides innovative client solutions and packages to support their litigation costs. FeeSolve is our award-winning risk management and funding package for commercial claims. Designed to give clients certainty in relation to their costs and to reduce the financial risks of bringing a claim, it offers a variety of alternative funding arrangements.

Key contacts



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About Fieldfisher

We are an exciting, forward thinking organisation with key sector specialisms in **FS investigations**. Our clients like us because we tailor each investigative approach to their specific risk profile, budgetary expectations and needs.

Fieldfisher is a European law firm with market leading practices in many of the world's most dynamic sectors. We are flexible, quick to react and experienced with a number of key financial and prudential regulators across the UK and Europe.

Our experts, some of which are former banking in-house investigations counsels, are experts in their regulatory fields and used to centrally manage complex multidisciplinary investigations. They have worked with Heads of legal and Compliance and advised the board and senior managers on cutting edge enforcement actions.

We can capture and review large volumes of data securely and under privilege, using proprietary e-discovery tools to reduce costs and increase review pace.

In 2019, Fieldfisher was awarded a 5 star ranking for client service by The Legal 500. It was one of only five firms in the top 25 list of UK-headquartered firms to receive 5 stars based on client feedback.

Our European network of offices supports an international client base alongside our Silicon Valley and China colleagues. We also work in a number of other jurisdictions through dedicated country teams.

We have over 1,700 people spread over 25 locations, all providing highly commercial advice based on an in-depth understanding of our clients' needs. Our clients trust us with work that can have a huge impact on their business or organisation.

We have built the firm on people who can be relied on to get it right; they possess exceptional legal knowledge, great market insight and real approachability.

700+
Professional advisers

1,700+
people

25
offices

Belgium
Brussels

China
Beijing
Guangzhou
Shanghai

France
Paris

Germany
Düsseldorf
Frankfurt
Hamburg
Munich

Ireland
Dublin

Italy
Bologna
Milan
Rome
Turin
Venice

Luxembourg

Netherlands
Amsterdam

Spain
Barcelona
Madrid

US
Silicon Valley

UK
Belfast (2)
Birmingham
London
Manchester