

# Planning Guidelines

# SMA FLEXIBLE STORAGE SYSTEM WITH BATTERY BACKUP FUNCTION

Circuitry Overviews, Schematic Diagrams and Material Lists



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3

# **Table of Contents**

1	Terr	ms and Abbreviations	5
2	PV I	Energy Despite Grid Failure	6
3	SMA	A Flexible Storage System with Battery Backup Function	8
4	Brid	lging Time and Self-Consumption Quota	10
5	Con	ditions of Use of an SMA Flexible Storage System with Battery Backup Function	12
	5.1	Certifications and Licenses	12
	5.2	Utility Grid	12
	5.3	Switching Times for Loads	13
	5.4	PV Inverters	13
	5.5	Batteries	16
		5.5.1 Recommendations for Battery Capacity	16
		5.5.2 Selection of the Battery Type	16
		5.5.3 Capacity of the Battery Utilized by the SMA Flexible Storage System with Battery Backup Function	17
	5.6	Sunny Island	18
6	Elec	trical Connection	19
	6.1	Single-Phase Battery Backup System with All-Pole Disconnection	19
	6.2	Three-Phase Battery Backup System with All-Pole Disconnection	21
	6.3	Single-Phase Battery Backup System without All-Pole Disconnection	
	6.4	Three-Phase Battery Backup System without All-Pole Disconnection	25
7	Auto	omatic Transfer Switch	27
	<i>7</i> .1	Procurement of the Automatic Transfer Switch	27
	7.2	Automatic Transfer Switch for Single-Phase Battery Backup System with All-Pole Disconnection	27
	7.3	Automatic Transfer Switch for Three-Phase Battery Backup System with All-Pole Disconnection	30
	7.4	Automatic Transfer Switch for Single-Phase Battery Backup System without All-Pole Disconnection	33
	7.5	Automatic Transfer Switch for Three-Phase Battery Backup System without All-Pole Disconnection	34
	7.6	Operating Principle of the Automatic Transfer Switch	36
0	1		20

# 1 Terms and Abbreviations

#### **Terms**

Term	Explanation
Self-consumption	Self-consumption is a measure of the amount of PV energy which is utilized at the point of generation, or in the immediate vicinity, for supplying the loads and for charging the battery.
Self-consumption quota  The self-consumption quota is the proportion of the total PV energy general used for self-consumption.	
Internal power supply  Internal power supply means that as great a proportion as possible of needed to supply the loads in a household is taken from PV energy ge site. The internal power supply indicates how much energy is drawn from system and from the battery.	
Intermediate storage	Intermediate storage in a battery is a means of optimizing self-consumption or internal power supply. This makes it possible to consume PV energy independently of when it is generated, so that loads tied to running at specific times can also be operated with PV energy.
Energy management system	An energy management system enables the automatic and intelligent optimization of energy flows.
Battery backup grid	A battery backup grid is that part of a household grid which is supplied by the battery backup system in the event of grid failure.
Battery backup system	A battery backup system provides an electricity supply for loads in case of grid failure. In this case, the battery backup system switches automatically from the utility grid to the alternative energy source.
Grid failure	A grid failure is an outage of the utility grid. If the utility grid deviates from the country-specific thresholds for voltage and frequency, the Sunny Island will react in the same way as if a utility grid failure has occurred.
Bridging time	The bridging time is the time from failure until restoration of the utility grid which is bridged by the battery backup system.
Switching time	The switching time is the time needed by the battery backup system to restore the supply of the loads in case of grid failure.

#### **Abbreviations**

Abbreviation	Designation	Explanation
AC	Alternating Current	-
DC	Direct Current	-
FLA	Flooded Lead Acid Batteries	-
PV	Photovoltaics	-
SOC	State of Charge	State of charge of the battery
VRLA	Valve Regulated Lead-Acid	-

# 2 PV Energy Despite Grid Failure

Whenever grid failures occur, the PV system will disconnect from the utility grid to ensure the safety of persons working on the utility grid. For operators of a PV system or an SMA Flexible Storage System, this disconnection means that the loads connected to their household grid are no longer supplied with energy.

We do occasionally experience grid failures as the following examples show:

- On November 4, 2006, the utility grid failed in parts of Germany, France, Belgium, Italy, Spain and Austria. This
  grid failure was triggered by the disconnection of two high-voltage lines to enable the disembarkation of the cruise
  liner "Norwegian Pearl". Over ten million people were without electricity for up to 120 minutes.
- On November 11, 2012, the city of Munich experienced the worst grid failure in two decades. This grid failure was
  triggered by a technical fault in an electrical substation. It lasted for approximately one hour and around
  450,000 people were affected.

Long-term grid failures can have serious consequences for those affected: for instance, households and companies have to manage without heat, light, telephones and computers, cooling chains are interrupted, and in agricultural enterprises barn ventilation and heat lamps go out of service. To bridge this supply gap, existing PV systems could, for instance, be converted into battery backup systems.

Battery backup systems with PV systems need batteries as intermediate storage units, since PV energy is not available at all times. However, many PV systems have already been converted into energy management systems with intermediate storage to enable optimized self-consumption or internal power supply. These energy management systems are already equipped with batteries. These batteries can equally be utilized for the battery backup function. An example of this kind of battery backup system is the SMA Flexible Storage System with battery backup function (battery backup system with Sunny Island).

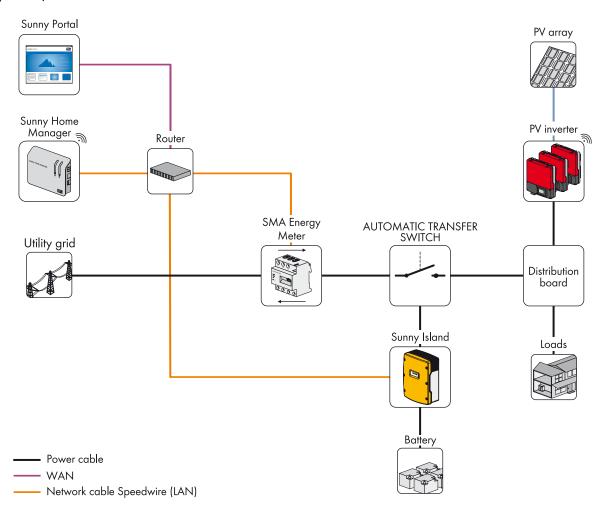


Figure 1: Principle of an SMA Flexible Storage System with battery backup function

With an SMA Flexible Storage System with battery backup function, the existing PV system will be able to maintain the electricity supply throughout a grid failure. The battery of the SMA Flexible Storage System not only takes care of the intermediate storage of PV energy, but also supplies the loads during grid failure. The service life of the battery is hardly affected by this dual utilization as long as the utility grid is basically stable.

# 3 SMA Flexible Storage System with Battery Backup Function

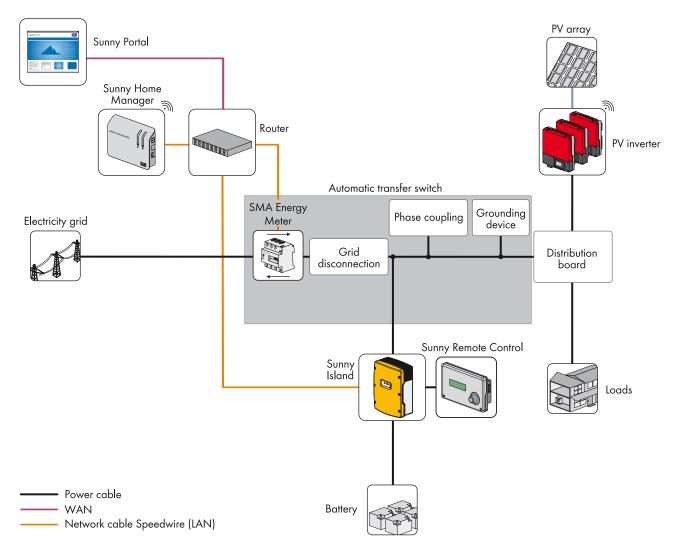


Figure 2: Overview of an SMA Flexible Storage System with battery backup function

An SMA Flexible Storage System with battery backup function takes care of the continued supply of the loads with electricity during a grid failure. To do this, an automatic transfer switch disconnects the household grid with the PV system from the utility grid. When this happens, the battery inverter Sunny Island forms a battery backup grid and the PV system can supply the loads. When the energy demand of the active loads exceeds the current power of the PV system, the battery will provide the energy shortfall.

# Devices of the SMA Flexible Storage System

Device	Function	
Sunny Island 6.0H / 8.0H	The Sunny Island is a battery inverter. In the event of grid failure, the Sunny Island forms a battery backup grid and regulates the energy distribution in this backup grid. In grid-tie operation, the Sunny Island is responsible for the optimization of self-consumption or internal power supply.	
Sunny Remote Control	You can configure and control the Sunny Island via the Sunny Remote Control display.	
BatFuse	The battery fuse box BatFuse is an external fuse switch-disconnector which protects the battery connection cables of the Sunny Island. Furthermore, the BatFuse enables DC-side disconnection of the Sunny Island.	
Battery	The battery stores excess energy from the PV system. In grid-tie operation, this buffered PV energy is used to optimize self-consumption or internal power supply, and in the event of grid failure, it is used for supplying the loads.	
Sunny Home Manager	The Sunny Home Manager is a device for monitoring PV systems and controlling loads in households with PV systems.	
SMA Energy Meter	The SMA Energy Meter is a measuring device which detects measured values at the connection point and makes them available via Speedwire, e.g. to the Sunny Home Manager.	

# **Devices of the Automatic Transfer Switch**

Device	Function
Grid disconnection	The grid disconnection function is performed by the tie switch. The design of the tie switch depends on whether or not the utility grid is disconnected from the battery backup grid at all poles (see Section 5.2 "Utility Grid", page 12).
Phase coupling	Phase coupling is an optional function for single-phase battery backup systems if the utility grid is a three-phase systems.
	With single-phase battery backup systems, only one Sunny Island is connected to the automatic transfer switch. Therefore, without phase coupling only one line conductor (e.g. L1), is protected against grid failure. In this case, the other two line conductors (e.g. L2 and L3) cannot be protected.
	Phase coupling enables combined switching of the line conductors. As a result, the other two line conductors are also supplied with voltage in the event of grid failure. This means that in the event of grid failure a three-phase household grid is transformed into a single-phase battery backup grid. Phase coupling can be switched on independently for the line conductors L2 und L3.
Grounding device	In automatic transfer switches with all-pole disconnection, all poles of the battery backup grid are disconnected from the utility grid in the event of grid failure. This disconnection does not ground the neutral conductor. Therefore, in automatic transfer switches with all-pole disconnection, a grounding device must ground the neutral conductor in the event of grid failure. The grounding device protects persons working on the system. It is configured for fail-safe operation (see Section 5.2 "Utility Grid", page 12).

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# 4 Bridging Time and Self-Consumption Quota

This section describes a simple method by which you can estimate bridging time and self-consumption quota for an SMA Flexible Storage System with battery backup function. For the storage capacity of the battery, an empirical value of a typical battery backup system is assumed and verified by means of the estimate.

In the example, the assumed values for the energy demand of the loads in a private household, the peak power of the PV system, and the storage capacity of the battery, are characteristic of a battery backup system in a four-person single-family household in Germany.

#### Step 1: Estimation of self-consumption quota for an SMA Flexible Storage System

Input data:

• Peak power of the PV system: 5,000 Wp

Annual energy demand: 5,000 kWh

Storage capacity of the battery for the SMA Flexible Storage System: 10 kWh

It can be assumed that with lead-acid batteries the Sunny Island utilizes 50% of the battery storage capacity for intermediate storage of PV energy. Hence, the usable storage capacity would amount to 5,000 Wh.\*

$$\frac{\text{Peak power of the PV system}}{\text{Annual energy demand}} = \frac{5,000 \text{ Wp}}{5,000 \text{ kWh}} = 1 \text{ Wp/kWh}$$

$$\frac{\text{Usable storage capacity}}{\text{Annual energy demand}} = \frac{5,000 \text{ Wh}}{5,000 \text{ kWh}} = 1 \text{ Wh/kWh}$$

Transfer the calculated values to the diagram to estimate the self-consumption quota.

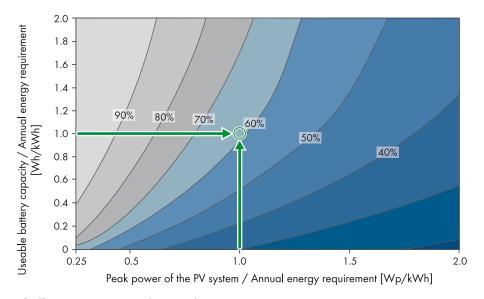


Figure 3: Estimation of self-consumption quota with intermediate storage

The estimate results in a self-consumption quota of approximately 60%.

<sup>\*</sup> Due to the seasonal battery operation, the use of the battery for intermediate storage is limited in winter and extended in summer. Therefore, a usable range of 50% for intermediate storage can continue to serve as the basis for the estimate (see Section 5.5.3 "Capacity of the Battery Utilized by the SMA Flexible Storage System with Battery Backup Function", page 17).

### Step 2: Estimation of energy demand in the event of grid failure

The annual energy demand of the household amounts to 5,000 kWh.

$$\frac{Annual energy demand}{365 days} = \frac{5,000 \text{ kWh}}{365 \text{ days}} = 13.6 \text{ kWh/day}$$

It can be assumed that during a grid failure, electrical energy will be used sparingly, e.g. by switching off energy-intensive loads. As a result, the daily energy demand of 13.6 kWh can be reduced by 40%. Thus, the energy demand of this household will be approximately 8 kWh in the event of a 24-hour power outage.

#### Step 3: Estimation of PV generation during a grid failure

The peak power of the PV system is approximately 5 kWp. In Germany in the winter, it can be assumed that 0.7 kWh/kWp will be generated. Therefore, an energy yield from PV production of 3.5 kWh between sunrise and sunset results.

# Step 4: Calculation of battery storage capacity required for the battery backup function

Input data:

Energy demand of the household: 8 kWh

• Energy yield from PV production: 3.5 kWh

Battery storage capacity = Energy demand – PV production = 8 kWh – 3.5 kWh = 4.5 kWh

The required battery storage capacity is 4.5 kWh. In **Step 1** a storage capacity of 10 kWh was established. Hence, in this example 45% of the battery storage capacity will be needed as energy reserve for grid failure on a winter day.

#### Conclusion

With lead-acid batteries, a default value of 45% of the Sunny Island battery storage capacity is reserved for the battery backup function in winter operation, within the corresponding value range of 15% to 60% (see Section 5.5 "Batteries", page 16). Thus, the battery used for the SMA Flexible Storage System is also adequate for the battery backup function.

# 5 Conditions of Use of an SMA Flexible Storage System with Battery Backup Function

### 5.1 Certifications and Licenses

The SMA Flexible Storage System with battery backup function is licensed for use in the following countries:

- Germany
- Australia
- Denmark
- Belgium
- Austria

However, its use in other countries is not ruled out. The Sunny Island is certified in accordance with VDE-AR-N 4105 and AS4777. In certain countries, proof of these certifications is sufficient. Please consult the grid operator.

# 5.2 Utility Grid

# i Only utility grid permitted as external energy source

The only permitted external energy source connected to the SMA Flexible Storage System with battery backup function is the utility grid. The SMA Flexible Storage System with battery backup function does not support operation with a generator (e.g. diesel generator).

#### Characteristics of the Battery Backup Grid

Characteristic	Single-phase battery backup grid	Three-phase battery backup grid		
Utility grid	TN or TT system			
	Single-phase or three-phase	Three-phase		
Behavior of the Sunny Island in the event of grid failure	One Sunny Island supplies the battery backup grid.	Three Sunny Island inverters switched in parallel on the DC side supply each line conductor with the corresponding phase.		
Recognition of grid failure	Grid failure is only recognized on the line conductor which is connected to the Sunny Island (e.g. L1).	Grid failure is recognized on all line conductors.		
Supply of the loads in the event of grid failure	Only some of the loads are supplied (e.g. the loads connected to L1).	All loads are supplied.		
Grid feed-in by the PV inverters in the event of grid failure	Only single-phase PV inverters can feed energy into the grid.	Single-phase and three-phase PV inverters can feed energy into the grid.		
Phase coupling in the battery backup grid	Possible	Not possible		
Rotating magnetic field in the battery backup grid	No: even with phase coupling, the battery backup grid remains single-phase.	Yes: three Sunny Island inverters form a three-phase battery backup grid with rotating magnetic field.		

#### **Phase Coupling**

If three-phase loads are connected to a single-phase utility grid with phase coupling, SMA Solar Technology AG cannot rule out damage to the three-phase loads. With phase coupling, single-phase loads only must be connected to the battery backup grid.

#### Battery Backup Grid with or without All-Pole Disconnection

	Battery backup system with all-pole disconnection	Battery backup system without all-pole disconnection
Operating	In the event of grid failure, a tie switch disconnects all line conductors and the neutral conductor of the battery backup grid from the utility grid. The tie switch is designed with built-in redundancy.	In the event of grid failure, a tie switch disconnects all line conductors of the battery backup grid from the utility grid. The neutral conductor of the battery backup grid remains permanently connected to the utility grid. The tie switch is not designed with built-in redundancy.
Criterion for use	If the technical connection requirements of the grid operator or the locally applicable standards and directives call for or allow all-pole disconnection, you must install the battery backup system with all-pole disconnection.	If the technical connection requirements of the grid operator or the locally applicable standards and directives prohibit disconnection of the neutral conductor, you must install the battery backup system without all-pole disconnection.
Deployment location	E.g. Germany, Austria, Belgium, Denmark	E.g. Australia

# 5.3 Switching Times for Loads

The SMA Flexible Storage System with battery backup function does not fulfill the requirements of an uninterruptible power supply as defined in IEC 62040. In the event of grid failure, an automatic transfer switch disconnects the battery backup grid from the utility grid. After disconnection, the loads and the PV system are not supplied for approximately five seconds, until the battery backup system can provide active power and reactive power again.

If any single load (e.g. a computer) requires an uninterruptible power supply in compliance with the standard or a switching time shorter than five seconds, this load will need a separate uninterruptible power supply in compliance with IEC 62040.

Loads integrated in the battery backup grid via phase coupling have a switching time of 15 seconds, as the SMA Flexible Storage System connects phase coupling with a time delay.

#### 5.4 PV Inverters

PV inverters are suitable for use in an SMA Flexible Storage System with battery backup function providing that they comply with the application guideline VDE-AR-N 4105. All PV inverters from SMA Solar Technology AG fulfill this requirement.

The AC power that PV inverters are permitted to feed into the battery backup system is limited by the rated power of the Sunny Island.

Type of battery backup system	Sunny Island device type	Rated power of the Sunny Island	Maximum AC power of the PV inverters
Single-phase	SI6.0H-11 (Sunny Island 6.0H)	4.6 kW	9.2 kW
	SI8.0H-11 (Sunny Island 8.0H)	6.0 kW	12 kW
Three-phase	SI6.0H-11 (Sunny Island 6.0H)	13.8 kW	27.6 kW
	SI8.0H-11 (Sunny Island 8.0H)	18.0 kW	36 kW

# i No three-phase PV inverters in single-phase battery backup systems

Three-phase PV inverters such as the Sunny Tripower are unsuitable for single-phase battery backup systems, as they cannot feed into the battery backup grid in the event of grid failure.

#### Possible solutions:

- Replace the three-phase PV inverter by a combination of single-phase PV inverters, e.g. two Sunny Boy 4000TL inverters instead of one Sunny Tripower 8000TL.
- Select a sufficiently large battery storage capacity to ensure the supply of the loads from the battery only over the entire bridging time.

#### Requirements for Applications in Australia

If PV inverters are to be used in an SMA Flexible Storage System with battery backup function, they must limit their active power as a function of frequency. SMA Solar Technology AG's suggestion for implementing frequency-dependent active power limitation is described in the following diagram.

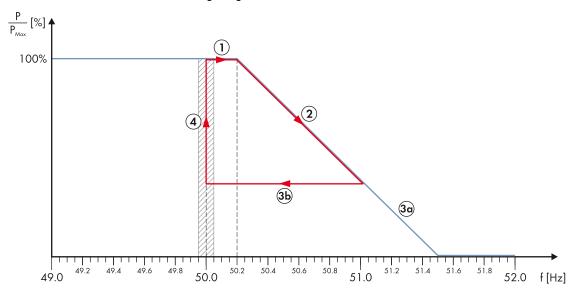


Figure 4: Suggestion for frequency-dependent active power limitation by the PV inverter

### **Position Description**

1

As long as power frequency remains at 50 Hz, the PV inverter feeds into the grid at its maximum available power. If power frequency rises to 50.2 Hz, the PV inverter starts to reduce its active power.

#### Setting on the PV inverter:

- Set the difference P-HzStr between starting frequency and power frequency to 0.2 Hz.
- From a power frequency of 50.2 Hz, the PV inverter reduces its active power by 77% of the available maximum power per Hz. The power frequency is monitored by the PV inverter.

#### Setting on the PV inverter:

- Set active power gradient **P-WGra** to **77**%.
- 3a If the power frequency continues to rise, the PV inverter further reduces its active power, down to the value zero at 51.5 Hz.
- If the power frequency remains constant at a value under 51.5 Hz or continues to fall, the PV inverter stops reducing active power. The PV inverter retains the current active power value and monitors power frequency.

#### **Position Description**

Once the power frequency has fallen as far as 50.05 Hz, the PV inverter starts to increase its active power. As long as the power frequency does not start to rise again, the PV inverter increases its active power by 10% of nominal power per minute up to the available maximum power.

#### Settings on the PV inverter:

- Set the difference P-HzStop between the reset frequency and the power frequency to 0.05 Hz.
- Set the active power gradient depending on reset frequency P-HzStopWGra to 10%.

# i Adjustment of network-relevant parameters

Since the product standard AS4777 does not stipulate frequency-dependent active power limitation, this control setting is not stored in the country data set of the PV inverter. Therefore, certain parameters of the PV inverter need to be adjusted.

- Coordinate the adjustment of these parameters with the grid operator (see Quick Reference Guide "Battery backup systems" of the Sunny Island).
- Always have this parameter adjustment carried out by qualified persons (see installation manual of the PV inverter).

In the following PV inverters you can activate frequency-dependent active power limitation.

PV inverters	Firmware version*
Sunny Boy (SB)	
• SB 1300TL-10 / 1600TL-10 / 2100TL-10	4.52
• SB 2500TLST-21 / 3000TLST-21	2.50.41.R
• SB 3300-11	4.03
• SB 3800-11	4.02
• SB 2000HF-30 / 2500HF-30 / 3000HF-30	2.30.07.R
• SB 3000TL-21 / 3600TL-21 / 4000TL-21 / 5000TL-21	2.51.02.R
Sunny Mini Central (SMC)	
• SMC 5000A / 6000A	1.50
SMC 6000TL / 7000TL / 8000TL	3.32
• SMC 7000HV	1.81
• SMC 7000HV-11	2.21
• SMC 9000TLRP-10 / 10000TLRP-10 / 11000TLRP-10	1.40
Sunny Tripower (STP)	
• STP 5000TL-20 / 6000TL-20 / 7000TL-20 / 8000TL-20 / 9000TL-20	2.50.01.R
• STP 8000TL-10 / 10000TL-10 / 12000TL-10 / 15000TL-10 / 17000TL-10	2.51.02.R
• STP15000TLEE-10 / 20000TLEE-10	2.54.03.R

<sup>\*</sup> With older firmware versions, a firmware update is required (see installation manual of the PV inverter).

#### 5.5 Batteries

### 5.5.1 Recommendations for Battery Capacity

SMA Solar Technology AG recommends the following minimum battery capacities:

Battery backup system	Battery capacity for a ten-hour electric discharge (C10)
Single-phase battery backup system with \$16.0H	120 Ah
Single-phase battery backup system with S18.0H	160 Ah
Three-phase battery backup system with 3 SI6.0H	360 Ah
Three-phase battery backup system with 3 SI8.0H	480 Ah

The minimum battery capacity must be observed to ensure stable operation of the system.

## 5.5.2 Selection of the Battery Type

#### **Lead-Acid Batteries**

Sunny Island supports lead batteries of types FLA and VRLA, as well as various lithium-ion batteries. It is possible to connect batteries with a battery capacity of 100 Ah to 10,000 Ah (C10).

#### Lithium-Ion Batteries

Lithium-ion batteries are especially suited for intermediate storage of PV energy due to their high cycle stability. Lithium-ion batteries must be compatible with the Sunny Island.

The battery must be from one of the following manufacturers and must be approved by the manufacturer for Sunny Island inverters:

- Akasol
- Dispatch Energy
- LG Chem
- Leclanché
- SAFT
- Samsung
- Sony

# i Lithium-ion batteries in battery backup systems

In order to meet the requirements of battery backup systems in the event of grid failure, the Sunny Island has a high overload capacity. The prerequisite for this overload capacity is that the battery is able to supply sufficient current. With lithium-ion batteries, this current-carrying ampacity cannot be taken for granted.

 Check with the battery manufacturer whether the battery is suitable for an SMA Flexible Storage System with battery backup function. Pay particular attention to the current-carrying ampacity if the battery backup grid is supplied by the Sunny Island in the event of grid failure.

# 5.5.3 Capacity of the Battery Utilized by the SMA Flexible Storage System with Battery Backup Function

In many regions, the PV energy available largely depends on the season and the hours of sunshine. The Sunny Island offers the possibility of adjusting the battery management response to the location and the time.

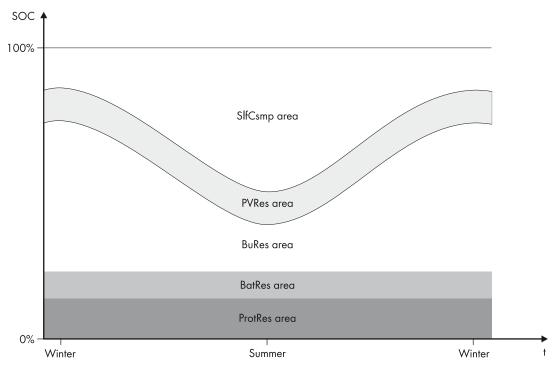


Figure 5: Ranges of the battery state of charge as a function of the season for the northern hemisphere (example)

Range	Explanation	
SlfCsmp	Range for intermediate storage	
PVRes	PVRes Range for maintaining the state of charge of the battery	
BURes	BURes Range for the battery backup function	
BatRes	BatRes Range for protection against deep discharge	
ProtRes	Range for protection during deep discharge	

Due to the seasonal battery operation of the Sunny Island, a larger range is reserved for the battery backup function in winter than in summer. This makes sense, as consumption in summer is lower and the PV yield is also much higher. The limits for the ranges of battery state of charge are predetermined for lead-acid batteries and lithium-ion batteries by the following value ranges of the Sunny Island.

Range	Lead-aci	Lead-acid battery		n battery*
	Shortest day	Longest day	Shortest day	Longest day
SIfCsmp	65% to 100%	45% to 100%	30% to 100%	28% to 100%
PVRes	60% to 65%	40% to 45%	25% to 30%	23% to 28%
BURes	15% to 60%	15% to 40%	13% to 25%	13% to 23%
BatRes	10% to 15%	10% to 15%	3% to 13%	3% to 13%
ProtRes	0% to 10%	0% to 10%	0% to 3%	0% to 3%

<sup>\*</sup> The value ranges for lithium-ion batteries reserve a smaller proportion of battery capacity for the battery backup function: 10% of storage capacity in summer and 12% in winter. Therefore, the proportion available for intermediate storage is correspondingly larger.

# 5.6 Sunny Island

The maximum power consumption of the loads during the day and the type of battery backup system determine the device type and the number of Sunny Island inverters. In a single-phase battery backup system, for instance, the maximum power consumption of the loads must be less than the maximum power of the Sunny Island for a duration of 30 minutes at 25 °C.

Type of battery backup system	Maximum power of the Sunny Island for 30 min at 25°C	Sunny Island device type	Number of Sunny Island inverters
Single-phase	6 kW	SI6.0H-11 (Sunny Island 6.0H)	1
	8 kW	SI8.0H-11 (Sunny Island 8.0H)	
Three-phase	18 kW	SI6.0H-11 (Sunny Island 6.0H)	3
	24 kW	SI8.0H-11 (Sunny Island 8.0H)	•

### Short-Term Overload during Grid Failure

Short-term overload peaks of the loads can be compensated by the Sunny Island within its technical power limits (see installation manual of the Sunny Island at www.SMA-Solar.com).

However, the DC cables from the Sunny Island to the battery fuse box and to the battery must be designed to withstand this overload operation.

## 6 Electrical Connection

# 6.1 Single-Phase Battery Backup System with All-Pole Disconnection

### Schematic Diagram of the Automatic Transfer Switch

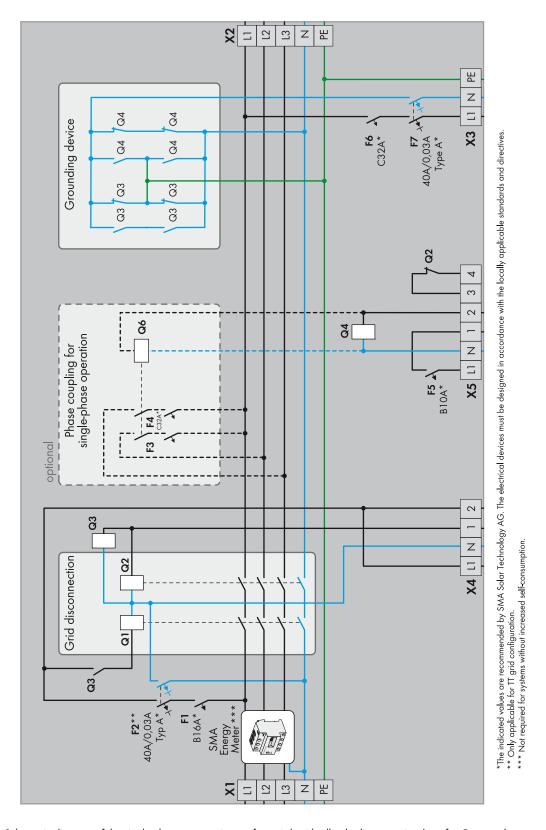


Figure 6: Schematic diagram of the single-phase automatic transfer switch with all-pole disconnection (e.g. for Germany)

#### **Circuitry Overview**

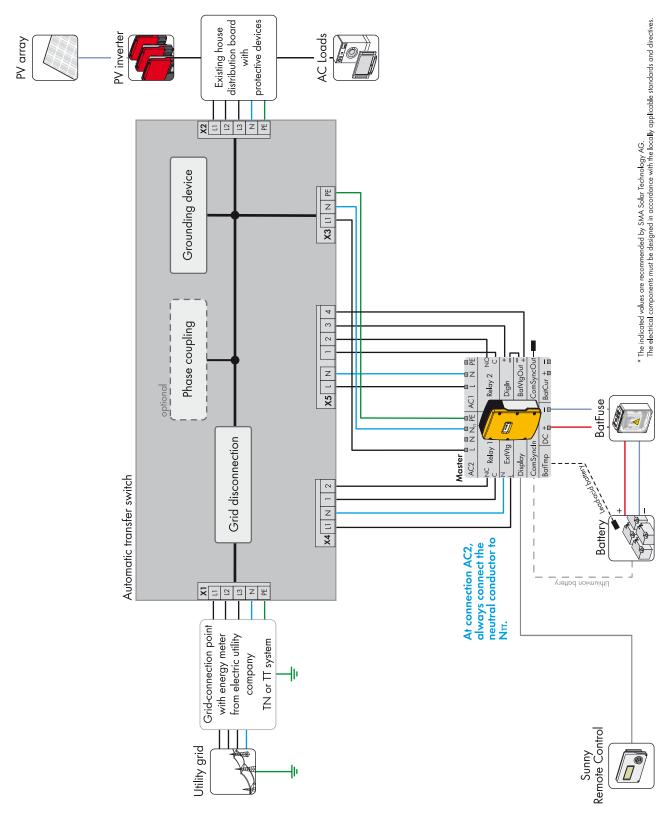


Figure 7: Circuitry overview of a single-phase battery backup system with all-pole disconnection (e.g. for Germany)

# 6.2 Three-Phase Battery Backup System with All-Pole Disconnection

### Schematic Diagram of the Automatic Transfer Switch

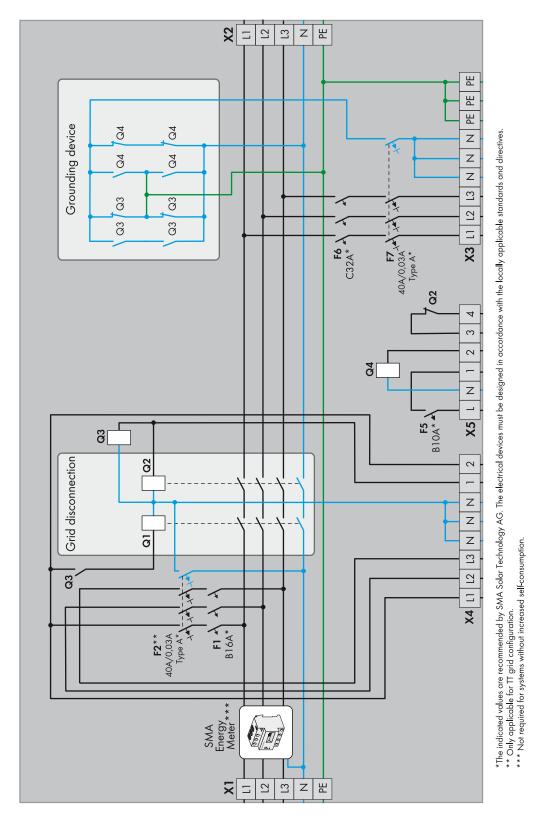


Figure 8: Schematic diagram of the three-phase automatic transfer switch with all-pole disconnection (e.g. for Germany)

#### **Circuitry Overview**

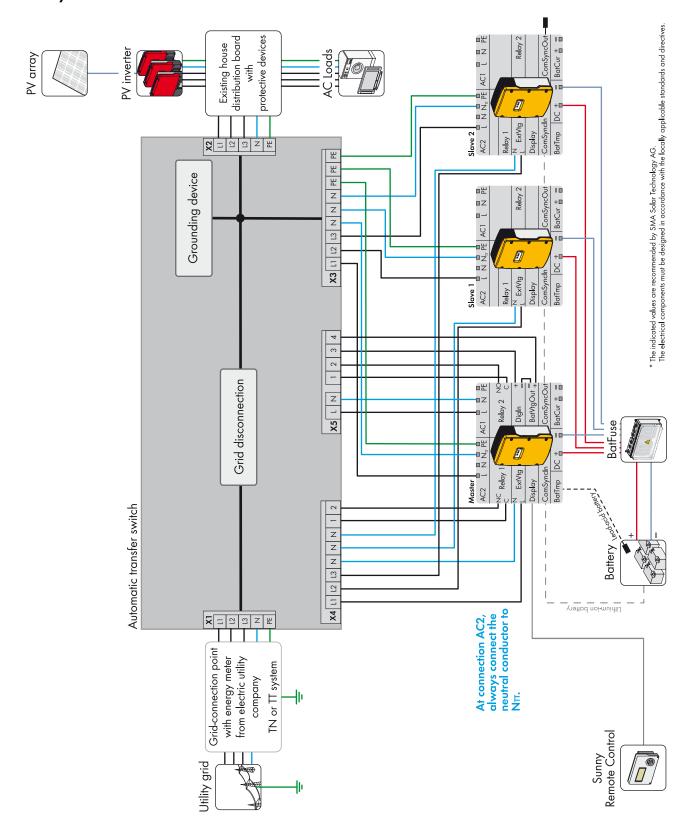


Figure 9: Circuitry overview of a three-phase battery backup system with all-pole disconnection (e.g. for Germany)

# 6.3 Single-Phase Battery Backup System without All-Pole Disconnection

# Schematic Diagram of the Automatic Transfer Switch

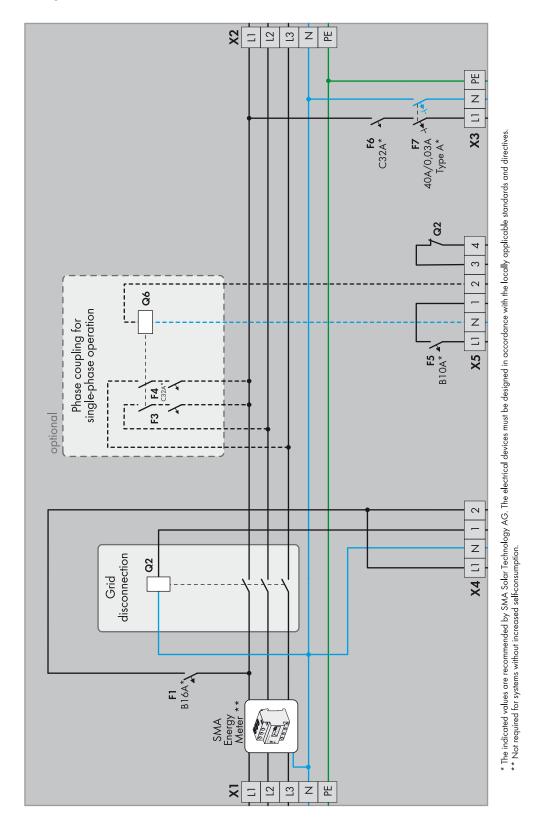


Figure 10: Schematic diagram of the single-phase automatic transfer switch without all-pole disconnection (e.g. for Australia)

#### **Circuitry Overview**

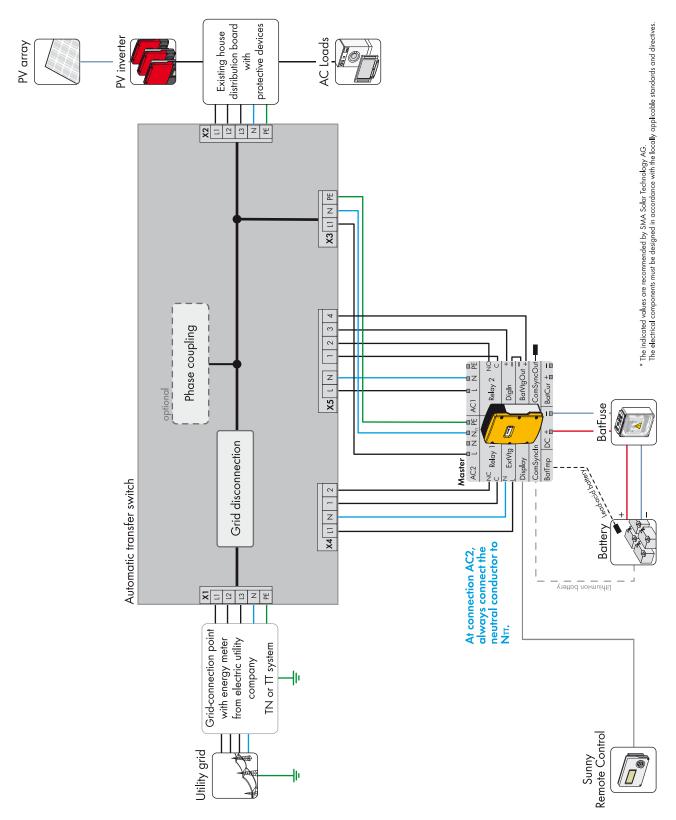


Figure 11: Circuitry overview of a single-phase battery backup system without all-pole disconnection (e.g. for Australia)

# 6.4 Three-Phase Battery Backup System without All-Pole Disconnection

# Schematic Diagram of the Automatic Transfer Switch

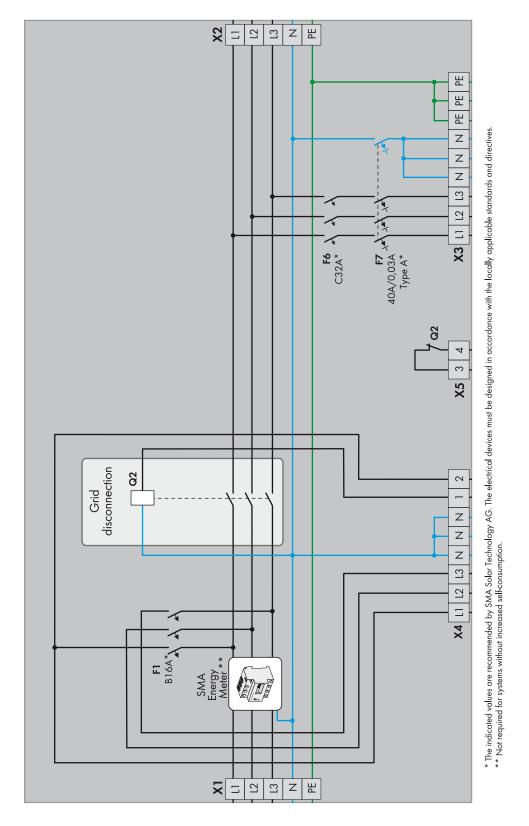


Figure 12: Schematic diagram of the three-phase automatic transfer switch without all-pole disconnection (e.g. for Australia)

#### **Circuitry Overview**

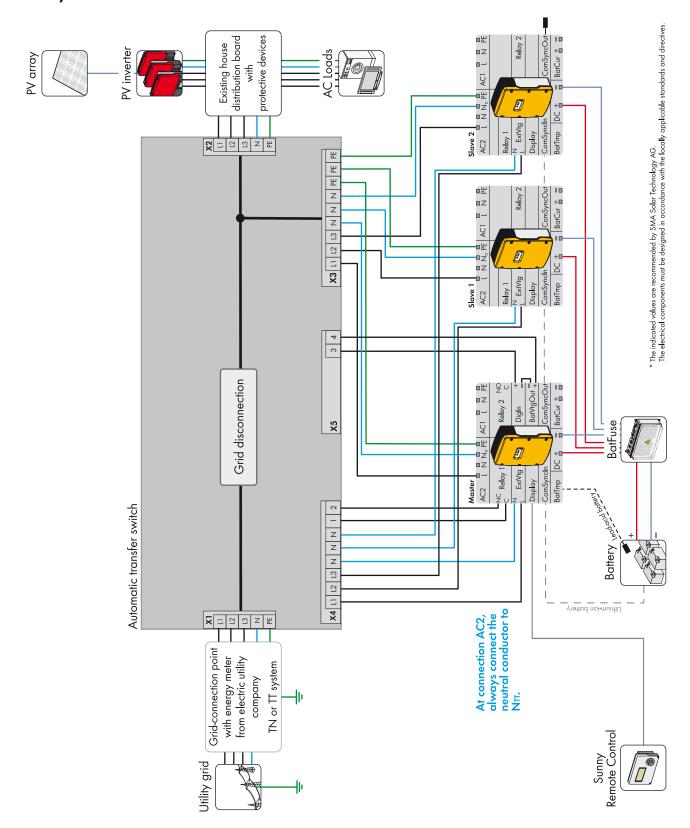


Figure 13: Circuitry overview of a three-phase battery backup system without all-pole disconnection (e.g. for Australia)

# 7 Automatic Transfer Switch

#### 7.1 Procurement of the Automatic Transfer Switch

You can order the automatic transfer switch as a complete switch cabinet unit.

Technical characteristics		Procurement	From enwi-etec GmbH:	
Single-phase	Three-phase	With all-pole disconnection	_	Article number
Χ	-	X	Order from enwi-etec GmbH or set up independently	10010034
-	X	Х	Order from enwi-etec GmbH or set up independently	10010278
Х	-	-	Set up independently	-
-	Х	-	Set up independently	-

It is also possible to procure the required devices of the automatic transfer switch from specialist dealers and to build the switch cabinet independently.

# i No connection of loads and the PV system to the automatic transfer switch

The automatic transfer switch is not a distribution board for the loads or the PV system. You must also install the necessary protective devices for the loads and the PV system.

# i Dimensioning of the tie switch

Regardless of all-pole or non-all-pole disconnection, you must adjust the ampacity of the tie switch in accordance with the local requirements (see Section 5.1 "Certifications and Licenses", page 12). The tie switch must be designed for at least the tripping range of the upstream fuse or the maximum short-circuit current of the PV system.

# 7.2 Automatic Transfer Switch for Single-Phase Battery Backup System with All-Pole Disconnection

#### **Material List**

The following table summarizes the configuration of the automatic transfer switch as suggested in the schematic diagram for a single-phase battery backup system with all-pole disconnection (e.g. for Germany). You will need to procure the material from your distributor.

# i Design of the devices in the automatic transfer switch

The indicated values for the devices are recommended by SMA Solar Technology AG. The electrical devices must be designed in accordance with the locally applicable standards and directives.

Position	Material	Number of units	Description
F1	Circuit breaker for protection of the control and measuring cables	1	16 A, B rating, 1-pole
F2	Residual-current device for control and measuring cables*	1	40 A/0.03 A, 1-pole + N, type A
F3, F4	Circuit breaker for protection of phase coupling**	2	32 A, C rating, 1-pole
F5	Circuit breaker for protection of control cables	1	10 A, B rating, 1-pole
F6	Circuit breaker for protection of the Sunny Island	1	32 A, C rating, 1-pole

Position	Material	Number of units	Description
F7	Residual-current device	1	40 A/0.03 A, 1-pole + N, type A
Q1	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
Q2	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
	Auxiliary switch for feedback	1	1 nc
Q3	Contactor for grounding device	1	400 V, 40 A at AC-1, AC-7a, 2 no 2 nc
	Auxiliary switch for Q1 locking mechanism	1	1 no
Q4	Contactor for grounding device	1	400 V, 40 A at AC-1, AC-7a, 2 no 2 nc
Q6	Phase coupling contactor**	1	400 V, 63 A at AC-1, AC-7a, 2 no
X1	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X2	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	_
	End clamp	1	Width: 10 mm
Х3	3-conductor through terminal	1	10 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	10 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	10 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X4	3-conductor through terminal	3	2.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	2.5 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	1	_
	Group marker carrier for end clamp	1	_
	End clamp	1	Width: 10 mm

Position	Material	Number of units	Description
X5	3-conductor through terminal	4	1.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal (L)	1	6 mm <sup>2</sup> , 1-pole, 3 contact points, gray
	3-conductor through terminal (N)	1	6 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	2	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm

<sup>\*</sup> Required in TT grid configuration only

## **Configuration Suggestion**

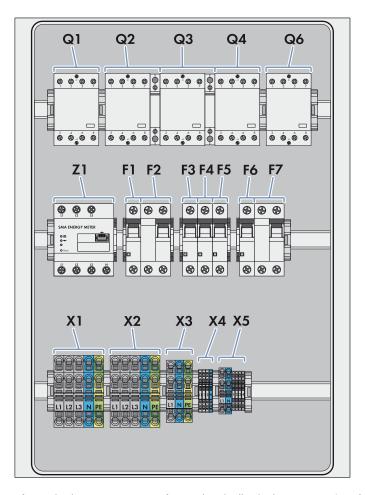


Figure 14: Configuration suggestion for single-phase automatic transfer switch with all-pole disconnection (e.g. for Germany)

<sup>\*\*</sup> Optional

# 7.3 Automatic Transfer Switch for Three-Phase Battery Backup System with All-Pole Disconnection

#### **Material List**

The following table summarizes the configuration of the automatic transfer switch as suggested in the schematic diagram for a three-phase battery backup system with all-pole disconnection (e.g. for Germany). You will need to procure the material from your distributor.

# i Design of the devices in the automatic transfer switch

The indicated values for the devices are recommended by SMA Solar Technology AG. The electrical devices must be designed in accordance with the locally applicable standards and directives.

Position	Material	Number of units	Description
F1	Circuit breaker for protection of the control and measuring cables	3	16 A, B rating, 1-pole
F2	Residual-current device for control and measuring cables*	1	40 A/0.03 A, 3-pole + N, type A
F5	Circuit breaker for protection of control cables	1	10 A, B rating, 1-pole
F6	Circuit breaker for protection of the Sunny Island	3	32 A, C rating, 1-pole
F7	Residual-current device	1	40 A/0.03 A, 3-pole + N, type A
Q1	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
Q2	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
	Auxiliary switch for feedback	1	1 nc
Q3	Contactor for grounding device	1	400 V, 40 A at AC-1, AC-7a, 2 no 2 nc
	Auxiliary switch for Q1 locking mechanism	1	1 no
Q4	Contactor for grounding device	1	400 V, 40 A at AC-1, AC-7a, 2 no 2 nc
X1	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X2	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm

Position	Material	Number of units	Description
Х3	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X4	3-conductor through terminal	5	2.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	3	2.5 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X5	3-conductor through terminal	4	1.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal (N)	1	6 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal (L)	1	6 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	2	-
	Group marker carrier for end clamp	11	-
	End clamp	1	Width: 10 mm

<sup>\*</sup> Required in TT grid configuration only

## **Configuration Suggestion**

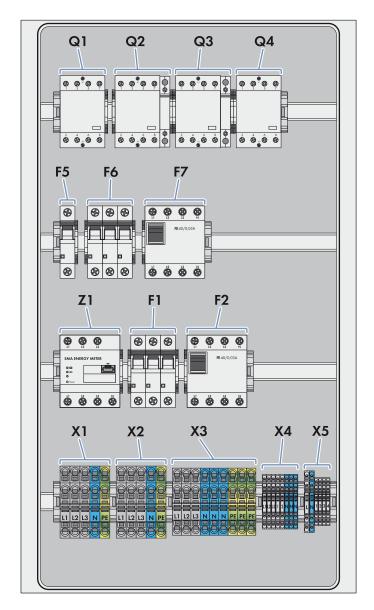


Figure 15: Configuration suggestion for three-phase automatic transfer switch with all-pole disconnection (e.g. for Germany)

# 7.4 Automatic Transfer Switch for Single-Phase Battery Backup System without All-Pole Disconnection

#### **Material List**

The following table summarizes the configuration of the automatic transfer switch as suggested in the schematic diagram for a single-phase battery backup system without all-pole disconnection (e.g. for Australia). You will need to procure the material from your distributor.

# i Design of the devices in the automatic transfer switch

The indicated values for the devices are recommended by SMA Solar Technology AG. The electrical devices must be designed in accordance with the locally applicable standards and directives.

Position	Material	Number of units	Description
F1	Circuit breaker for protection of the control and measuring cables	1	16 A, B rating, 1-pole
F3, F4	Circuit breaker for protection of phase coupling*	2	32 A, C rating, 1-pole
F5	Circuit breaker for protection of the phase coupling control cable*	1	10 A, B rating, 1-pole
F6	Circuit breaker for protection of the Sunny Island	1	32 A, C rating, 1-pole
F7	Residual-current device	1	40 A/0.03 A, 1-pole + N, type A
Q2	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
	Auxiliary switch for feedback	1	1 nc
Q6	Phase coupling contactor*	1	400 V, 63 A at AC-1, AC-7a, 2 no
X1	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X2	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm

Position	Material	Number of units	Description
Х3	3-conductor through terminal	1	10 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	10 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	10 mm <sup>2</sup> , 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	_
	End clamp	1	Width: 10 mm
X4	3-conductor through terminal	3	2.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	2.5 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	1	_
	Group marker carrier for end clamp	1	_
	End clamp	1	Width: 10 mm
X5	3-conductor through terminal	4	1.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal (L)	1	6 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal (N)	1	6 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	2	_
	Group marker carrier for end clamp	11	-
	End clamp	1	Width: 10 mm

<sup>\*</sup> Optional

# 7.5 Automatic Transfer Switch for Three-Phase Battery Backup System without All-Pole Disconnection

#### **Material List**

The following table summarizes the configuration of the automatic transfer switch as suggested in the schematic diagram for a three-phase battery backup system without all-pole disconnection (e.g. for Australia). You will need to procure the material from your distributor.

# i Design of the devices in the automatic transfer switch

The indicated values for the devices are recommended by SMA Solar Technology AG. The electrical devices must be designed in accordance with the locally applicable standards and directives.

Position	Material	Number of units	Description
F1	Circuit breaker for protection of the control and measuring cables	3	16 A, B rating, 1-pole
F6	Circuit breaker for protection of the Sunny Island	3	32 A, C rating, 1-pole
F7	Residual-current device	1	40 A/0.03 A, 3-pole + N, type A
Q2	Contactor for grid disconnection	1	400 V, 63 A at AC-1, AC-7a, 4 no
	Auxiliary switch for feedback	1	1 nc

Position	Material	Number of units	Description
X1	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X2	3-conductor through terminal	3	16 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	1	16 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
Х3	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, blue
	3-conductor through terminal	3	10 mm², 1-pole, 3 contact points, yellow-green
	End plate for through terminal, 3-conductor	1	-
	Group marker carrier for end clamp	1	_
	End clamp	1	Width: 10 mm
X4	3-conductor through terminal	5	2.5 mm², 1-pole, 3 contact points, gray
	3-conductor through terminal	3	2.5 mm², 1-pole, 3 contact points, blue
	End plate for through terminal, 3-conductor	1	_
	Group marker carrier for end clamp	1	-
	End clamp	1	Width: 10 mm
X5	3-conductor through terminal	2	1.5 mm², 1-pole, 3 contact points, gray
	End plate for through terminal, 3-conductor	2	_
	Group marker carrier for end clamp	1	
	End clamp	1	Width: 10 mm

# 7.6 Operating Principle of the Automatic Transfer Switch

# i Differences between automatic transfer switches for single-phase and three-phase battery backup systems

This section describes the operating principle of the automatic transfer switch as exemplified by the single-phase battery backup system with all-pole disconnection (see Section 6.1 "Single-Phase Battery Backup System with All-Pole Disconnection", page 19).

- The function of the tie switch and grounding device of a three-phase automatic transfer switch is analogous to that of the single-phase automatic transfer switch.
- In battery backup systems without all-pole disconnection, no grounding device is required.
- Phase coupling is only suitable for battery backup grids with single-phase PV inverters and single-phase loads.

#### Tie Switch with All-Pole Disconnection (e.g. for Germany)

The tie switch with all-pole disconnection comprises the contactors **Q1** and **Q2**. The tie switch disconnects the battery backup grid from the utility grid in the event of a grid failure or if the utility grid is outside the voltage and frequency thresholds.

The control voltage of the contactors Q1, Q2 and Q3 is equal to the voltage at the line conductor L1 of the utility grid. This means that the tie switch can only be activated when line voltage is present. The contactor Q3 controls the contactor Q1. The contactors Q3 and Q2 are controlled by the multifunction relay Relay 1 of the Sunny Island. When the multifunction relay Relay 1 is in non-operative mode, contactors Q2 and Q3 will be activated. If contactor Q3 is in non-operative mode, contactor Q1 will also go into non-operative mode and be locked.

In the event of grid failure, contactors **Q1**, **Q2** and **Q3** go into non-operative mode due to lack of control voltage and disconnect the battery backup grid from the utility grid at all poles. The Sunny Island also measures the voltage of the utility grid. When a deviation from country-specific voltage and frequency thresholds of the utility grid occurs, the multifunction relay **Relay 1** is activated. The contactors **Q1**, **Q2** and **Q3** remain in non-operative mode or go into non-operative mode.

When the utility grid is restored, this is detected by the Sunny Island. The Sunny Island synchronizes the battery backup grid with the utility grid. Following successful synchronization, **Relay 1** goes into non-operative mode and contactors **Q2** and **Q3** are activated. Contactor **Q3** unlocks contactor **Q1** and **Q1** is activated. The battery backup grid is again connected to the utility grid.

#### Tie Switch without All-Pole Disconnection (e.g. for Australia)

The tie switch without all-pole disconnection comprises the contactor **Q2**. The tie switch disconnects the battery backup grid from the utility grid in the event of a grid failure or if the utility grid is outside the voltage and frequency thresholds.

The control voltage of contactor **Q2** is equal to the voltage at the line conductor L1 of the utility grid. This means that the tie switch can only be activated when line voltage is present. Contactor **Q2** is controlled by the multifunction relay **Relay 1** of the Sunny Island. When **Relay 1** is in non-operative mode, contactor **Q2** is activated.

In the event of grid failure, contactor **Q2** is deactivated due to lack of control voltage and disconnects the battery backup grid from the line conductors of the utility grid. The Sunny Island also measures the voltage of the utility grid. When a deviation from the country-specific voltage and frequency thresholds of the utility grid occurs, the multifunction relay **Relay 1** is activated. Contactor **Q2** remains in non-operative mode or goes into non-operative mode.

When the utility grid is restored, this is detected by the Sunny Island. The Sunny Island synchronizes the battery backup grid with the utility grid. Following successful synchronization, **Relay 1** goes into non-operative mode and contactor **Q2** is activated. The battery backup grid is again connected to the utility grid.

#### **Grounding Device**

Contactors **Q3** and **Q4** form the grounding device. Contactors **Q3** and **Q4** are controlled by both multifunction relays of the Sunny Island. Contactor **Q3** is activated in parallel to contactor **Q2** of the tie switch. When the tie switch is closed, contactor **Q3** connects the neutral conductor in the battery backup grid to the grounding conductor.

In addition, the Sunny Island uses the multifunction relay **Relay 2** to control contactor **Q4**. When the multifunction relay **Relay 2** is activated, the contactor **Q4** is activated and also connects the neutral conductor to the grounding conductor. This arrangement ensures that the neutral conductor of the battery backup grid is always connected to ground.

#### **Phase Coupling**

Contactor **Q6** is the phase coupler. When the multifunction relay **Relay 2** is activated on the Sunny Island, this activates contactor **Q6** and connects the unsupplied line conductors via circuit breakers **F3** and **F4** with the supplied line conductor.

## 8 Installation Site

The following products within the SMA Flexible Storage System with battery backup function impose requirements on the installation site which must be taken into account at the planning stage.

- Sunny Island 6.0H/8.0H with battery
- Sunny Remote Control
- BatFuse B.01/B.03
- SMA Energy Meter
- Sunny Home Manager

The requirements made on the installation site of the automatic transfer switch are listed in the manufacturer documentation of the switch cabinet and its components.

With reference to the entire battery backup system, the following requirements should be taken into account from the initial planning stage:

 in planning stage.
The minimum clearances to walls, objects, SMA products or other technical devices must be complied with.
The ambient conditions must meet the requirements of the individual products towards the installation site.
The maximum cable routes and radio ranges between the installed SMA products to one another and to other devices must be feasible.
The cable cross-sections and the conductor materials of the cables used must meet the requirements of the specified products.
The battery room must meet the requirements of the battery manufacturer.

Links to additional information can be found at www.SMA-Solar.com:

Document title	Document type		
Sunny Island 6.0H/8.0H	Installation Manual		
Sunny Remote Control	Mounting Instructions		
BatFuse	Installation Manual		
SMA Energy Meter	Installation Manual		
Sunny Home Manager	Installation Manual		

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