

## Yi (Sammy) Shan, FRM

■ 165 North Point st, Apt 4151, SAN FRANCISCO, CA, 94133 ■ 301.906.3871 ■ [shanvi890423@gmail.com](mailto:shanvi890423@gmail.com) ■ Permanent Resident

---

### SUMMARY

An enthusiastic investment management / business development professional with combined backgrounds.

- **Highlighted Technical Skills:** Excel, PowerPoint, Access, SQL, Bloomberg, WSO and etc. Passed CFA Level I.

---

### EDUCATION

#### Robert H. Smith Business School, University of Maryland

*Master of Business Administration in Finance, GPA: 3.5*

- Vice President, Master of Science Finance Association

**August 2012-May 2014**

**College Park, MD**

#### East China Normal University

*Bachelor of Science, Software Engineering, GPA: 3.6*

- Graduated in top 5% of class

**August 2008-May 2011**

**Shanghai, China**

---

### PROFESSIONAL EXPERIENCE

#### China Oceanwide USA Holdings

*Investment / Business Development Analyst, U.S. Investments*

**March 2018-Present**

**San Francisco, CA**

- Investment research in Public Stock Market:
  - Create industry and business overview, summarize investment highlights and key considerations for each screened stock.
  - Build valuation models for each selected company, such as DCF valuation, EBITDA Multiple analysis and PS valuation.
  - Evaluate key drivers for each target industry and each specific company to project future business/financial trends.
  - Present stock analysis summary to senior management and provide any new findings accordingly.
- Investment research in Private Equity Market:
  - Conduct due diligence on deals screened from Brokers or from professional Networks.
  - Completed quantitative and qualitative analysis across focused industry sectors.
  - Performed financial valuation through DCF valuation, trading or precedent transaction comparable, etc.
- Daily Investment Newsletter:
  - Cross-collaborate with headquarter management team and accounting team on daily market memoranda.
  - Monitor Public Market Performance, including specific industry performance, stock performance and etc.
  - Review economic updates and latest policy news, including Private Equity and M&A markets update.

#### The Blackstone Group

*Research Analyst*

**November 2016-February 2018**

**New York, NY**

- Assisted in evaluating new investments in the Primary and Secondary Markets through analysis of the borrowers' business, industry drivers, inherent credit risks, and valuation/recovery prospects.
- Assisted in monitoring companies including quarterly/annual financial statements, ratings changes, amendments and waivers.
- Deal support experience:
  - Investment valuation on providing unitranche financing to support acquisition of a leading technology solution re-seller:
    - Created business overview, investment highlights and key considerations from due diligence.
    - Assisted in creating projection operating/LBO models and conducting company/industry research.
  - Investment valuation on providing refinancing current Senior Secured Facilities:
    - Summarized key credit highlights and historical financial trends.
    - Assisted in creating pro forma combination cash flow operating models.
- Reviewed investment opportunities based on portfolio characteristics, such as concentrations and investment covenants.

#### MUFG Americas

*Associate*

**December 2014-November 2016**

**New York, NY**

- Provided support for various Project Leones work streams as well as Enhanced Prudential Standards related projects.
- Prepared monthly Enterprise Risk Management Committee package and Wholesale Credit Risk Committee package, including breakdown analysis of credit and market risks, criticized assets, business lines, industries and overlap exposures.

#### Industrial and Commercial Bank of China, New York Branch

*Credit Analyst*

**June 2014-October 2014**

**New York, NY**

- Conducted credit risk and counterparty credit risk analysis using data provided by lenders or clients.

---

### Others

**Languages:** Fluent in English; Native in Mandarin and Cantonese **Hobbies:** Basketball, Swimming, Music, Movie and Traveling