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10th Anniversary Edition





BEHAVIORAL CLINICAL TRIALS: PAST, PRESENT, AND FUTURE

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Summer Institute on Randomized Behavioral Clinical Trials U.S. National Institutes of Health

Class of 2004



FELLOWS



FACULTY





"Rules" for Randomized <u>Drug</u> Trials (from Epidemiology)

Design: Double-blind

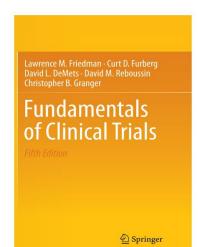
• Eligibility: Adherence, Safety

• Sample size: Conservative

Outcome: Single primary outcome

Analyses: Intent-to-Treat

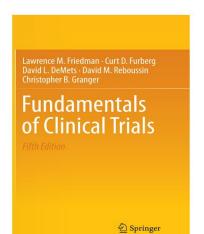
• Phase III efficacy trial required





"Rules" for Randomized <u>Drug</u> Trials (from Epidemiology)

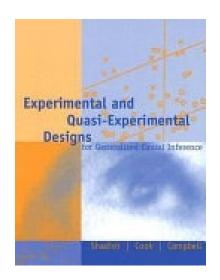
- Design: Double-blind
- Eligibility: Adherence, Safety
- Sample size: Conservative assumptions
- Outcome: Single primary outcome
- Analyses: Intent-to-Treat
- Phase III efficacy trial required



State of the Art in Randomized Behavioral Trials

(from Experimental Psychology)

- Design: Unblinded, Single-blind
- Eligibility: Safety, Representativeness
- Sample size: Liberal assumptions
- Outcomes: Many primary outcomes
- Analyses: Responders-only
- Phase III efficacy trial rare





"Rules" for Randomized Drug Trials

State of the Art in Randomized Behavioral Trials

Goal: Implementation

Process:

Multi-disciplinary Teams

Progression: Accepted process for progressive

development of drug

Implementation: Phase III trial window to practice

guidelines, 3rd party reimbursement,

and implementation

Goal: Discovery

Process: Isolation

Progression: "One fell swoop" trials

Implementation: Implementation gap



Fellows had many questions

Faculty had few answers



Implementation Gap



Quality of Evidence Needed That a Treatment Works

COUNTRY	RATING SYSTEM	HIGHEST RATING	
INTERNATIONAL	GRADE	Phase III Randomized Efficacy Trial	
UNITED KINGDOM	Centre for Evidence-Based Medicine	Phase III Randomized Efficacy Trial	
SCOTLAND	Intercollegiate Guidelines Network	Phase III Randomized Efficacy Trial	
NEW ZEALAND	New Zealand Guidelines Group	Phase III Randomized Efficacy Trial	
CANADA	Canadian Hypertension Education Program	Phase III Randomized Efficacy Trial	
UNITED STATES	Minnesota Institute Clinical Systems Improvement	Phase III Randomized EfficacyTrial	
	American Family Physicians	Phase III Randomized EfficacyTrial	
	US Preventive Services Taskforce	Phase III Randomized EfficacyTrial	
	American College Cardiology/American Heart Association	Multiple <i>Phase III</i> Randomized Efficacy Trials	
	American Academy of Pediatrics	Phase III Randomized Efficacy Trial	
	American Academy of Neurology	Phase III Randomized Efficacy Trial	
	American College of Chest Physicians	Phase III Randomized Efficacy Trial	
	National Comprehensive Cancer Network	Phase III Randomized Efficacy Trial, meta-analyses	
	Infectious Disease Society of America	Phase III Randomized Efficacy Trial	

Institute of Medicine, 2011



SIGNIFICANCE

We Have Guidelines for Identifying Significant Health Problems

CURRENT TREATMENT

- --Not very effective
- --Uncertain effectiveness (equipoise)
- --Effective but not adhered to
- -- Effective but too costly

US PREVENTIVE SERVICES TASK FORCE:

GRADE "C": Cannot Make a Recommendation

in Physical Activity Treatments

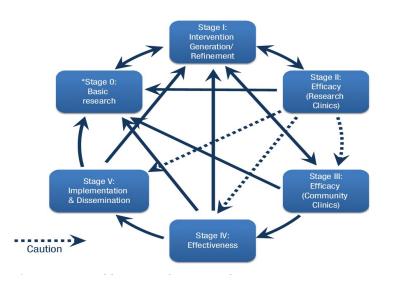
- --Trials with adequate power and sufficient follow-up
- --Culturally appropriate, tailored
- --Improve clinician skills in behavioral counseling
- --Reduce sedentary behavior



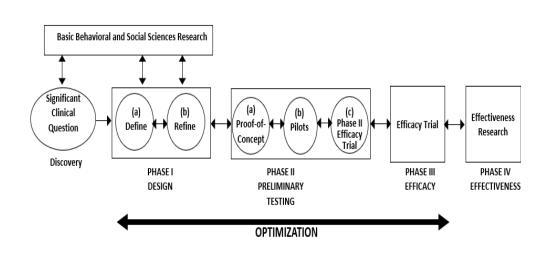
PROGRESSION

WE HAVE Treatment Development Models

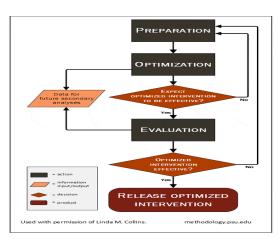
NIH Stage Model



ORBIT Model



MOST





TEAMS

WE HAVE Networks





WE HAVE Training Opportunities That Create Teams



ORBIT Institute Fellows, 2023

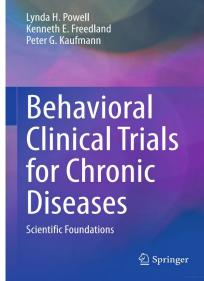
J Gorzelitz, J Hayes, A Ianoye, A Loughan, S Schrauben Society of Behavioral Medicine 2024



DESIGN

WE HAVE Our Own Book





Synthesis of Experimental and Clinical Trial Methods

WE HAVE CONSORT Reporting Guidelines

- --non-pharmacological treatments
- --eHealth interventions
- --pilot/feasibility trials
- --template for intervention description
- --patient-reported outcomes
- --abstract preparation
- --non-inferiority designs
- -- cluster randomized trials
- --pragmatic trials
- --factorial designs
- --data collected during routine practice
- --early phase dose finding studies



METHODS

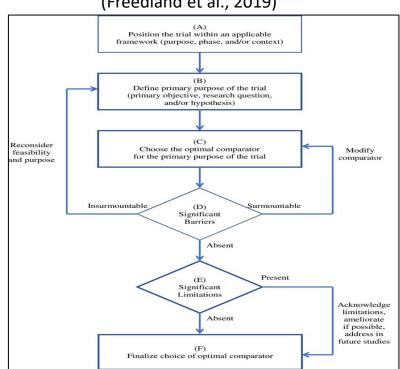
WE HAVE a Meta-Science of Behavioral Trial Methods

How to Choose a Comparator

(Freedland et al., 2019)

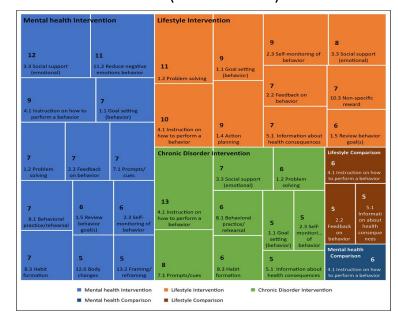
How to Do Good Science (Munafo et al., 2017)

"Stakeholders of science must not embrace the status quo, but instead pursue self-examination continuously for improvement and self-correction of the scientific process itself."



How to Describe a Treatment

(Mitchie 2013)





FULLY REMOTE MULTI-SITE TRIALS

WE HAVE *Phase III* Behavioral Efficacy Trials

BWE The Breast Cancer WEight Loss Trial

Objective: Impact of telephone-based weight loss on

disease-free survival in overweight or obese women with Stage II-III HER2-negative breast

cancer

SPONSOR: <u>Alliance</u> for Clinical Trials in Oncology:
--Natl Cancer Institute Trials Network

-- Canadian Clinical Trials Group

COHORT SITES

TREATMENT

3136 Participants

>150 Sites in US and Canada

Delivered by **phone** from central

coordinating center



PI: Jennifer Ligibel, MD

ibtn
international
behavioural
trials network

"It is difficult to make predictions, especially about the future."

Yogi Berra

PRECISION LIFESTYLE MEDICINE



Subgroups Most Likely to Benefit:

Eligibility based upon motivation

True Informed Consent

"I understand what you would be asking me to do and am ready to do it now."





Treatment to Patient Characteristics

- --Patient Preference
- --Patient Baseline Characteristics
- --Patient Response

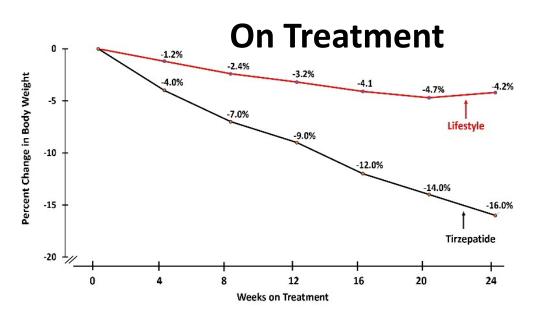
Run-In's

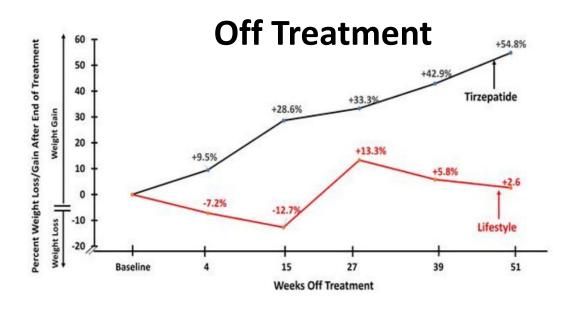


CROSS-DISCIPLINARY COMPONENTS

Target the individual with behavioral and biological components simultaneously

WEIGHT LOSS: Lifestyle vs. Obesity Drug







MULTI-LEVEL INTERVENTIONS

Target individual plus another level of influence simultaneously



Ecological ModelLevels of influence on individual behavior

INDIVIDUAL: Medication Adherence POLICY: Stable Housing



Behavioral activation for medication adherence among people experiencing homelessness

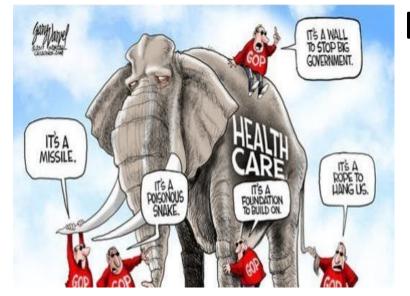
	Attendance	Acceptability	Final Exam	<u>∧ A1C</u>
Stable Housing	47	31.8	100%	80%
Unstable Housing	17	14.7	60%	50%



PLAN FOR IMPLEMENTATION

Expand List of Stakeholders Who Influence Implementation





Embed Stakeholder Interests as Outcomes

PATIENTS

Quality of Life

INSURERS

Reduction of drug costs

INSTITUTIONS

Patient Satisfaction

COMMUNITY

Cost



INTERNATIONAL MULTI-SITE BEHAVIORAL TRIAL

SPONSORS --International Behavioral Trials Network

-- US Office of Behavioral and Social

Sciences Research

COHORT 3500 Participants

SITES 25 Countries

TREATMENT Fully remote treatment



Principal Investigators
Kim Lavoie, PhD
Simon Bacon, PhD

