

Photo by [AndRo Poplis](#) on [Unsplash](#)

**INNOQ**

**Gernot Starke**  
Fellow



**The Smart Way  
to Describe Your  
Architecture**



**Thanx to  
Michael Simons**  
Twitter [@rotnroll666](https://twitter.com/rotnroll666)



**Missing in  
the  
picture**



**Technology**  
**(internal) Structure**  
**Interfaces**  
**Reasons**  
**Requirements**



**Architecture is  
(much) more  
than a  
diagram ...**

**and more  
than code ...**



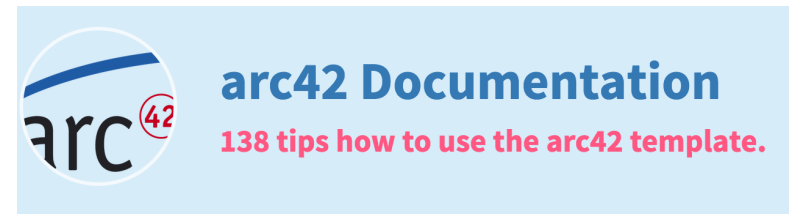






## Repository for (architecturally) relevant information

- Free and open source: [arc42.org](https://arc42.org)
- Documentation <https://docs.arc42.org>



# Results of Architecture Work...

Requirements

Decisions



Code Structure  
& Components

## **1. Introduction and Goals**

- 1.1 Requirements Overview
- 1.2 Quality Goals
- 1.3 Stakeholders

## **2. Constraint**

- 2.1 Technical Constraints
- 2.2 Organisational Constraints
- 2.3 Conventions

## **3. Context and Scope**

- 3.1 Business Context
- 3.2 Technical Context

## **4. Solution Strategy**

## **5. Building Block View**

- 5.1 Level 1
- 5.2 Level 2
- ....

## **6. Runtime View**

- 6.1 Runtime Scenario 1
- 6.2 Runtime Scenario 2
- ....

## **7. Deployment View**

- 7.1 Infrastructure Level 1
- 7.2 Infrastructure Level 2
- ....

## **8. Crosscutting Concepts**

- 8.1 Domain Structures and Models
- 8.2 Architectural/Design Patterns
- 8.3 Under the hood
- 8.4 User Experience
- ....

## **9. Architectural Decisions**

- 9.1 Decision 1
- 9.2 Decision 2
- ....

## **10. Quality Requirements**

- 10.1 Quality Tree
- 10.2 Quality Scenarios

## **11. Risks and technical debts**

## **12. Glossary**



### Whitebox-Template

1. Name
2. Overview (Diagram!)
3. Motivation, Rationale
4. Contained Blackboxes
5. Internal Interfaces
6. Open issues

### Blackbox-Template

1. Name
2. Purpose / Responsibility
3. Interfaces
4. Location / Files
5. Fulfilled Requirements
6. Variability / Flexibility
7. Open Issues

### Structure of Architecture Decisions

1. What to decide?
  - 1.1 In what context?
2. How was decided?
  - 2.1 Why?
  - 2.2 Assumptions
  - 2.3 Discarded Alternatives
3. Consequences?
4. Known Risks?
5. Who has decided?

## ARC42 Architecture Documentation

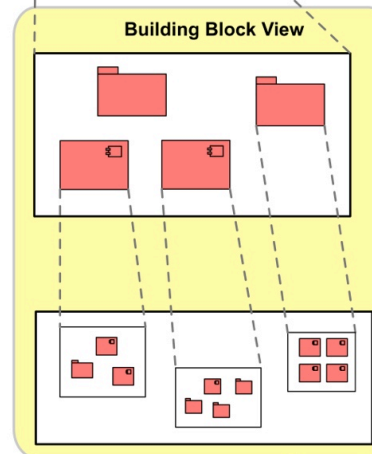
1. Introduction and Goals
2. Constraints
3. Context
4. Solution Strategy
5. Building Block View
6. Runtime View
7. Deployment View
8. Concepts
9. Architecture Decisions
10. Quality Scenarios
11. Risks
12. Glossary

### Scenarios to define Quality Requirements

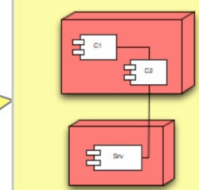
1. Useage Scenarios
2. Change Scenarios

### Structure of Concepts

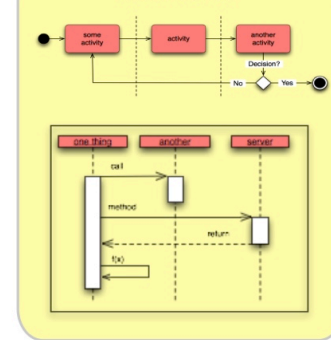
1. Goals and Requirements
2. Constraints
3. Scope / Context
4. Solution / Approach
  - 4a Structures & Process
  - 4b Samples incl. Code
5. Alternatives
6. Risks



### Deployment View



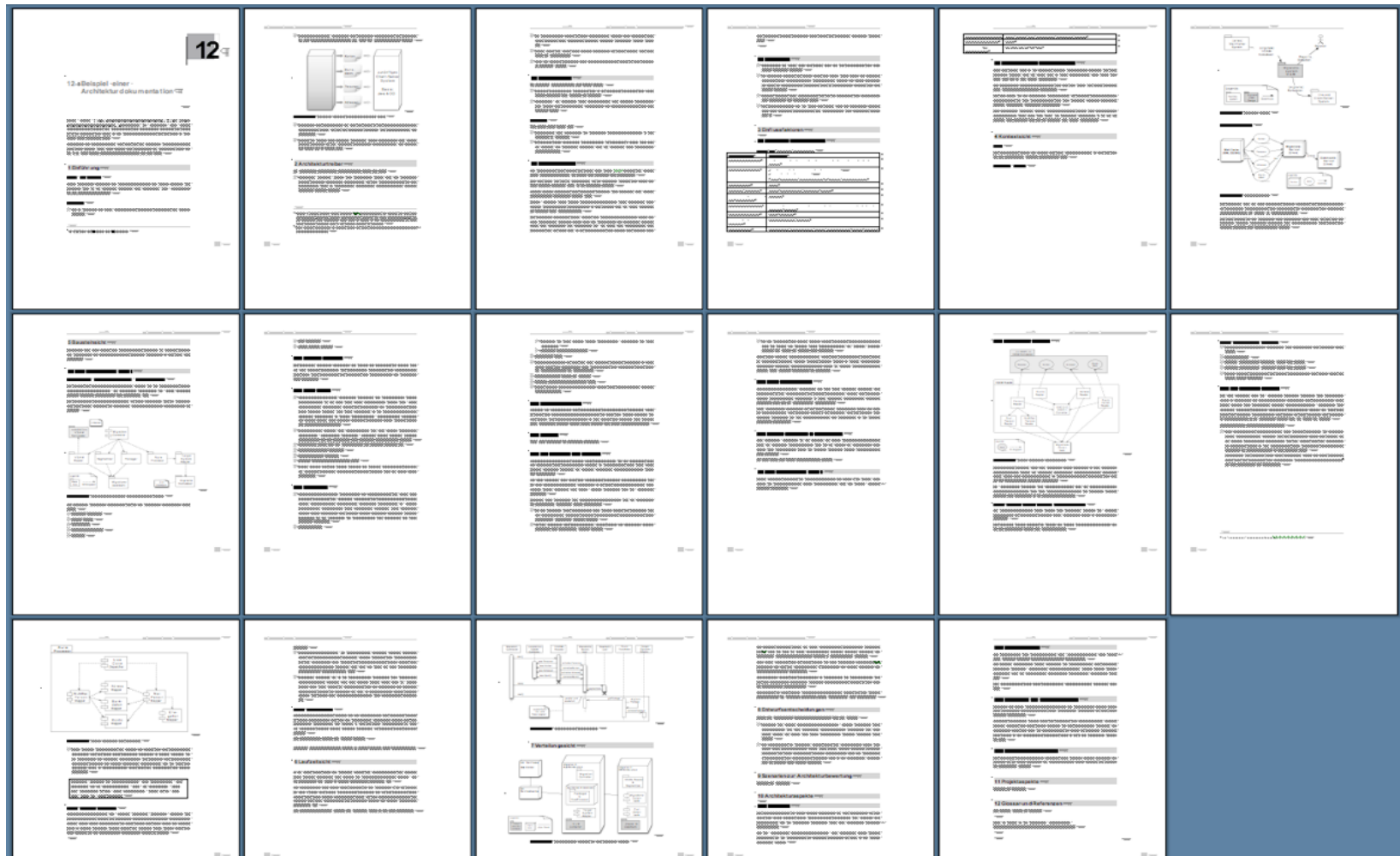
### Runtime View



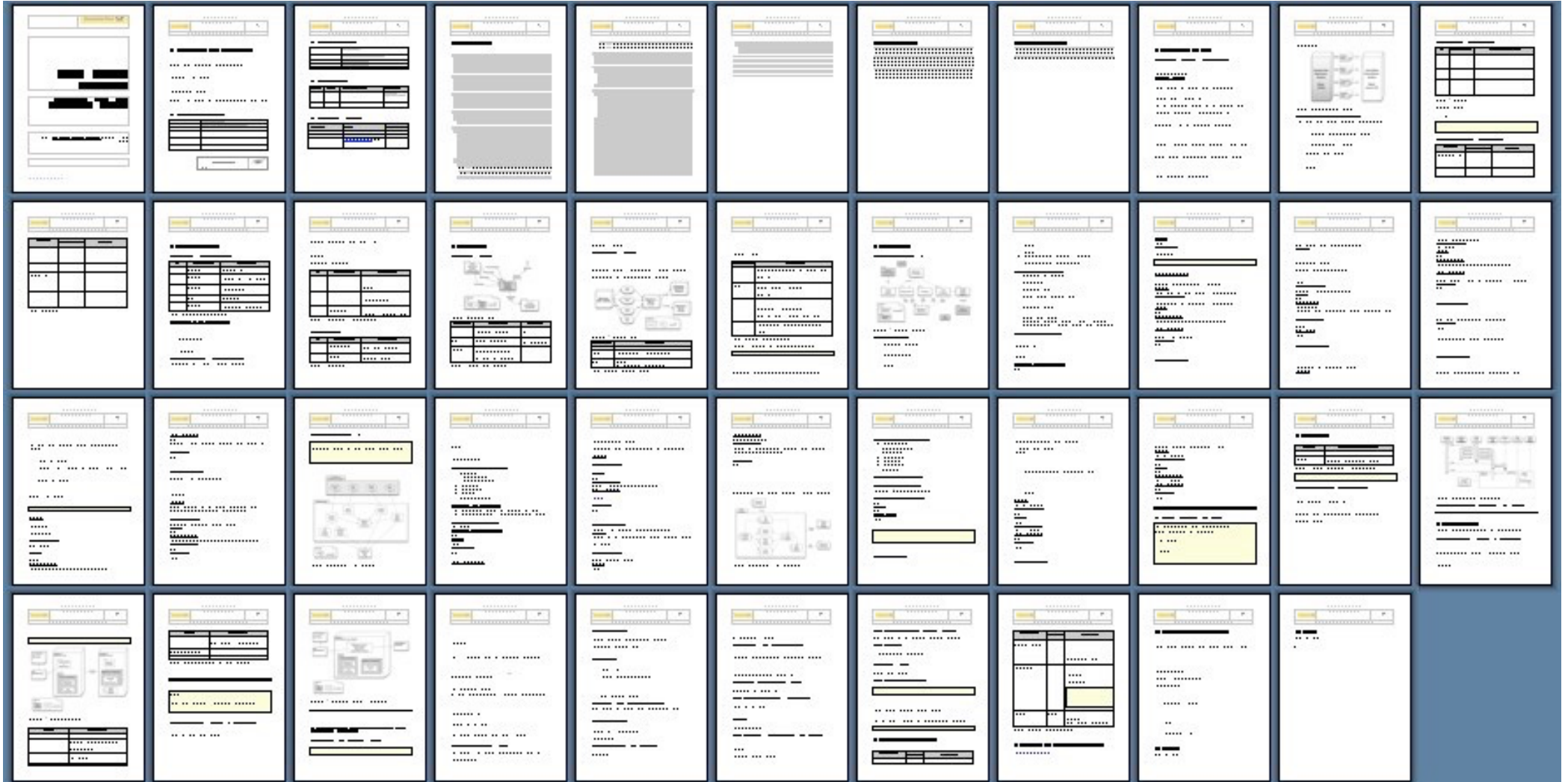
### Structure of Interface Descriptions

1. Name, Version
2. Ressources (Syntax)
3. Semantic
  - 3.1 Business
  - 3.2 Technical
4. Protocol
  - 4.1 Flow / Process
  - 4.2 Transmission Channel
5. Error and Exception Behavior
6. Restrictions
7. Sample Data
8. Quality Attributes / QoS

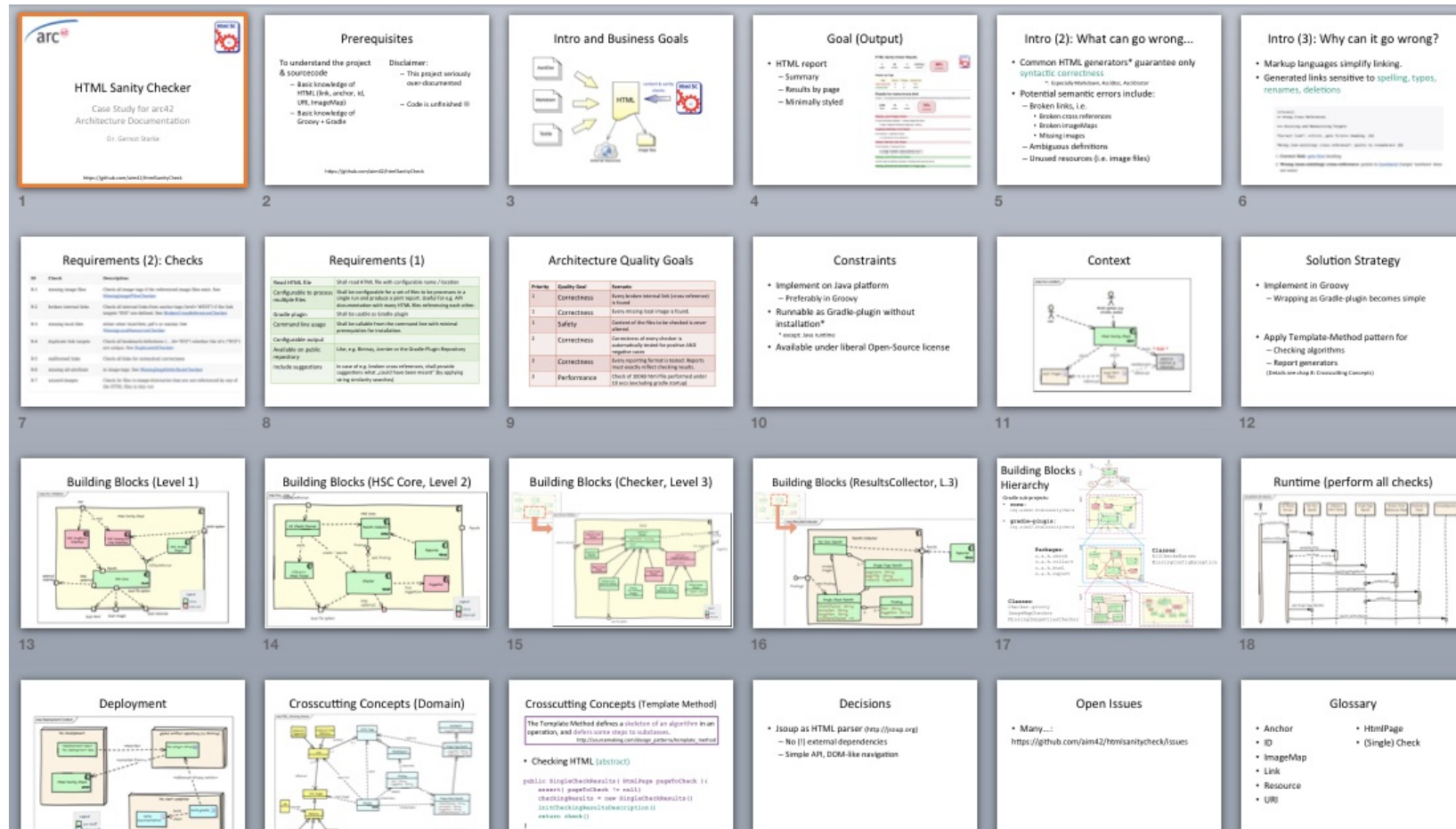
# Sample (Data Migration, 4000+ PT)



# Sample (CRM-System, 2000+ PT)



# Sample „htmlSanityCheck“



<https://github.com/aim42/htmlsanitycheck>



# HTML Sanity Checker

Case Study for arc42  
Architecture Documentation

(containing miserable source code by Gernot Starke)

<https://github.com/aim42/htmlSanityCheck>

# Prerequisites

## Required:

- Basic knowledge of HTML (link, anchor, id, URI, ImageMap)
- Basic knowledge of Groovy + Gradle

## Disclaimer:

- System is seriously over-documented
- Code is unfinished 😞

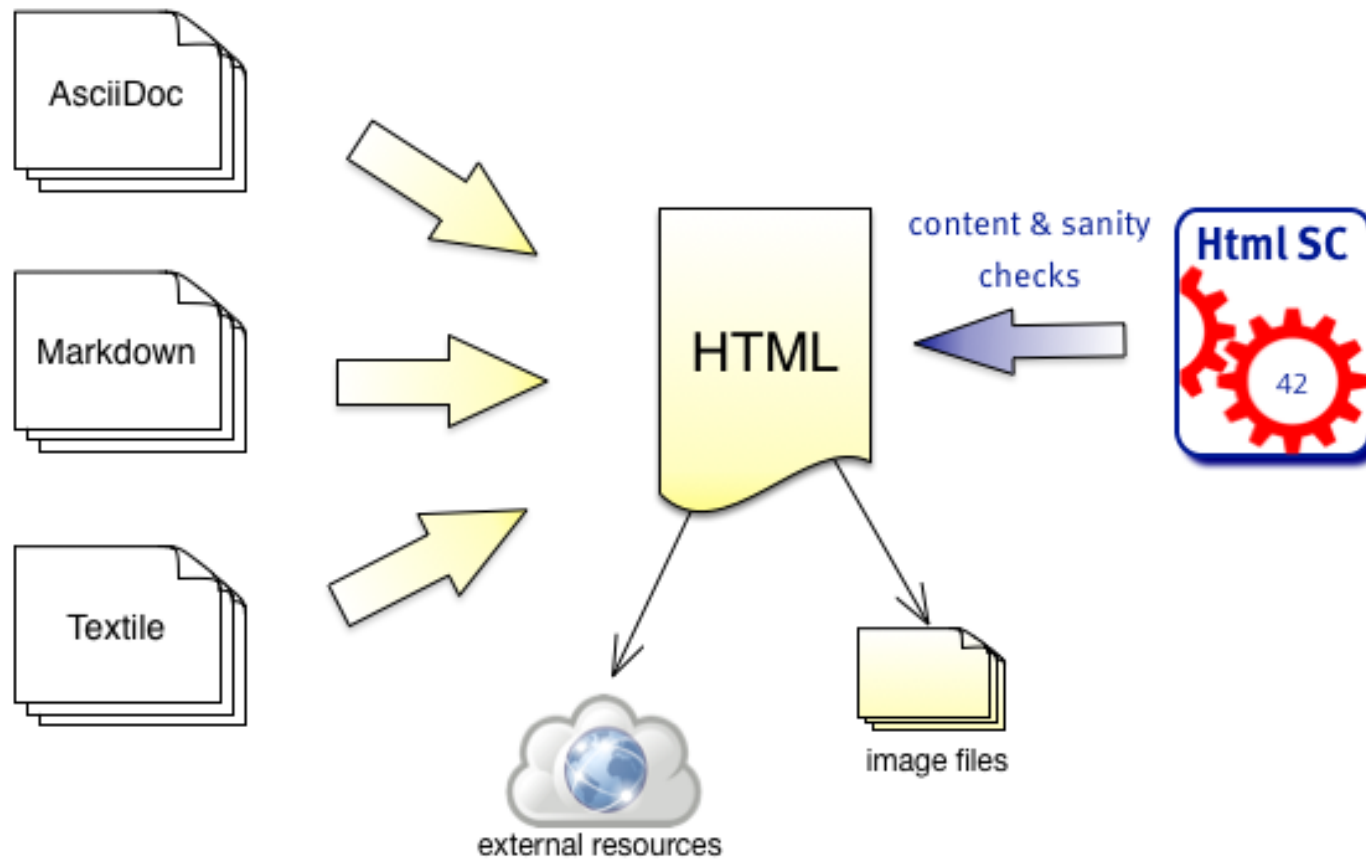
# Intro and (Business) Goal

1. Introduction and Goals 1.1 Requirements Overview 1.2 Quality Goals 1.3 Stakeholders	7. Deployment View 7.1 Infrastructure Level 1 7.2 Infrastructure Level 2 ...
2. Constraint 2.1 Technical Constraints 2.2 Organisational Constraints 2.3 Conventions	8. Crosscutting Concepts 8.1 Domain Structures and Models 8.2 Architectural Design Patterns 8.3 Under the hood 8.4 User Experience
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shall support authors  
creating digital formats  
with hyperlinks and  
integration of images and  
similar resources."

# Overview



# Goal (Output)

## HTML report

- Summary
- Results by page
- Minimally styled

### HTML Sanity Check Results

2 pages    20 checks    4 issues    0.207sec duration

80%  
successful



### Results by Page

Page	Checks	Findings	Success rate
<a href="#">many-errors.html</a>	16	4	75%
<a href="#">no-errors.html</a>	4	0	100%

### Results for many-errors.html

location : /Users/gstarke/projects/htmlSanityCheck-all/htmlSanityCheckConsumer/build/docs/many-errors.html

33.68 kByte    16 checks    4 issues

75%  
successful

#### Missing Local Images Check

2 img src attributes checked, 1 missing image files found.

- image "images/nonexisting-image.png" missing

#### Duplicate Definition of id Check

9 id checked, 1 duplicate id found.

- id "duplicateId" has 2 definitions.

#### Broken Internal Links Check

3 href checked, 2 missing id found.

- link target "duplicate" missing (reference count 1)
- link target "nowhere" missing (reference count 1)

#### Missing Local Resources Check

0 anchor tag href attribute checked, 0 missing local resources found.

#### Missing alt-attribute declaration in image tags

# Requirements (1): Checks

ID	Check	Description
R-1	missing image files	Check all image tags if the referenced image files exist. See <a href="#">MissingImageFilesChecker</a>
R-2	broken internal links	Check all internal links from anchor-tags (href="#XYZ") if the link targets "XYZ" are defined. See <a href="#">BrokenCrossReferencesChecker</a>
R-3	missing local files	either other html-files, pdf's or similar. See <a href="#">MissingLocalResourcesChecker</a>
R-4	duplicate link targets	Check all bookmark definitions (... id="XYZ") whether the id's ("XYZ") are unique. See <a href="#">DuplicateIdChecker</a>
R-5	malformed links	Check all links for syntactical correctness
R-6	missing alt-attribute	in image-tags. See <a href="#">MissingImgAltAttributeChecker</a>
R-7	unused-images	Check for files in image-directories that are not referenced by any of the HTML files in this <i>run</i>

# Architecture Quality Requirements

Priority	Quality Goal	Scenario
1	Correctness	Every broken internal link (cross reference) is found
1	Correctness	Every missing local image is found.
1	Safety	Content of the files to be checked is <i>never</i> altered.
2	Correctness	Correctness of every checker is automatically tested for positive AND negative cases
3	Correctness	Every reporting format is tested: Reports must exactly reflect checking results.
3	Performance	Check of 100kB html file performed under 10 secs (excluding gradle startup)

# Constraints


Implemented on Java platform

- Preferably in Groovy

Runnable as Gradle-plugin without installation\*

\* except: Java runtime

Available under liberal Open-Source license

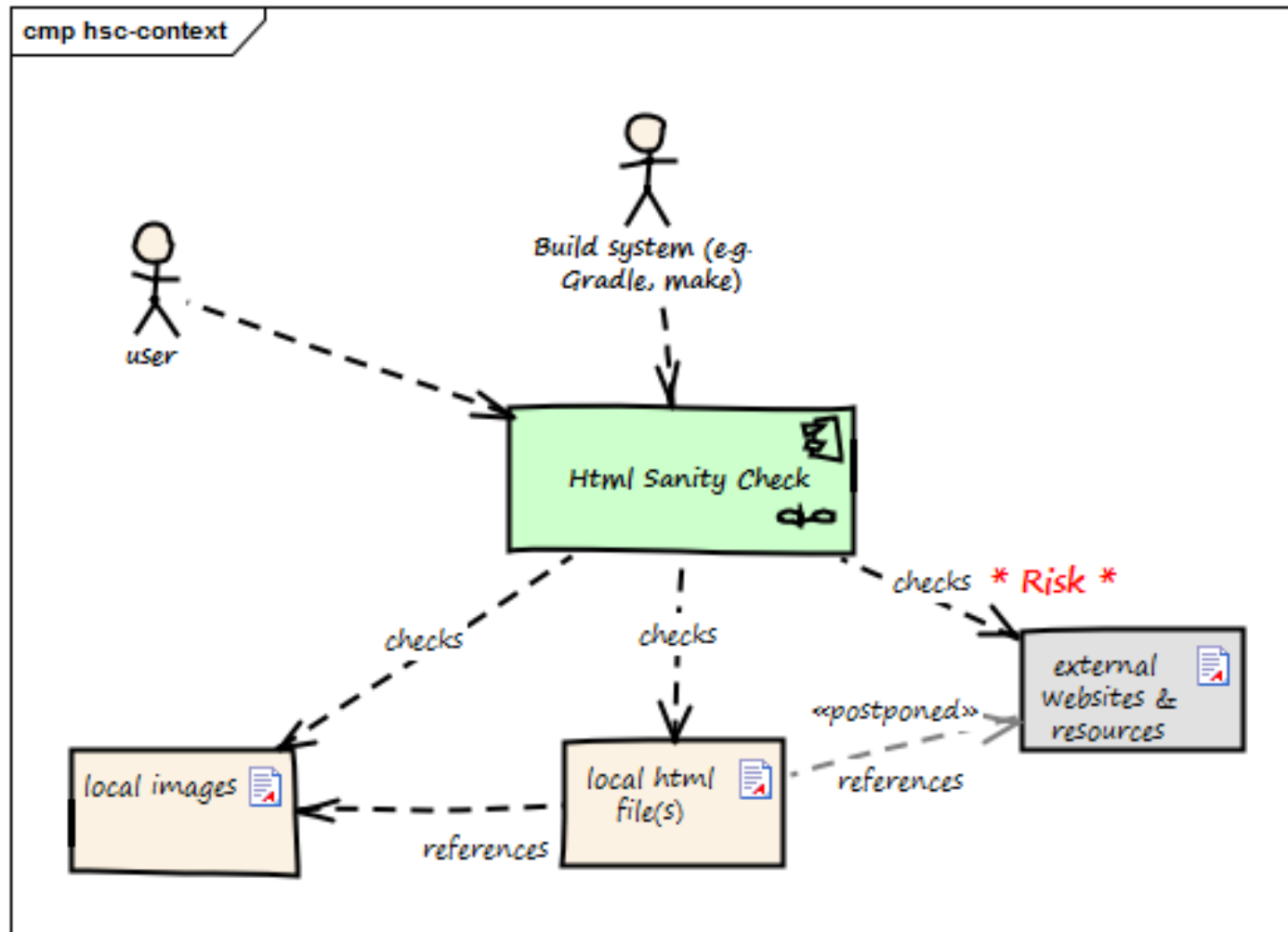


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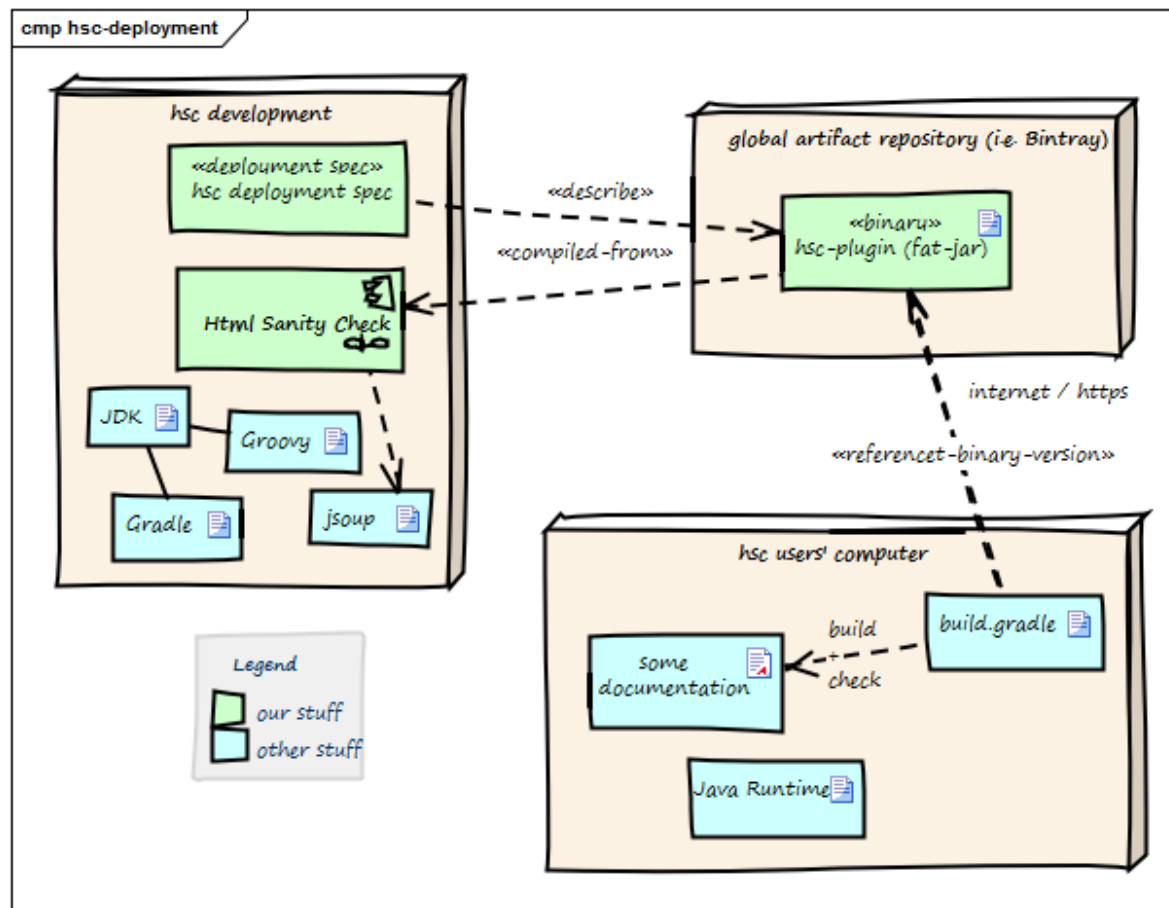
# (Business) Context



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# (Technical) Context



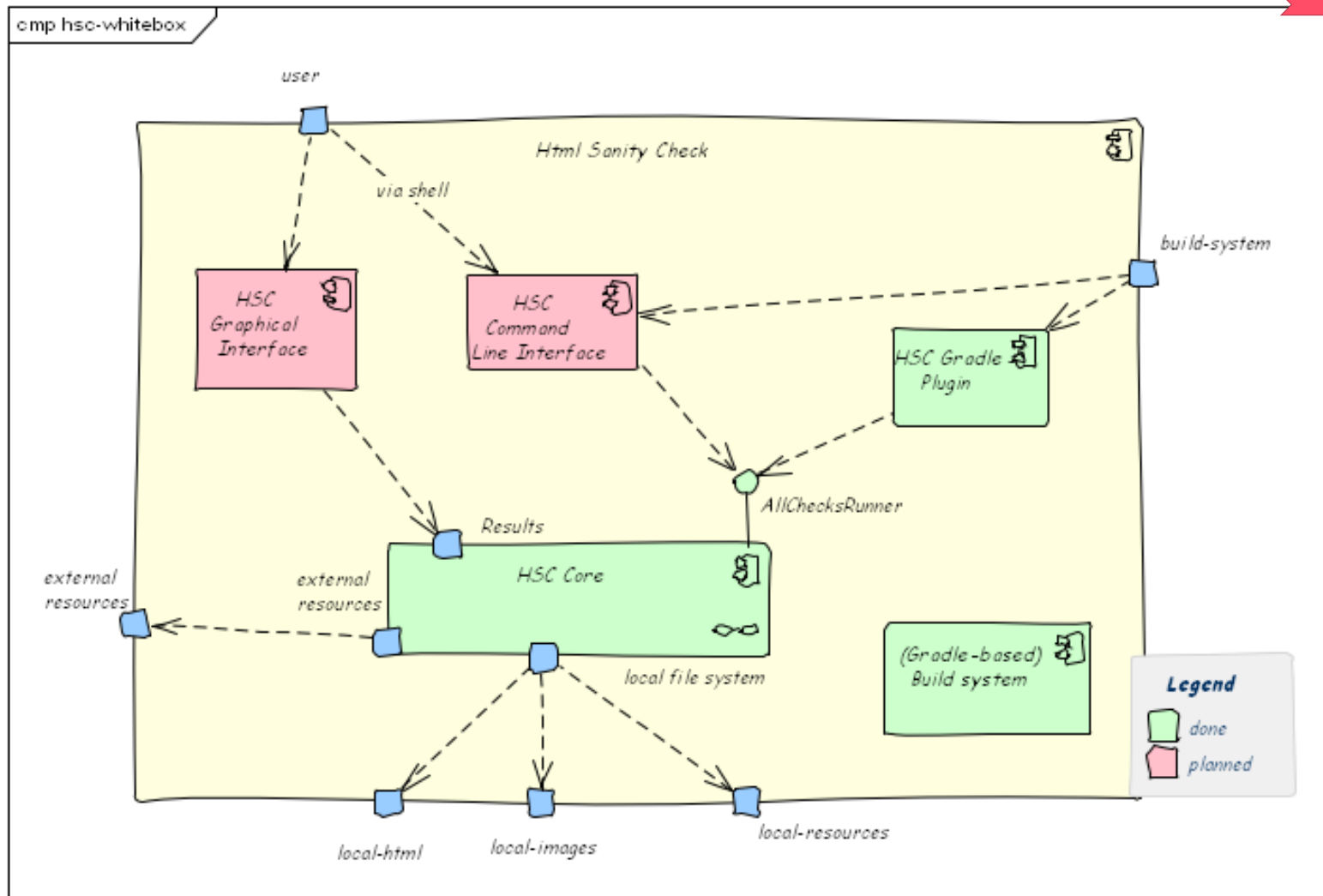
# Solution Strategy

- Use HTML parser with minimal dependencies
- Implement in Groovy
  - Wrapping as Gradle-plugin becomes simple
- Apply Template-Method pattern for
  - Checking algorithms
  - Report generators
  - (Details see chap 8: Crosscutting Concepts)



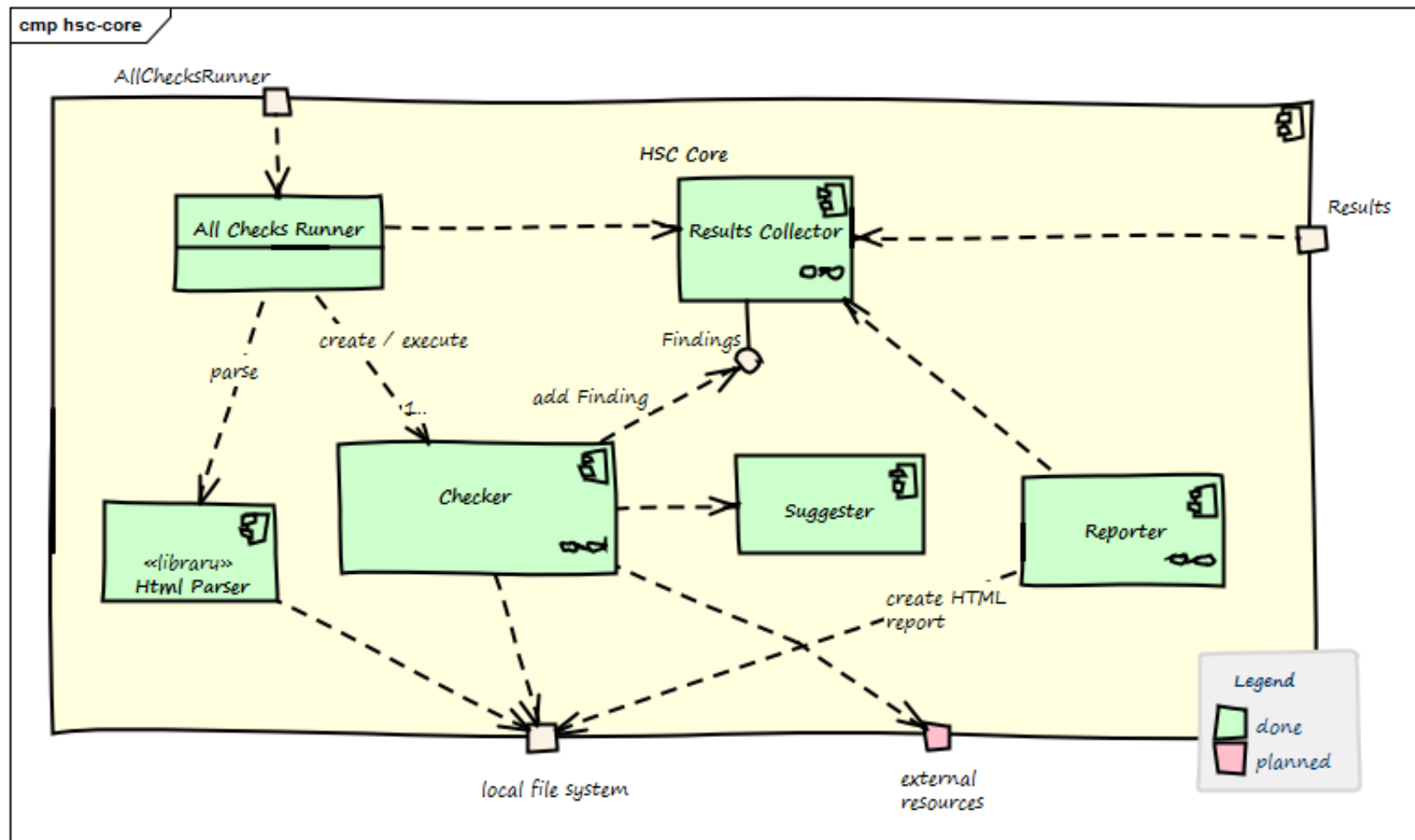
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# Building Blocks (Level 1)

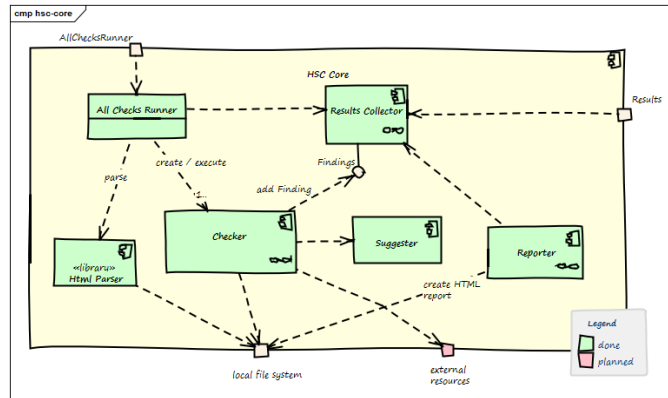


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# Building Blocks (HSC Core, Level 2)



# HSC Core, Level 2 (ff)

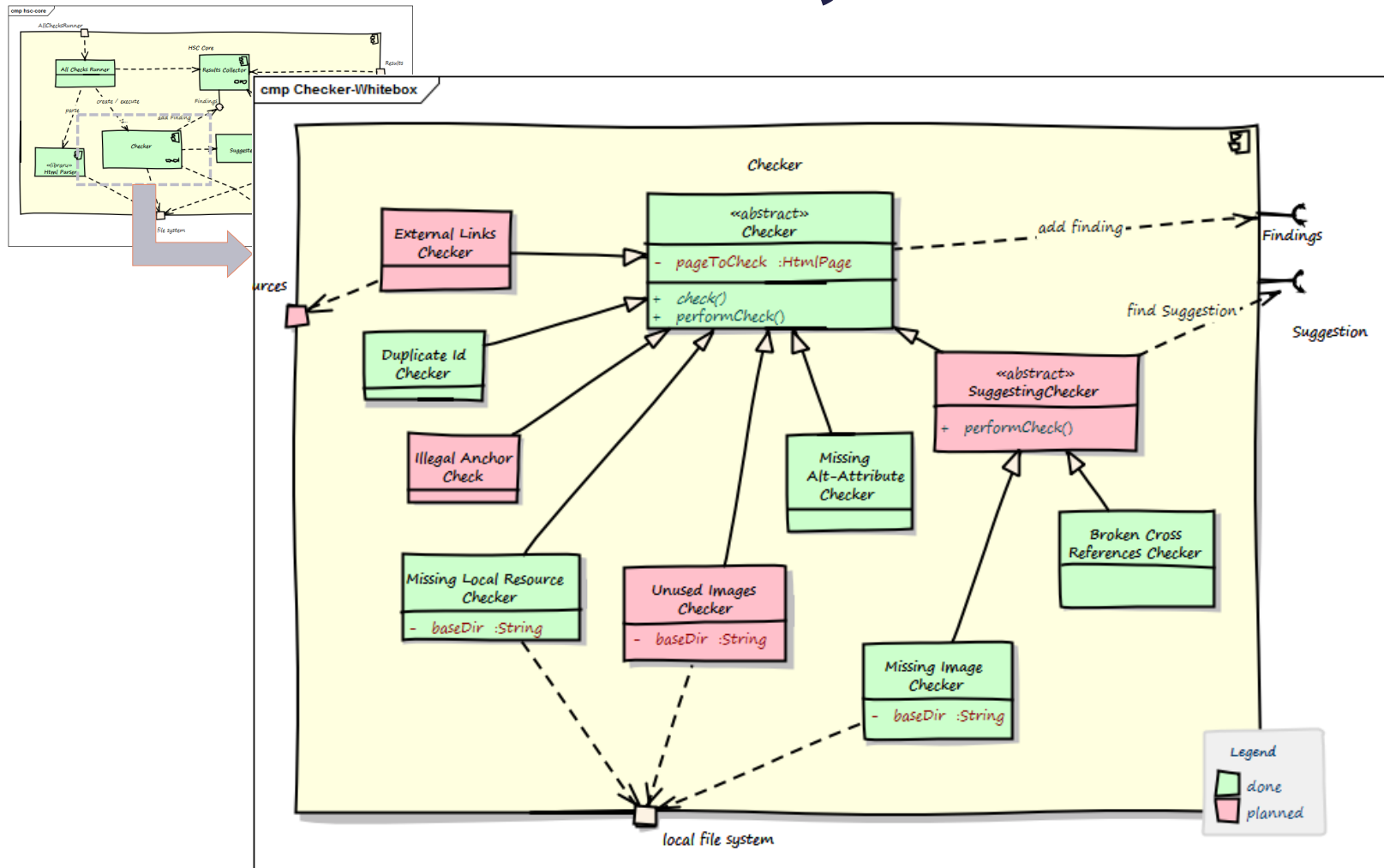


## Contained Blackboxes

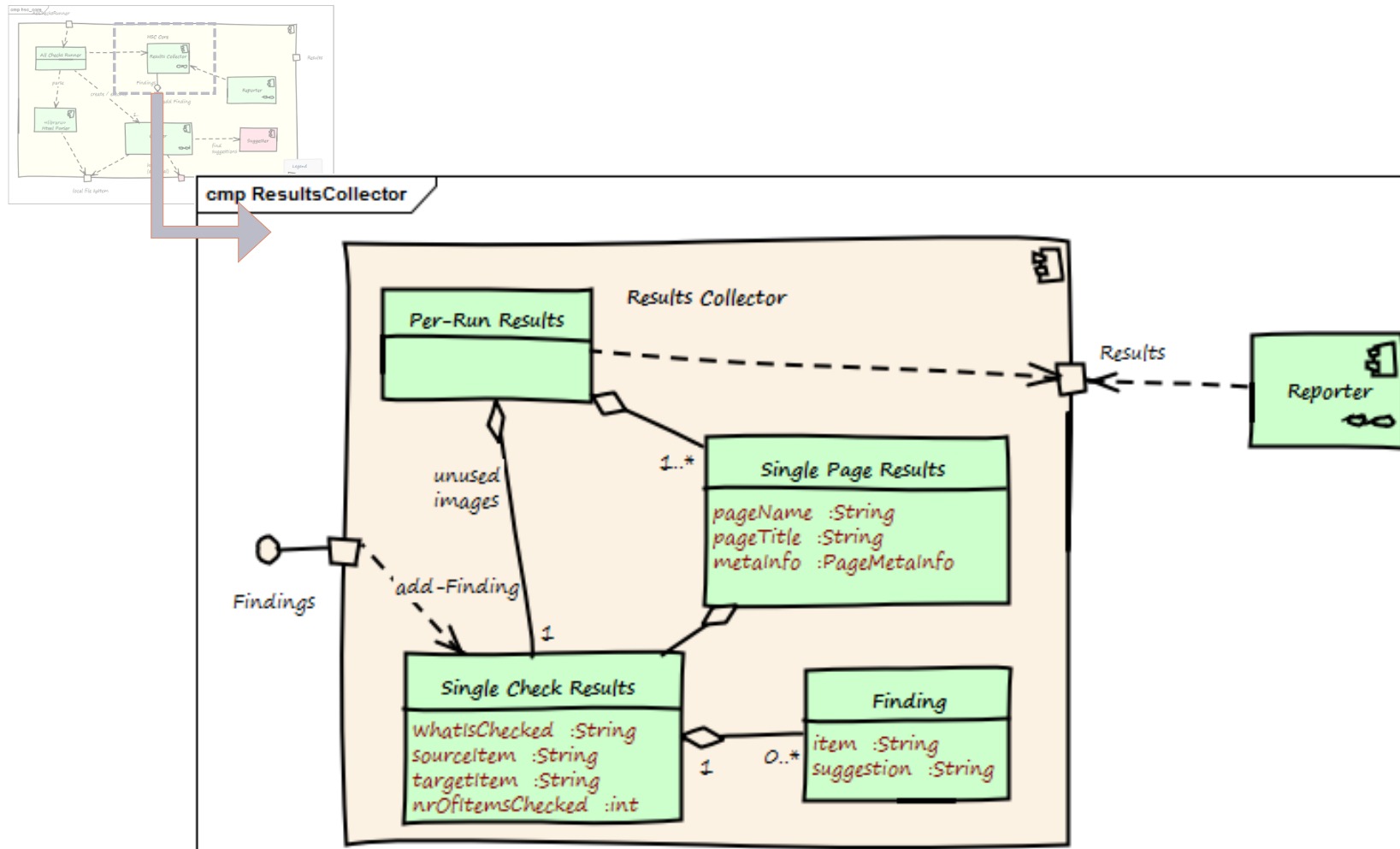
Table 12. HSC-Core building blocks

Checker	Abstract class, used in form of the template-pattern. Shall be subclassed for all checking algorithms.
AllChecksRunner	Facade to the different Checker instances. Provides a (parameter-driven) command-line interface.
<a href="#">ResultsCollector</a> (Whitebox)	Collects all checking results. Its interface <code>Results</code> is contained in the <a href="#">whitebox description</a>
Reporter	Reports checking results to either console or an html file.
HtmlParser	Encapsulates html parsing, provides methods to search within the (parsed) html.
Suggester	In case of checking issues, suggests alternatives by comparing the faulty element to the one present in the html file. Currently not implemented

# Building Blocks (Checker, Level 3)



# Building Blocks (ResultsController, L.3)



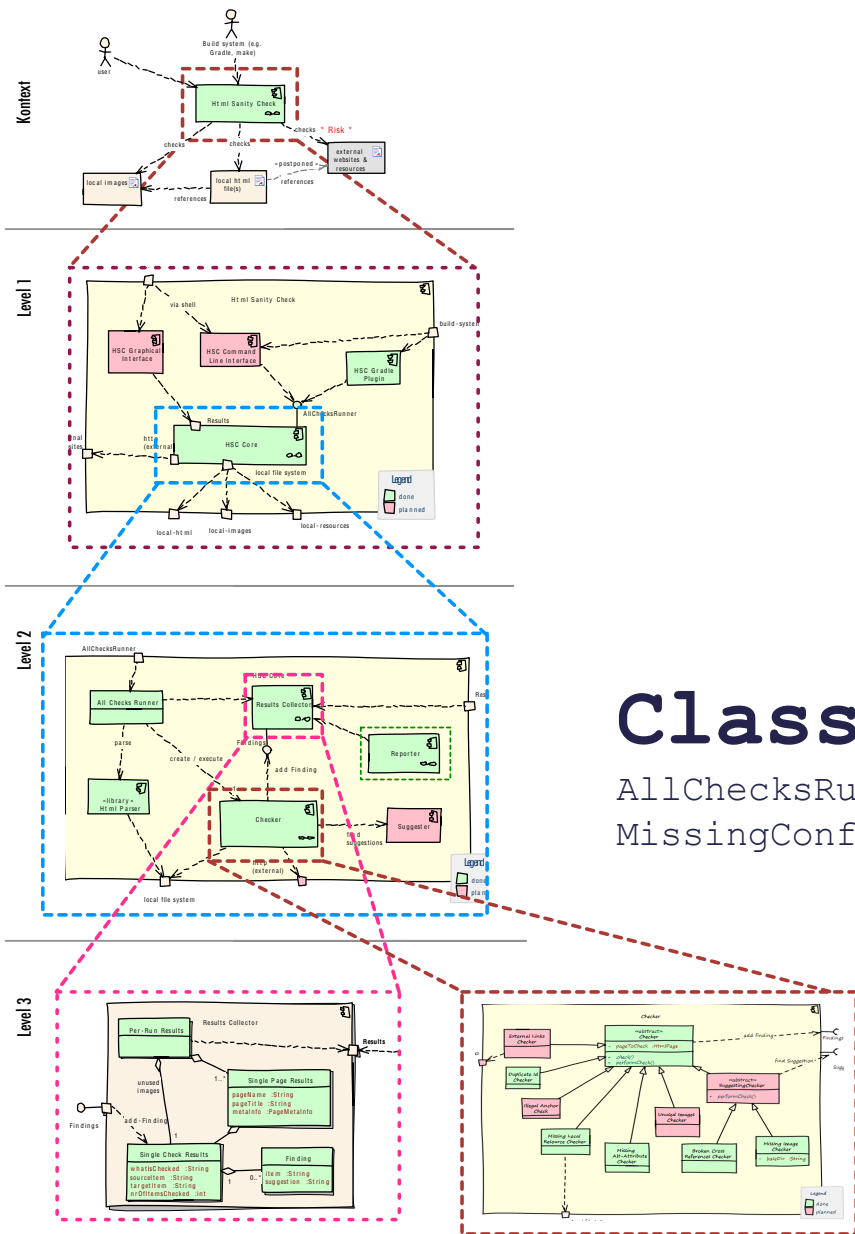
# Building Blocks Hierarchy

## Packages:

o.a.h.check  
o.a.h.collect  
o.a.h.html  
o.a.h.report

## Classes:

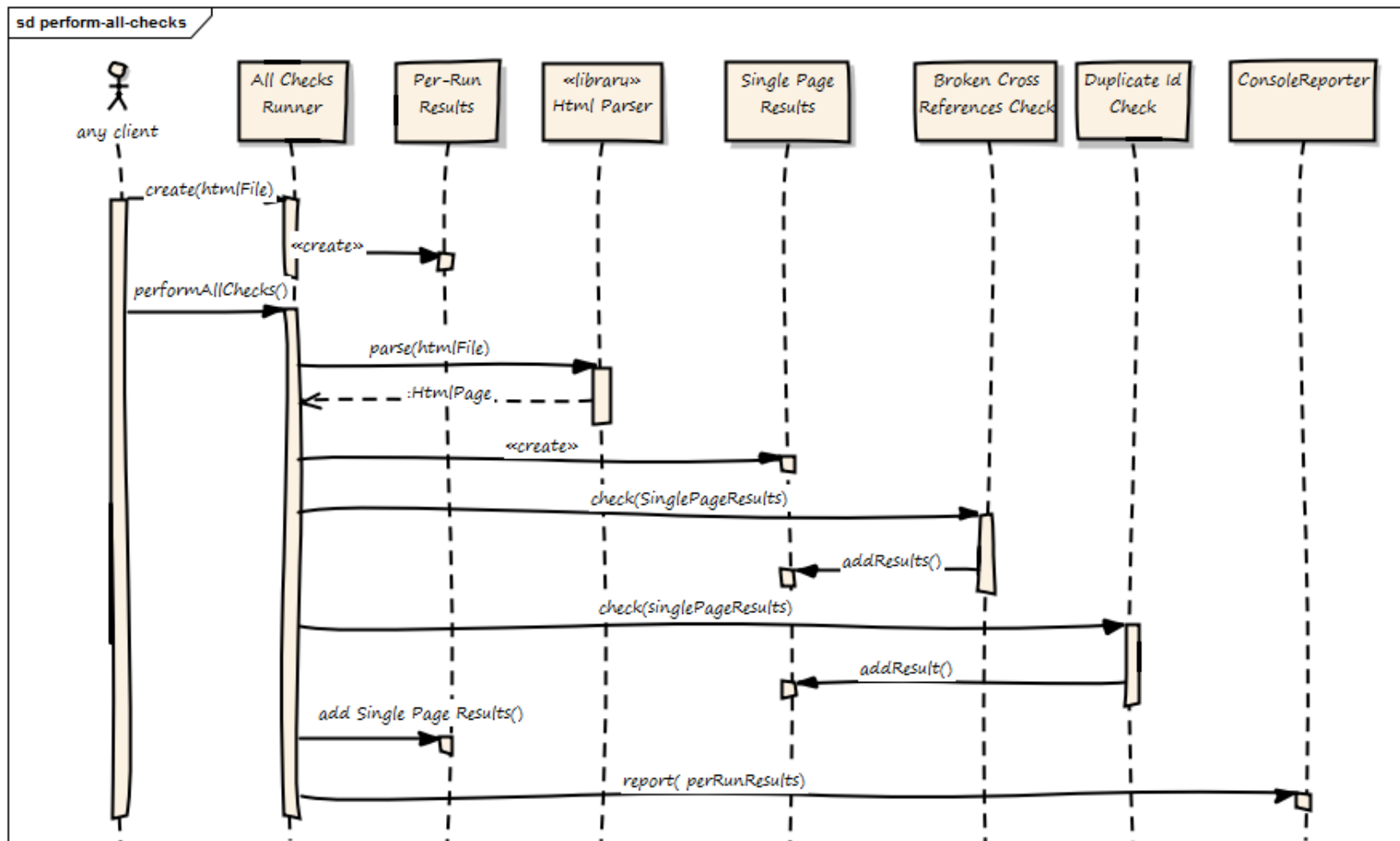
Checker.groovy  
ImageMapChecker  
MissingImageFilesChecker



## Classes:

AllChecksRunner  
MissingConfigException

# Runtime (perform all checks)

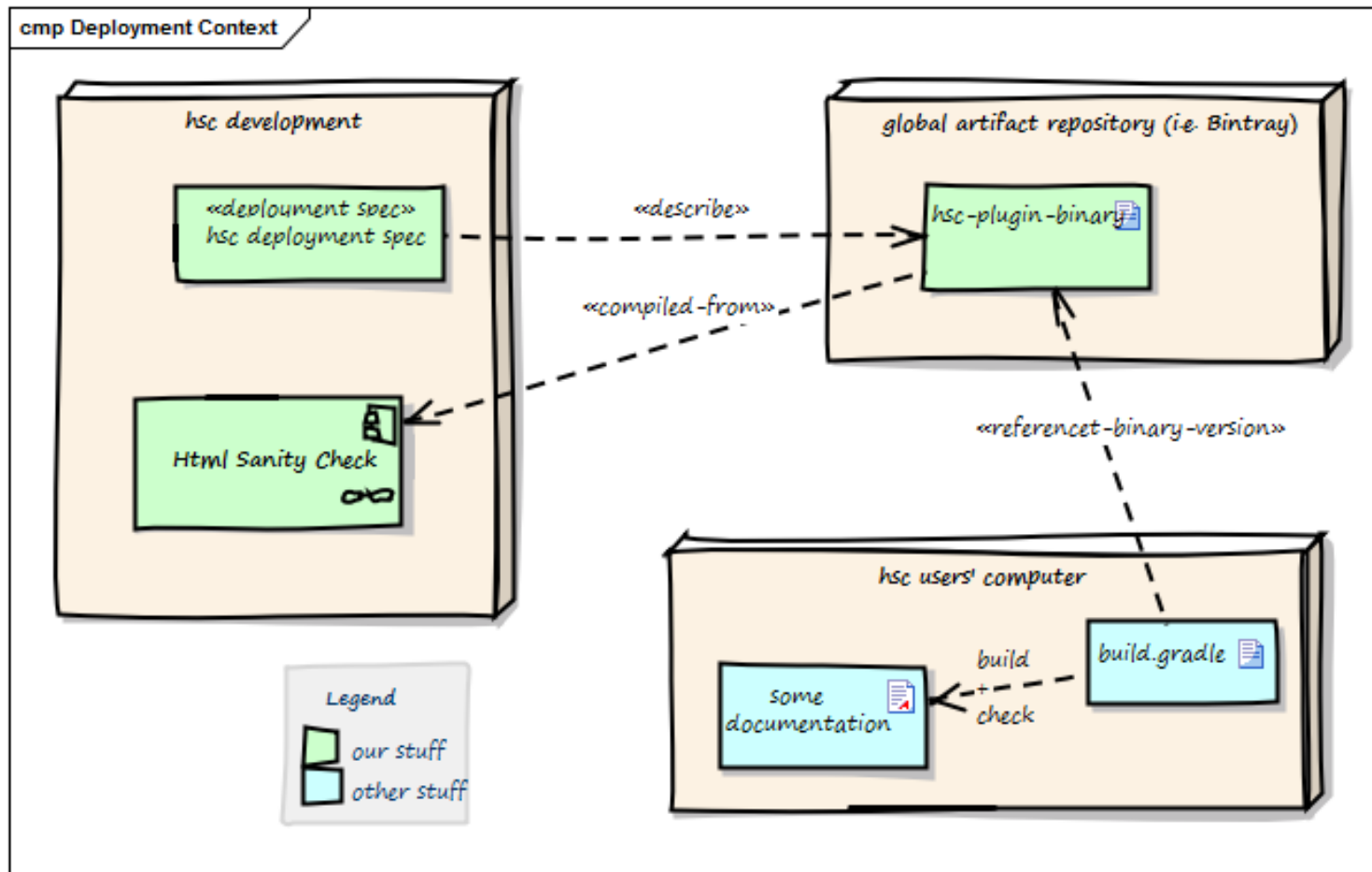


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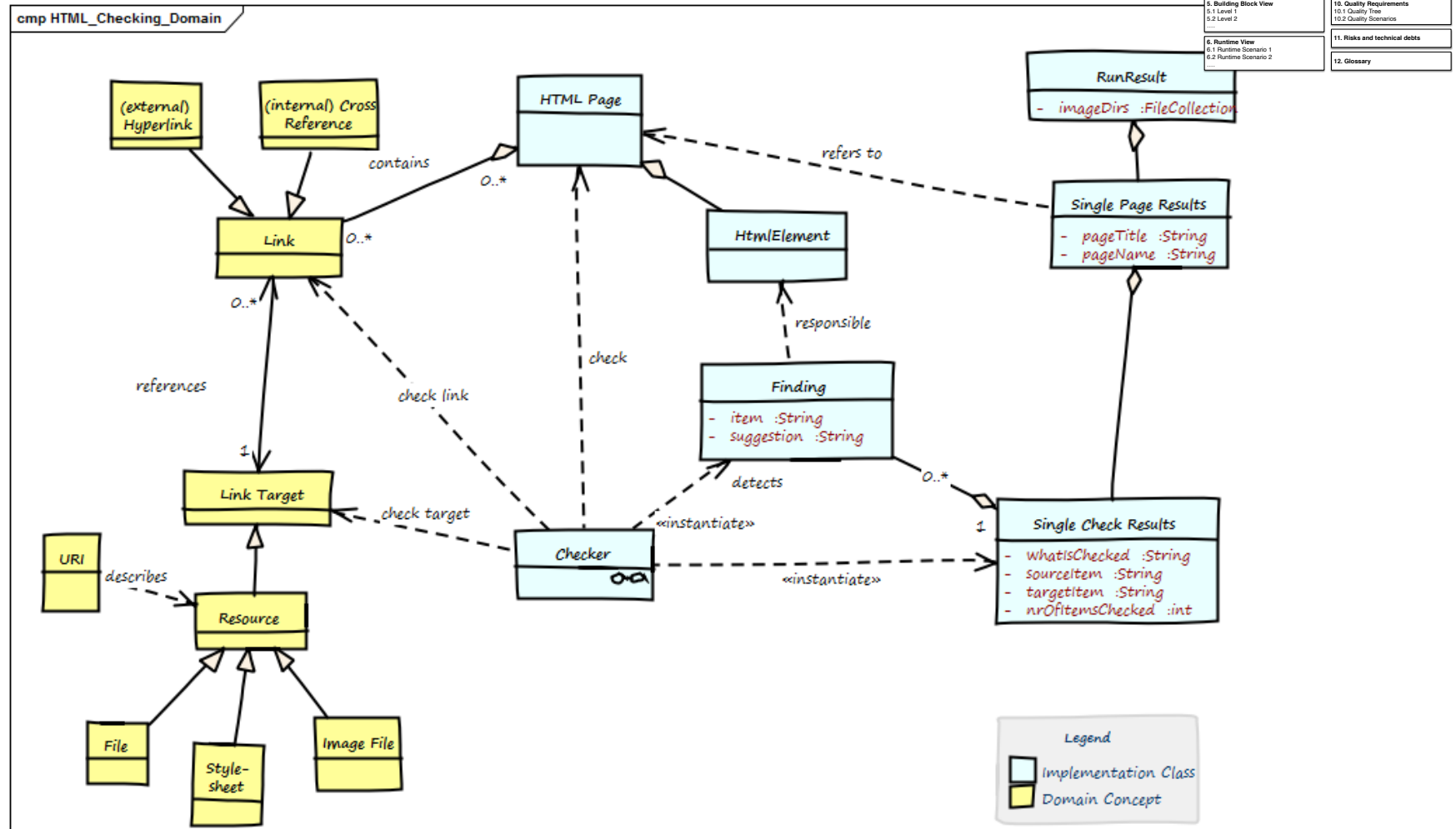


# Deployment

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# Crosscutting Concepts



# Crosscutting Concepts (Template Method)

Checking HTML (abstract)

```
public SingleCheckResults( HtmlPage pageToCheck ){  
    assert( pageToCheck != null)  
    checkingResults = new SingleCheckResults()  
    initCheckingResultsDescription()  
    return check()  
}
```

The Template Method defines a skeleton of an algorithm in an operation, and defers some steps to subclasses.

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# Decisions

Result: Jsoup as HTML parser (<http://jsoup.org>)

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Criteria	A1: Jsoup	A2: HTMLUnit
No (!) external dependencies	No deps	>15 deps
Simple API	simple	simple
DOM-like navigation	yes	partially
Fast (1 MB page / sec)	yes	no

# Start here:

# <https://arc42.org/overview/>



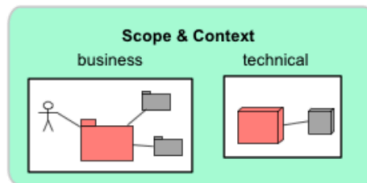
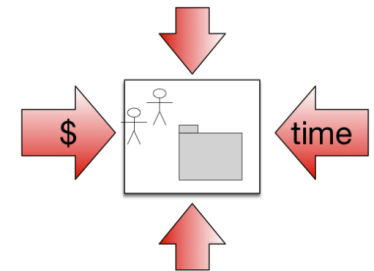
## 1. Introduction and Goals

Short description of the **requirements**, driving forces, extract (or abstract) of requirements. Top three (max five) **quality goals** for the architecture which have highest priority for the major stakeholders. A table of important **stakeholders** with their expectation regarding architecture.

## 2. Constraints

Anything that constrains teams in design and implementation decisions or decision about related processes. Can sometimes go beyond individual systems and are valid for whole organizations and companies.

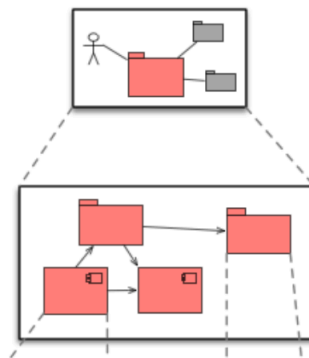
[Read More](#)



## 3. Context and Scope

Delimits your system from its (external) communication partners (neighboring systems and users). Specifies the external interfaces. Shown from a business/domain perspective (always) or a technical perspective (optional)

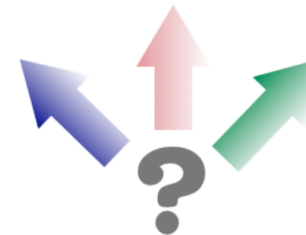
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## 5. Building Block View

Static decomposition of the system, abstractions of source-code, shown as hierarchy of white boxes (containing black boxes), up to the appropriate level of detail.

[Read More](#)



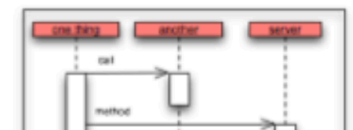
## 9. Architectural Decisions

Important, expensive, critical, large scale or risky architecture decisions including rationales.

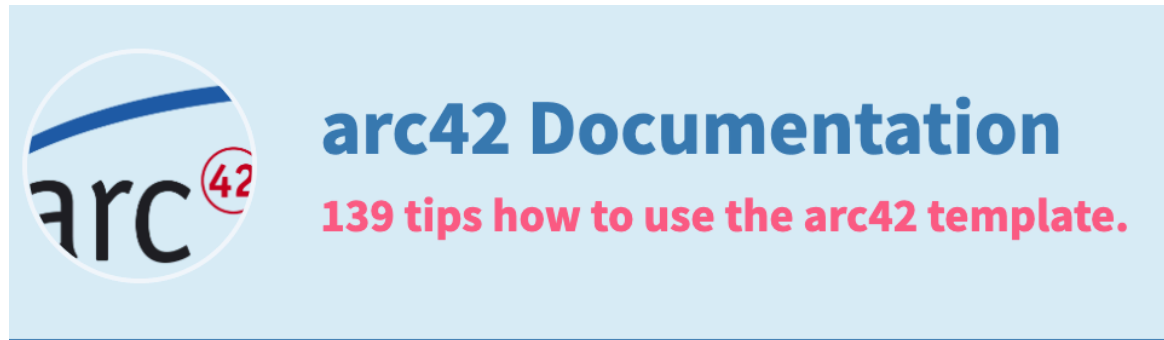
[Read More](#)

## 6. Runtime View

Behavior of building blocks as scenarios, covering important use cases or features, interactions at critical external interfaces, operation and administration plus error and exception behavior.



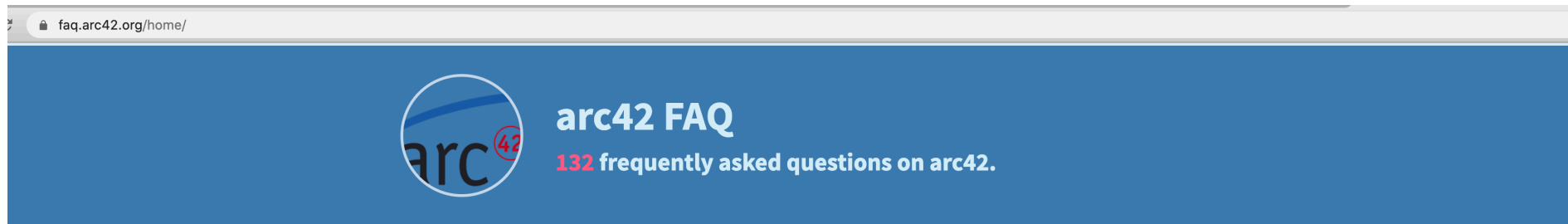
**Continue here:** <https://docs.arc42.org>



Check out [practical tips](#) for using arc42, organized by template sections:

1. **Introduction and goals:** Requirements, stakeholder, (top) quality goals (23 tips)
2. **Constraints:** Technical and organizational constraints, conventions (5 tips)
3. **Context and scope:** Business and technical context, external interfaces (19 tips)
4. **Solution strategy:** Fundamental solution decisions and ideas (6 tips)
5. **Building block view:** Abstractions of source code, black-/whiteboxes (28 tips)
6. **Runtime view:** Runtime scenarios: How do building blocks interact (11 tips)
7. **Deployment view:** Hardware and technical infrastructure, deployment (10 tips)
8. **Crosscutting concepts:** Recurring solution approaches and patterns (10 tips)
9. **Architecture decisions:** Important decisions (7 tips)
10. **Quality:** Quality tree and quality scenarios (8 tips)
11. **Risks and technical debt:** Known problems, risks and technical debt (6 tips)
12. **Glossary:** Definitions of important business and technical terms (6 tips)

# Tipps and FAQ (2)



On this site you find answers to (currently 132) questions regarding arc42, organized in the following categories:

Category	Topics
<b>General questions</b> (11)	Cost, license, contributing
Questions on <b>methodology</b> (16)	Minimal amount of documentation, where-does-what-info-belong, notations, UML
Questions on <b>arc42 sections</b> (63)	How to treat the various arc42 sections, stakeholder, quality requirements, context, building blocks, runtime scenarios, deployment, concepts etc.
Questions on <b>modelling</b> (9)	UML and alternative notations, consistency, clarity, understandability, diagrams, interfaces, ports,
Questions on <b>arc42 and agility</b> (7)	Scrum, Kanban, definition-of-done, minimal, lean, economical documentation
Questions on <b>tools</b> (11)	Tools and their application, source code and documentation
Questions on <b>versioning and variants</b> (4)	Versioning documents, versions and variants of systems
Questions on <b>traceability</b> (3)	Tracing requirements to solution decisions and vice-versa
Questions on <b>managing (documentation)</b> (6)	Very large systems, standardization, governance, checklists, access-rights
Questions on <b>customizing arc42</b> (2)	Tailoring and customizing, known adaptations of arc42

If you have additional questions...

[Home](#)[All keywords](#)[A - General questions](#)[B - Questions on methodology](#)[C - Questions on arc42 sections](#)[D - Questions on modeling](#)[E - Questions on arc42 and agile](#)[F - Questions on arc42 and tools](#)[G - Questions on versioning](#)[H - Questions on traceability](#)[J - Questions on management](#)[K - Questions on customizing](#)[All questions](#)[arc42 examples](#)[About this site](#)[Contact](#)

# Tooling?

Whatever is available...

AsciiDoc in your IDE

Wiki



draw.io

Microsoft-Word...



# arc42 by Example

Software architecture documentation in practice



Dr. Gernot Starke, Michael Simons,  
Stefan Zörner and Ralf D. Müller

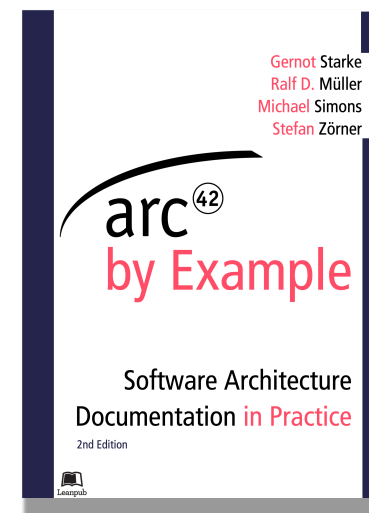
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## Further info:

Free for  
some  
days

[leanpub.com/arc42byexample/c/JUG-Tirana](http://leanpub.com/arc42byexample/c/JUG-Tirana)



# Thanx!

# Questions?

**Dr. Gernot Starke**  
gernot.starke@innoq.com

**INNOQ**  
[www.innoq.com](http://www.innoq.com)

Krischerstr. 100  
40789 Monheim am Rhein  
Germany  
+49 2173 3366-0

Ohlauer Str. 43  
10999 Berlin  
Germany  
+49 2173 3366-0

Ludwigstr. 180E  
63067 Offenbach  
Germany  
+49 2173 3366-0

Kreuzstr. 16  
80331 München  
Germany  
+49 2173 3366-0

Hermannstrasse 13  
20095 Hamburg  
Germany  
+49 2173 3366-0

Gewerbestr. 11  
CH-6330 Cham  
Switzerland  
+41 41 743 0116