JER-8000-MGT-PRO-0020 Legal Compliance Obligations

(AS/NZS, ISO 45001:2018, Clause 6.1.3 & 9.1.2)

1 INTRODUCTION

KGL Resources has established a process for determining and evaluating how it is addressing its compliance obligations including legislative and regulatory requirements as well as contractual obligations.

2 SCOPE

This procedures details compliance obligations, how they will be identified, how access to these obligations will be achieved and how they specifically apply to KGL Resources.

3 RELEVANT LEGAL & OTHER REQUIREMENTS

It is the responsibility of all personnel to comply with this procedure and seek guidance from the Project Director where clarification is required.

- Work Health and Safety Act 2011 (NT)
- Work Health and Safety Regulation 2011 (NT)

4 RESPONSIBILITIES

Senior Leadership Team	1. Provides strategic direction on the management of health and safety within defined Executive portfolios. 2. Ensures adequate resources are available to managers, supervisors and workers to support WHS consultation.
Safety Manager	1. Ensures this procedure is fit for purpose and meets all applicable legislative requirements. 2. Ensures that this procedure is implemented and is regularly reviewed and monitored for ongoing effectiveness. 3. Supports managers, supervisors and workers in the implementation and operation of this procedure in the business. 4. Recommends change or improvement to this procedure as required.
Managers and Supervisors	1. Ensure the implementation and operation of this procedure within their area of responsibility. 2. Support workers involved in the WHS Committee. 3. Support Health & Safety Representatives. 4. Support the consultation process. 5. Recommend change or improvement to this procedure as required.

Workers	1. Ensure they are familiar with the procedure. 2. Comply with this procedure at all times. 3. Maintain currency of training and any competencies where required for specified work tasks. 4. Recommend change or improvement to this procedure as required.

5 PROCEDURE

KGL Resources' compliance obligations are detailed in the Compliance Register (JER-8000-MGT-REG-0006 Legal Compliance Register). Contents of the Compliance Register are checked every 6-months to ensure currency of relevant legislative requirements.

KGL Resources obtains information on the regulatory and legislative compliance requirements from Government sources and industry bodies.

Contractual obligations are also listed on the Compliance Register and are taken from individual client contracts.

It is the responsibility of the Project Director to determine how these compliance obligations apply to the Organisation. The use of external consultants is permitted if felt necessary.

It is the responsibility of the Project Director to ensure that these compliance obligations are clearly understood and communicated to all interested parties within the organisation.

Compliance obligations are assessed as part of the Organisation's risk assessment process to ensure all necessary control measures are taken to prevent noncompliance.

Compliance with obligations is evaluated as part of the Organisation's internal audit programme and compliance obligations are discussed as part of the Organisation's Management Review.

6 CONSULTATION

This procedure has been developed and implemented in consultation and co-operation with KGL Resources' workers.

7 BREACH OF THIS PROCEDURE

Workers must comply with this procedure at all times. KGL Resources takes breaches of this procedure very seriously.

If an employee is found to have breached this procedure, they may be subjected to disciplinary action in accordance with KGL Resources' disciplinary processes. Contractors of KGL Resources who are found to have breached this procedure may be the subject of action under their contract with KGL Resources.

8 RELATED DOCUMENTATION

JER-8000-MGT-REG-0006 Legal Compliance Register

9 MONITORING, EVALUATION AND REVIEW

VERIFICATION

Monitoring	Compliance with this procedure will be monitored through training and regular audits.
Evaluation & Review	The risk rating is low, reviewed every 3 years. A review will be undertaken sooner if there are changes to legislation, standards and industry codes, or following a SMS review, changes as defined by WHS Regulators, or to ensure continual improvement.
Version Control	Version 1: May 2026

10 Approval

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