

MUSICAL INTERPRETATION

MUSICAL INTERPRETATION

ITS LAWS AND PRINCIPLES, AND
THEIR APPLICATION IN
TEACHING AND PERFORMING

BY

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THIRD EDITION



SOLE AGENTS FOR U. S. A.

THE BOSTON MUSIC COMPANY

G. SCHIRMER, INC., 26-28 WEST ST., BOSTON, MASS.

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B. M. Co. 3713.

Stanbope Press
F. H. GILSON COMPANY
BOSTON, U.S.A.

PREFACE

FOR many years past I have been urged to put into a permanent and available form my enunciation of those Principles and Laws of Interpretation, knowledge of which has proved to be one of the main causes of the success of the army of teachers who have arisen from my school.

The first step towards this end was the preparation, in the year 1909, of a set of Lectures covering this ground. These I condensed later into a single lecture, and this was first delivered publicly in Manchester to Dr. Carroll's Association of Teachers during the season of 1909-10. Subsequently, it was repeated to the Edinburgh Musical Education Society, to the London Music Teachers' Association (in 1910) and its Branches; it was also given at the Royal Academy of Music in the same year, and at my own School, and elsewhere.

A full synopsis also appeared in the "Music Student" of April, 1911, and elsewhere. I, nevertheless, still delayed issuing the material in book form, as I felt, in view of the extreme importance of the matters dealt with, that I would like to expand it, but lack of time has prevented my doing so.

As, however, some of our more up-to-date theorists are now doing me the honor to apply, in their recent works, some of the ideas first enunciated in these lectures of mine (and in my "First Principles," 1905, and "Act of Touch," 1903) such, for instance, as the vital one of recognizing in *Progression* or *Movement* the actual basis of all Rhythm and Shape in Music, I feel compelled to issue these lectures now as originally delivered. Additional matter is given in the form of Notes; and I leave for later on, perhaps, the issue

of a Supplement giving further details and illustrative Examples.

It will be found, that while this work deals with the subject mainly from the pianist's point of view, nevertheless most of the principles here formulated apply with equal force to all other forms of musical performance. Many of the same laws of Interpretation which apply to Piano-forte playing also hold good whether we are players of stringed instruments or wind instruments, or are vocalists, organ-players or conductors. We cannot play even on a penny whistle without coming under the sway of such laws! Hence I hope that this little volume may prove helpful to all music-teachers and would-be performers, artists as well as students, whatever their specialty.¹

Some of the main points here dealt with are: (a) the difference between letting a pupil shift for himself and helping him to learn; (b) the difference between mere cramming and real teaching; (c) the difference between merely making a pupil "do things" and teaching him to think — to the end that he may know what to do, why to do it, and how to do it; (d) the exposition of the true nature of Rhythm and Shape in Music — as Progression or Movement towards definite landmarks; (e) the true nature of Rubato in all its forms, small and large, simple and compound, and the laws of its application; (f) consideration of the element of Duration, and, allied to this, rules as to the application of the damper-pedal; also, rules as to the application of Tone-variety, Fingering, Memorizing, etc., and some speculations as to the ultimate reason of the power that Music has over us.

To prevent misunderstanding, I must at once state that I do not claim that artists are "made" by the mere enun-

¹ Helpful even to the operators of mechanical pianos.

ciation and teaching of such laws and principles as here given, or by those of instrumental technique — although no success can be attained without obedience to these same laws and principles. No, the really great artist always has been, is now, and ever will be, a most rare phenomenon. His advent depends on so many things uniting in one single individual — the highest gifts of imagination and invention, therefore high mental powers (yes, the really great artist must also have high reasoning power), physical and mental endurance, extreme enthusiasm for his chosen art, good health, and the *opportunities* to acquire the requisite skill *to work in strict obedience to the laws of his art*.

Hence, it is not claimed that the truthful Analysis and Synthesis of art or its technique can transform dullards into poets and seers, but what *has been amply proven* is, that such teachings do inevitably help the ordinary student to succeed in attaining to far higher ideals than he could have reached without such help, and that the “heaven-born” Genius (when he does appear) has many years of useful life added to his career, years otherwise wasted in futile experiments, while his path towards still higher perfections is thus rendered possible and easy — and his appreciation of truth in art made more sound. Such teaching therefore makes for Progress, not only in Music but in Life itself.

In thanking the many who have encouraged me to undertake the publication of this little work, I must also again thank Mrs. Kennedy-Fraser, of Edinburgh, for her invaluable help with my proofs.

TOBIAS MATTHAY

HASLEMERE, September, 1912

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MUSICAL INTERPRETATION

SECTION I

INTRODUCTORY

SOME GENERAL PRINCIPLES OF TEACHING AND LEARNING

THE teaching of Interpretation is so complex a problem that at a first glance it seems hopeless to try to cover the ground in one or two short lectures. All one can do is to select some few of the more salient points, points in regard to which the young teacher or performer (and often the older one) is most apt to fail. This, then, is what I propose doing, it being understood that no attempt is here made to treat the subject exhaustively.

The main points I have selected are:

- (1) The difference between Practice and mere Strumming.
- (2) The difference between Teaching and Cramming.
- (3) How one's mind can be brought to bear upon one's work.
- (4) Correct ideas of Time and Shape.
- (5) The element of Rubato and its application.
- (6) The elements of Duration and Pedalling and their application.
- (7) Incidentally, some details as to the application of the element of Tone-variety.

General attitude of teacher.

It is impossible, however, to make clear even these particular essentials of teaching, without first taking a cursory glance *at the whole problem* — the *general attitude* of the teacher towards those he wishes to help. To begin with, let us recognise the fact, that, accurately speaking, we cannot “teach” anyone anything — in the sense of our being able *directly to lodge* any knowledge of ours in another mind.

The learner can only be helped to learn.

All we can really do is to stimulate another mind *to wish to learn*, and suggestively to place before that other mind the things which it is desirable should be apprehended. It is always the other mind which has to make the effort to apprehend, and unless that effort is made nothing can be learnt.

We cannot teach others, but we *can help them* to learn. In fact, I will go so far as to say that unless we teachers do recognise this fundamental truth, we cannot hope to begin to succeed in our vocation. We shall also see that one of the very points I wish to insist upon is closely allied to this truth. Let me at once state it here:

The first law of teaching.

Good teaching consists not in trying to make the pupil *do things* so that the result of his efforts shall *seem* like playing, but consists in trying to make him *think*, so that it shall *really* be playing.

The good teacher does not try to turn his pupil into an automaton, but tries to prompt him to grow into a living, intelligent being. But more of this anon.¹

¹ As I shall explain later, the fallacious attitude is, to endeavor to use *our* will and intelligence so that the doings of our pupil's fingers may sound plausible, whereas the correct attitude is to use *our* intelligence so that the pupil will all the time use *his* own intelligence and *his* own will, and may thus learn to guide his fingers correctly, alike musically, and technically.

Undoubtedly, one of the first things we have to combat in a pupil is the wish to be saved all trouble and effort, and to have the "learning" done by the teacher. Indeed, the ordinary pupil invariably starts with the notion, that all he has to do is to be passive and "receptive" — like a laboratory funnel with mouth widely gaping, ready to receive any chemicals (pleasant or otherwise) which the operating chemist may see fit to pour in. This attitude must be at once kindly but firmly combated, and the pupil must be made to see, that it is for *him* to try to learn, for him to try to apprehend and to assimilate those things to which the teacher is anxious to call his attention.

The most usual fault of the student.

Certainly, there are direct and there are indirect (or empirical) methods of teaching in all branches of education; and, as you know, I claim that my teaching-methods *are* direct — but we now see that this "directness" can refer solely to the *method of placing things* before a pupil.

The first general conclusion we are thus driven to accept is the need for purposeful brain-use, on the part of both teacher and pupil. With regard to the pupil, not only is brain-use (i.e. reasoning) imperative during lesson-time, it is even more imperative during the practice-hour when there is no help available from outside. How often indeed do we find the pupil's work brought back worse than at a preceding lesson, in spite of what would seem to have been most judiciously thought-out and carefully worded advice! And why is this so? Simply, because the pupil in the interval, instead of really practising, has tried with might and main to make himself (or herself) into an automatic *strumming-machine*. Yes, often it is the pupil's fault, he either will not, or cannot use his brains.

Both teacher and pupil must learn to think.

But believe me, far more often still, it is the teacher's fault, owing to his not having correctly *shown* the student *how*

to use his brains during practice, or not having diplomatically enough insisted on real practice, in place of such mere gymnastic strumming.

How to practice.

The first thing to do then, is to give the pupil a clear idea of what does constitute real practice.¹

The danger of automaticity.

Remember that the mistaken desideratum with which the student starts work is just this, it seems to him that the ideal state would be to be able to do *without thinking*. Often enough he does try his utmost to exercise his muscles in his pieces, his studies and techniques — and he does so, believing piano-playing to be a purely gymnastic pursuit like walking and running, etc. Even admitting the necessity for muscular automaticity, he does not realise that a certain amount of reflection is imperative in acquiring it; that walking, running, and breathing, purely automatic as they must be *in the end*, are all the better for a little reflection on the right ways of doing them.²

The average student then, if left to himself, will assuredly try to make himself into a mere automaton in his practice-hour, from sheer horror of the discomfort and irksomeness of *mental* effort.

Therefore, this is the first and ever-present obstacle with which we teachers have to contend. We must be prepared to drive home to the pupil that the thing *most to be avoided* is this very automaticity which seems so attractive to him,

¹ Realise, that the average student has a fixed idea that Piano-practice is a purely gymnastic exercise — he lives in the hope that by going over the ground often enough, the piece may at last “do itself” *without his thinking about it at all* — a mere exercising therefore of the muscles concerned.

² Even the athlete must use his judgement, and those who are too lazy or decadent to do their athletics personally must still give their minds to the exhibited exercise, if they mean to derive any interest from it.

and into which his natural bent will only too assuredly lead him. We may use persuasion or threats, coaxing or snarling, sledge hammer or velvet paw upon him, but if any good work is to be done, we must in some way or other bring him to avoid automatic practice.

Really, there is nothing more fatal for our musical sense, than to allow ourselves — by the hour — to *hear* musical sounds without really *listening* to them; and this holds true whether the sounds are made by ourselves or by others; for unless we do listen *attentively*, we are at that moment inevitably forming habits of lax attention.¹

The danger of not really listening.

Here I must digress for a moment, to make plainer what is meant by “really listening,” for we cannot get any further unless we are quite clear on this point. Let us, for instance, take a page of print or music. If we turn our eyes upon it, the light from the page pours in upon us, whether we attend to its meaning or not. We may realize that it is

Listening defined.

¹ To sound the notes of a piece through as a mere physical exercise, is not only useless but positively harmful musically; and this applies as much to the practice of Studies and Techniques as to that of pieces, for in all repetitions we are always forming or fixing habits — musical ones and technical ones — and it behooves us, therefore, to see to it that good habits and not bad ones are being insisted upon — habits of keen attention, for instance, and not habits of laxity of attention. It must be constantly insisted upon, that if we try to make the piece, or study, or technical exercise “go by itself,” this, so far from being “practice” is indeed the opposite — it is *un-practice*. For in trying to turn ourselves into human automata we are doing all we can to render it impossible for us to acquire those habits of mind — of attention — which enable us to play with success; and we shall, in the end, find our *head* listening merely to the doings of our *spine*! And this is no mere figure of speech, for it describes quite accurately what does occur in such cases; that is, we here have the conscious, *could-be* intelligent brain engaged in merely noticing (instead of directing) the clockwork doings of our spinal or ganglionic centres!

Automatic practice, useless, even for techniques.

a page of print, we may even read it out aloud, but it conveys no definite meaning until we do bring our minds upon it. We derive no information from the constant stream of varied light-impressions pouring in through the iris *unless we analyse the impressions* made on our nerve-ends; unless we (consciously or unconsciously) investigate the impressions there received, we notice nothing, learn nothing, and do not really see *anything*.¹

Precisely so is it with our ears, I might say it is even more so, for we cannot shut our physical ears as we can our eyes. All sounds that occur within earshot will certainly reach our ear-drums and the nerve-ends of the inner ear, whether we "listen" or not. But we may derive from this stream of sounds either a mere vague impression that *some sort* of sound is occurring, or we may, if we turn our minds upon the sound, discover definitely *what* it consists of and means.

**We do not
really see or
hear unless
we analyse.**

We may, even without attention, realize the fact that some music is being made, but we shall certainly not understand a note of it, unless we do purposely, all the time, notice and in fact *analyse* the stream of aural-impressions pouring in upon us.

¹ An excellent experiment is, to turn our eyes upon a window covered by a light, diaphanous, gauzy curtain. We shall find, while keeping our eyes quite stationary, that we can allow ourselves to become conscious *either* of the pattern of the curtain, or of the trees or other objects outside the window. True, a slight focussing adjustment of the iris-muscles occurs in this case, but the main, important fact taught us is, that we may either notice the curtain itself or the things beyond it at will. Which of the two we do thus observe depends upon the direction we give to our powers of mental-analysis. Moreover, the things we do not thus analyse we also do not observe — and this, although the light rays do pour in upon us all the time, both from the curtain and from the objects beyond it!

No one is quite so foolish as to try to write or draw without at least taking the trouble to *look* at the paper he is engaged upon. Nevertheless, most music-students fail to realise that it is just as idiotic to try to play any musical instrument without at least taking the trouble accurately to listen to it — all the time.¹

Yes, that is where "Ear-training" comes in. But how much rubbish is written and talked in this cause — a most righteous cause, when really understood and not perverted into faddism. It would seem that many people imagine "Ear-training" to be a process of actually training a portion of one's brain (previously otherwise employed) to be impressed by sounds, or a training of the nerve-ends of the ear-organ itself! Or, perhaps even, a training of the skin and flesh itself — the lobes of the outer ear!

As to ear-training, good and bad.

¹ This is one of the greatest difficulties the teacher has to contend with. The average pupil does not in the least realise that he must bring his aural consciousness on the work in hand; neither will he take the trouble to judge what he should do, nor how he should do it, nor will he deign to listen to the *actual sounds* he is making. Yet when he writes his own name he takes care to "listen with his eyes" on the paper! And even with the best intention to listen and attend properly, the student is apt to fail. You must explain to him therefore, that listening does not mean merely hearing what the automatic centres may manage to do, but that effective listening implies *pre-listening* all the time as to what *should be*. Explain to him that he must certainly listen to all he is doing — every note, but in the first place he must *want* every note aright. Say to him "do want all the time — every note." Be not taken up with the doing, but on the contrary "let Music tell you what to do" — let the piece, as it goes along, suggest to you what to do; then you may be sure that you are using your Imagination as well as your Reason. When the music seems to *tell* you what to do, then are you using your sub-conscious faculties as you should do eventually.

In short: during Practice do not try to "do," but try to *learn to see*; during Performance you may then be able to see Music — its Shape, Feeling and Time-spot, while you compel your fingers to give all this.

Granted, that training may possibly help to sensitise the actual ear-*machine* or apparatus — granted, also, that there may be a portion of our brain-matter more particularly engaged in aural work — the main point remains, and that is, that all ear-training in the first place signifies Mind-training: training ourselves to *observe* and *notice aural impressions*, training our mind to make use of the impressions received through our ear-apparatus. In short, Ear-training to be practical, must mean Mind-training, musically. Certainly, we should teach children Ear-attention from the very beginning, and from the simplest steps upwards.

But what is generally overlooked is, that every one professing to teach any form of musical performance must insist on such real Ear-training all day and every minute of every day when engaged in teaching — if it is to be real teaching at all.¹

Definition of
real practice.

A passage must therefore never be played through, no, not even once through, except for the express purpose of really *knowing* that passage better; for the purpose of knowing it better not only physically, but also mentally — knowing each bar better, and the piece as a Whole better. First, that we may know it better *as to its musical content* — both as to Shape and as to Feeling; and secondly, that

¹ Under the new faddism, I have heard of good teachers being turned out of schools on the ground that they, personally, have not acquired some particular stage of ear-discrimination, while no enquiry was made whether they were successful or not in making their pupils use their minds aurally. That the teacher possesses "absolute pitch" (relative pitch is another matter!) is no guarantee whatever that the possessor is in the least musical or observant aurally, or knows how to make others observant.

To insist on such a test is sheer folly. What should be insisted upon is, that the would-be teacher knows how to make *his pupils* use their own ears.

we may know it better *technically* — which means, that each playing through of it may help us to realize better *what to do physically*, and what to *avoid doing* physically at the keyboard.¹

All this implies a constant process of analysis — of minute analysis as to what should be done and what *is* being done *musically* — and also, what should be done and is being done *technically*. **Constant process of analysis proved necessary.**

Moreover, this again presupposes a high degree of concentration of mind on the part of the pupil, and that precisely *is* the requirement — full concentration of mind is needed. Now, it is the teacher's very first duty (and constant duty) to prompt the pupil in this direction.

True, such concentration may come almost "naturally" to the few possessors of that concatenation of various talents which the public loosely gathers up into the term *genius*; and if we do possess this so-called "genius," then **"Genius" implies natural concentration on one's work.**

¹ Indeed, there is no practice worthy the name unless we are all the while really *studying*; studying (or *analysing*) with a most lavish, but carefully directed expenditure of thought and reasoning — and not one single note played without such expenditure. But a warning is also necessary here. From sheer wish to do right one may err. One may mistake *caution* for care. To be cautious — to be *afraid* of failing — will only chill one musically, and thus cause one to fail. **Practice implies study.**

To be *afraid* of failure does not constitute a care for Music at all; on the contrary, it is again a form of selfishness, and as such must therefore cause failure. To succeed in art as in anything else we must be "unselfish," — so far as that is possible to us humans — we must throw self overboard, and really *caring for art*, we must wish to do well because art is so beautiful, so worthy, that any service we can bring to its shrine is as nothing. Thus we shall indeed take trouble, we shall be as keenly alert as lies within our power, not for the sake of our own aggrandisement, but for the sake of making the Beautiful attain to its highest possible perfection; and our "carefulness" will thus, so far from chilling us, stimulate us musically to ever increasingly effective efforts.

we may possibly succeed in giving such close attention without apparent effort, for the simple reason, that our bias towards Music is so extreme, and Music is such a keen delight to us, such a matter of life-and-death, that it is easy for us to be in this required state of keen engrossment, even, maybe, without much prompting from the teacher. But the teacher must ever be alert in such rare cases—for even a genius, we find, has frequent lapses of attention!

By learning concentration we can all approximate to the genius level.

Now it also follows, that although our pupils may not all happen to be such “geniuses,” we shall be able to bring them considerably nearer the genius-status if we can but manage to cultivate in them *this habit of close attention*. Anyway, to the extent that we do succeed in thus improving their powers of musical attention or concentration, to that extent they will certainly be *more musical*—and that is the point of the argument.

Not only concentration but imagination necessary.

Please do not misunderstand me to maintain that such power of absolute attention is the attribute which, alone, constitutes genius. Far from it! To concentration we must add *vividness of imagination*. Here, indeed, we have the most salient feature of genius and of real talent—Imagination, the ability keenly to visualise, or auralise things apart from their actual physical happening outside of us.

The imaginative power must be trained.

This more subtle faculty, imaginativeness—this power of *pre-hearing*—can also be cultivated in far greater measure than is generally suspected to be possible. Obviously this also is a task which the teacher must set himself to undertake, and must succeed in to some extent, if his pupils are to provide any real pleasure to their listeners.

Again, since it is clear that good practice implies a constant process of analysis, it must be still more clear that teaching implies the same process, persistently and unremittingly applied.

Now, in teaching, analysis implies (broadly speaking) The forms of analysis necessary in teaching.
analysis in four distinct ways:

First, we must analyse WHAT THE PUPIL IS ACTUALLY DOING.

Secondly, we must analyse THE FAULTS THEREBY PERCEIVED.

Thirdly, we must analyse WHY THE PUPIL IS MAKING THOSE FAULTS; and

Lastly, we must analyse THE PUPIL'S ATTITUDE OF MIND, so that we may know how to treat him.

But before we can form any judgement at all, we ourselves must *know* the Music we wish to teach — *we* must have analysed that.

To be explicit on these four points:

First: — We cannot become aware of all there is to be corrected, of all the faults made — and the good points made — unless we constantly analyse the impressions received from the pupil's performance; and this is what is implied by saying that the teacher must “really listen” all the while.¹

Secondly: — The actual faults thus perceived (through such close listening) we must again analyse, so that we shall be able to diagnose them; for it is impossible to correct a fault *directly* or with certainty, unless it has been in the first place allocated either as a musical fault or as a muscular fault, or as one of laxity of attention, etc.

¹ Not listening, but merely hearing a performance, is just as useless in teaching as it is when examining, or learning, or practising. As I have already said, it is not enough merely to “hear,” we must really listen, and plainly that means that we must all the while (to the best of our capacity) ANALYSE all we hear.

Thirdly: — We must analyse the particular pupil's *mental attitude* in making the fault, so that the fault-making may be corrected at its very root. For again, the fault may have arisen, for instance, either from inattention at the moment or inattention during practice; or its cause may be traced to bad habits muscularly, etc.

And here, especially, do not let us forget always to insist that all corrections, whatever their nature, must always be made strictly subservient to the *musical* effects required at the moment; else we shall only provoke self-consciousness in place of the desired correction. For instance, a muscular fault must never be corrected *as such*, but its musical bearing must always be kept before the pupil in each and every case.

Finally: — We must all the time closely analyse the pupil's *general mental attitude*, so that we may be able to judge how best to appeal to him (or impress him) so that our advice may be received sufficiently seriously as to lead to its being followed.

The use of
example.

While I thus insist that both teacher and pupil must constantly apply the analytical faculty, while I insist that reasons and causes must constantly be made clear to the pupil (musically and technically) nevertheless I do *not* maintain that actual Example, in the form of playing, is to be contemned.

On the contrary, Example is most helpful *when given in conjunction with explanation*, especially with those who have the imitative gift strongly marked, or who can really feel Music keenly. Often, also, as a last resort, it has excellent effect.

The mistake is to rely *entirely* upon Example. This can lead only to subsequent disappointment, and with many pupils even to disaster, for the tendency, here again, is to

turn the pupil into an automatic machine, totally wanting in initiative and in the where-with-all to acquire self-reliance.

Here we see the reason why the public artist is, as a rule, so futile as a teacher — futile for the ordinary student, since only the extremely gifted can learn anything whatever from him. Such artist, as a rule, has usually not the remotest notion *how* or *why* he does anything. Nor does he wish to know; and he therefore has to rely in teaching solely and entirely on this precarious device of exemplification.

The artist as teacher.

If he ever did reason while learning, long ago has he forgotten the process of learning. In fact, nothing is more antipathetic to such artist, usually, than to be asked to reason upon anything. . . . Long habit has indeed made it seem to him that his own doings have “come naturally” to him and have *not* been learnt, and must *not* be taught — in the way one has to learn everything else in life. In fact, that it is a sort of sacrilege, ever to want to know or to investigate the *being* of Art, and that all that anyone should ever venture to do, is to listen reverently and without question to his — the artist’s — supposed, God-given messages!¹

For the ordinary student to derive any profit from any such exhibition of what may possibly happen to be quite correct “doing,” however, it is evident that it is he *himself* who will have to do all the reasoning and analysing unless the processes are explained to him by some other teacher.

¹ One can, in fact, divide minds into two distinct types, the *informative* and the *non-informative*, in the sense of either wanting-to-know or *not* wanting-to-know. I fear the artist is too often of the last-named type; he likes to feel and enjoy, but he loathes the troublesome process of learning to understand the working of his own machinery. His gorge usually rises when there is any question of building-up or analysing anything in his art hence, also, the few BEETHOVENS, BACHS, and truly great artists — and teachers! It is indeed a surprise and a delight to find occasional exceptions to this rule.

The informative and non-informative types of mind.

True, the student, if gifted with extreme musical sensitiveness, may succeed in a measure in giving a photograph of what he has heard, but even then it will probably be but a pale or distorted version.

Such a great artist as *Liszt*, for instance, undoubtedly did have an overwhelming influence, musically, on all who came into contact with him. Nevertheless, he was *not* a real "teacher" at all, in the strict sense in which the term is here used — although he was truly a great *SEER*. Indeed, I doubt very much whether *Liszt* himself ever gave a single, real "lesson" in his life. What he did often do, with his overwhelming enthusiasm and wonderful personality, was to stimulate an incipient, latent, and perhaps lukewarm enthusiasm into a blazing flame.

A teacher
must be
artistic.

Nevertheless, while the artist is thus, as a rule, the most feeble of teachers, no teacher on the other hand is worthy the name unless he, himself, is also more or less an artist — and a finely perceptive one, too! To be a really good teacher, you must therefore possess, or at least you must have possessed a technique sufficiently ample to enable you to give due expression to the artistic feeling which you must have succeeded in cultivating in yourself. So that, besides *really* teaching (i.e., explaining and showing), you may also be able to stir artistic fire and enthusiasm in others by actual example, when necessary. Only then can you help your pupils in every way.

Why exam-
ple, unaided,
will not suf-
fice.

As to the appeal solely to the imitative faculty, it is clear enough why this so often leads the pupil astray — often into sheer musical perversion; for indeed, a high degree of nice judgement is needed to perceive *what* it is that renders a musical performance good, or the reverse — to perceive what is essential and what is unessential. How can such nicety of judgement be expected from a mere School-

student, seeing that it is this very thing you have to teach him? Indeed, he would require no teacher, did he already possess such power of judgement and of analysis.

Not possessing such highly cultivated power of observation or judgement, the student is almost bound to be captivated by some of the more prominent means of expression which you happen to employ in exemplifying to him, or possibly by some details of expression merely differing from those he has used. It is these which he will try to reproduce — with the best of intentions no doubt — but with the consequence that the picture will be quite out of focus, out of drawing, a picture probably much worse than it was before he tried to imitate that example which you gave him — remember, an example given *without explanation*, without the purpose and reason explained to him in so many words.¹

Let us now consider what happens if we merely play through a passage to a pupil *without explanation*. I will play the opening bars of Schumann's "Warum", with the inflections of time and tone more or less correctly given, thus:

Example v.
explanation.

EXAMPLE 1.²



¹ We must never forget the fact that the most difficult problem for the pupil is to keep the picture in mind as a whole — as a continuous progression — and not to allow the *necessary* attention to each detail, as it comes along, to distract him from a persistent purpose to keep Shape and Outline perfectly clear.

² These time-inflections must be applied very subtly — not in a way so coarse as to call attention to themselves.

Without explanation given to him, the pupil will try to imitate the "means" — the expression-effects — he thinks he has heard me employ, and the result will probably be a frightful parody, with blind and futile changes of time and tone, such as the amateur who wishes to appear "musical" loves to make, something like this:

EXAMPLE 2.



But I shall obtain quite another result from the pupil, if I take the precaution thoroughly to *explain* the passage to him, either before playing it, or afterwards. For instance, I must first make the pupil *scan* the phrase correctly, so that he may understand its rhythmical structure — where its culminating point is, rhythmically, where "it goes to." Again, I must show him, that in music such as this, unless I bend or inflect the *time* as well as the tone (unless I give proper RUBATO to it) only squareness will result; and I must even point out exactly *how* the time is to be curved — *where* I must waste time to give emphasis, and *where* I must hasten the time so as to swing the rhythm back to the point where the phrase reaches its little climax, and in the meantime, and beyond all this, I must endeavor to convey to him its *emotional import*, either by gesture or word. In this way, and in this way only, the pupil will be made to understand *why* he must use the particular expression-means I used

in exemplifying, and he will then also be able to use such musical sense as he has to guide him to the required *proper proportions*.

The result will now be a *real* performance, one prompted by his own mind in the right way. Moreover, it will display his own individuality, and it will be satisfactory, just because one can perceive in hearing it, that it is guided by the performer's *own* intelligence.

Furthermore, the pupil will also have made a step forward in his general knowledge of playing — a permanent step forward. Or, at all events, he will have had the opportunity of making it, provided his memory is good enough — for he will have had a lesson in *learning to analyse* and to apply means to an end.

There remains to be referred to, one more *general* characteristic which we, teachers, must cultivate in ourselves, and it is an important one. I have insisted that we should do our best to educate ourselves into being deft players as well as analytical teachers, but our efforts will after all be foredoomed to failure, if we expect our pupils *in cold blood* to give such truly exhausting attention as I have proved to be imperatively necessary. Such attention cannot be given in response to mere cold reasoning by itself, however logical; there must be a stronger motive force than this; there must be an *emotional* driving force to enable us to give this required attention — we must act under the stress of Feeling or Emotion; in short we must be prompted by ENTHUSIASM.

Necessity of
enthusiasm.

Plainly, the teacher cannot hope in the least to stimulate his pupils to do real, honest work, unless he, the teacher himself, shows that the work *matters to him*, in fact, that it is a matter of life-and-death to him, whether his pupil

does succeed or not, and whether the piece discussed is rendered adequately or not.

Imagination, hard work, yes, they are the fuel, but Enthusiasm is the spark which makes the whole leap into flame.

But some will object that this is useless talk, that enthusiasm is purely a personal characteristic, and that we are either *made* that way, or are not. . . . Well, one must concede that there is some truth in this. But, on the other hand, if we try to recognize the fact that we shall be but miserably ineffective teachers unless we do summon up some real interest in our work, it will at least make us try better than before.

Again, the very fact of our thus trying to attend better and more minutely will, in its turn, inevitably lead to our finding it more and more easy to do so, since the exercise of a faculty always increases its efficacy; and in the end we may realise that it *really is worth while* trying to help and improve others. . . . And our enthusiasm in our work will assuredly grow, when we see better and better fruits accrue from our better efforts.

The attempt to do work without giving one's whole mind to it, is indeed a very Hell upon earth, and *vice versa*, there is no finer Heaven, there is nothing more stimulating, than just this feeling, that our whole life is in our work, and that evidently *we are of use to our fellows*.

Bad and good
teaching.

I have dwelt upon the urgent necessity of the teacher using his brains all the time; let us next consider *how* he must use them. Just as important as actually giving our mind to our work, is it, that we apply ourselves in the right way. It is not enough to be thoroughly anxious to help our pupil; our hard trying will after all end but in sore

disappointment unless we know *what* help to give and *how* to give it.

Here we are face to face with one of the most subtle and yet most radical of the distinctions between good teaching and bad — one of the most radical distinctions between the work of the inexperienced or foolish teacher, young or old, and that of a real teacher, rich in correctly assimilated experience. As I have said before: the bad teacher simply tries to make the pupil *do things* — “things,” points, effects which the teacher feels are necessary; whereas the good teacher tries to make the pupil see and *think things*, so that, seeing their purpose, he can apply them by his own choice.

To be more explicit, simply to make the pupil carry out the details of expression and interpretation which seem desirable to you at the moment, only serves to convert your pupil into an automaton, an automaton responsive to *your* thoughts instead of his *own* — a Trilby to your Svengalism. This is in fact sheer, miserable “cramming.” Such cramming can have no abiding influence whatever on the pupil, for you are not in the least *educating* him — not in the least training his mind. It is doubtful, indeed, whether you will obtain from him, in that way, even one satisfactory performance. No, the only way to establish any abiding improvement in your pupil, and also the only way to obtain, immediately, a vivid performance, is patiently and ceaselessly to insist on the pupil himself always using his own ears (upon the actual sounds, and upon the sounds that *should* be), his own judgment, his own reason and his own feeling; and this,^p not merely “in a general sort of way,” but for every note, intimately, so that the musical picture, as a *whole*, may be successful.

Cramming v.
real teaching.

This does not imply less attention on your part as teacher;

on the contrary, it implies far greater attention; for you have to be as it were a vigilant policeman, constantly on the alert, seeing to it that your pupil *keeps his mind on his work* all the time, and does play every note as it should be played.

Instead of acting as a bad orchestral conductor, you must act like a good conductor at rehearsal, you must explain to your pupil the most intimate details of structure and of feeling, so that he may musically be able to see and feel rightly, and may therefore play rightly *because of thus seeing and feeling*.¹

In short, instead of the pupil being made merely to carry into effect the means of expression dictated by you, he must be made to use *his own musical imagination* and judgement all the time, and also, what is equally important, his physical judgement in connection with the instrument he is using — as Pianist he must be using his sense of key-resistance, etc., all the time.

You must insist on his doing this all the time he is in your presence, and you must try to induce him to do so all the time you are absent.

To render a
pupil "musical."

A pupil generally plays badly, just because neither of these things are done — unless, of course, he has not mastered the right ways of Technique itself, in which case he will play badly for the simple reason *that he is unable to express what he does feel and think*.

But indeed you will often find, that the moment you really succeed in making a pupil

Bad and good
conducting.

¹ The difference between a good and bad orchestral conductor depends on the same laws: the bad conductor treats his men like machines — tries to play on them, whereas the really great conductor tries to make his men into intelligent artists, each one of them, tries to make them see the music, and insists on their using their own musical feeling — in response to his, it is true, but not in mechanical obedience to his orders, or bandmasterly directions.

attend musically and physically, that moment all his playing becomes infinitely more musical.¹ Much that seemed hopelessly wrong from the very foundation upwards, at once becomes better, and often to a quite surprising extent; and a pupil who perhaps seemed "hopelessly unmusical" gradually seems to become endowed with quite musical instincts!

Before going any further, I must try to make this difference still clearer — the difference between Teaching and Cramming. I recur again and again to this point, and urge upon my Teacher-students that we must do real teaching and not mere illusive "cramming," and yet I find that the temptation to act merely the SVENGALI tends to master us, unless we constantly resist it. Indeed even the best of us are often enough on the verge of falling into this trap, ever ready for us. But remember, the moment we give way, that moment we cease to be real teachers or educationists.

Teaching
cramming
again.

It is difficult to resist this temptation, and always carefully to draw the line between merely *conducting* a performance of our own through our pupil's fingers, and correctly teaching him by prompting him to play by his own initiative, helped by our constant, careful analysis of Shape and Feeling, and by example when desirable.

The temptation is of course all the greater, when dealing with exceedingly un-alert pupils, so-called "un-musical" ones.

This teaching-principle, however, applies everywhere. Always try to avoid making the pupils "do," always try to make them *think*. Again, in thus honestly trying to help your pupils in better fashion, and really trying to make them use their own brains, you are after all only *scolding and finding fault*, and are not really teaching,

Make sure
of your
diagnosis.

¹ By "physically" is here meant the use of one's outer ear, and one's muscular sense — with regard to key-resistance, etc.

unless — and that is the crux of the thing — unless you properly diagnose and make clear the true *cause* of each fault.¹

And here we dare not be careless. It behooves us not to accept too hurriedly the first explanation that offers, but always to make sure that we have seen the true cause, the ultimate cause of the pupil's going astray, for while there may be many things that *seem* to be the cause, we may yet fail to correct the pupil owing to our wrong diagnosis.

But all such generalities are not really helpful, since the unanalytical person cannot apply them.

Concrete
cases.

Let us therefore take a concrete example. Say, a pupil plays a passage unrhythmically, such a very ordinary fault as the following one for instance, in Chopin's Polonaise in A:

EXAMPLE 3.



— the left hand is here often played as a quintuplet instead of in the proper Polonaise-rhythm. It was thus misplayed only the other day by a pupil, and as a number of listeners

¹ Let me reiterate it, the radical difference between real teaching and useless cramming is that in cramming you make the pupil parrot your own thoughts more or less unintelligently, whereas, in really teaching, you not only point out to the pupil *where* he is wrong and what the right *effects* should be, but always point out also the cause, the *why* and *wherefore* of all faults, and hence, the means of their immediate correction; and you thus stimulate the pupil to use his own judgment and feeling all the time — musically and technically.

were in the room (some of them teachers of some experience) I thought I would ask these to explain the fault. Here are some of the answers:

“Sounds sloppy” — “must be played with more spirit,” — which was true enough, but was no explanation of the fault, — any more than were the following ones.

“Not enough accent in the right hand!”

“Not enough accent in the left hand!”

“Tone too much the same in both hands!” (True enough, subsidiarily.)

“Tone too heavy in left hand!” (Also true.)

“It is clumsy — holds herself too stiffly.”

“Plays with too much arm-weight.” (They thought that would please me!) But the pupil continued to play her quintuplet in the left hand. Then they got warmer:

“Does not play rhythmically.”

“Left hand is not in time.”

“Does not hold the first quaver long enough” (perfectly true, of course).

“Plays the two semiquavers *too soon*.”

Nevertheless, *none* of these answers (not even the later ones) formed any true correction or analysis of the *cause* of the fault. None went to the root of the matter. True, the *result* of the fault was plain enough all the time — its ill-effect, and in the end the unmusical result was also correctly enough located. But the pupil was not told *how the fault had arisen*, nor *how* to correct it. . . . Hence, none of these tentative “corrections” formed any real teaching of the pupil.

How to correct inaccuracy of time-details.

The only possible direction to give to her, the only possible *real teaching* of this pupil, here, was simply to point out, that she *had failed to attend to time at that particular spot*, and had therefore omitted to *notice* where (in Time) the first of those two semiquavers *should occur*:

EXAMPLE 4.



It sufficed to point out to her this lapse of Time-attention, and of course the correction was instantaneously accomplished. It was now a true correction, it will be noticed, because the pupil herself was made to *think* rightly, was made to use her own judgement in the matter—for she was shown where her own Time-attention had been slack and was shown the consequences of such slackness. Therefore, it was true teaching; for she had been shown not only *how* to correct this particular fault, but, if she tried to profit by this lesson, she had the opportunity of *improving herself abidingly*, she had learnt something that might last her lifetime.

Playing out of time means lack of attention.

Believe me, no one ever plays “out of time” if he really *attends* to Time. The fault is that pupils *do not attend*, nay, even find it impossible to attend—but only because they have not learnt to do so. It is precisely such attention which you have to teach them, every pupil you have, and all the time.¹

Uselessness of the metronome, as a time-teacher.

¹ You see, therefore, how useless it is to hope to teach your pupils a sense of Time and Rhythm by merely beating time to their performances, or making them listen to *your* exhibition of a Time-sense. True, the force of example may have some little effect, and your pupils *may*

To take other and opposite instances, of technical shortcomings:

A comparatively musical pupil, who is obviously trying hard to play a *cantabile* passage most soulfully and sympathetically, fails sadly, however, owing to bad touch-habits. Here it is of no use telling her to "sing the passage better," or to "play it more sympathetically." The only way to help her is to explain to her *how* to produce the singing effect easily and therefore successfully, i. e., by arm-lapse, flat finger, and careful attention to the necessary *crescendo* of speed during key-descent, and accurate "aiming" of the key-motion, etc.

Faults arising from bad touch habits.

Or, in a related case, a pupil may play her *passages* "stickily" or unevenly; here again, it is useless merely to call attention to these defects — probably she notices them (to her sorrow) as much as you do. The only true correction

play tolerably in time while you are "pumping" away, or counting or shouting; but the moment they are left alone — as they have to be for most of their playing-time — they play just as badly as before, since you have not told them *how* to help themselves. The only way to teach them, is unremittingly to insist on their attending to their own sense of Time-throb or sense of Rhythm while you have the chance. Do not allow their rhythmical attention to flag for a moment while they are with you. Moreover, you, yourself, will have to be alert all the time, else your own Imitative-sense will cause you unconsciously to follow their lax pulse-feeling, and you, yourself, being misled, will fail to notice their aberrations from Time-continuity. You must be alert all the while so that your own Time-sense may remain unshaken, and so that you may compare your pupils' doings (in this respect) with what you feel is imperative.

Hence, also, you realize the folly of imagining that a Metronome can serve as a Time-teacher. You see, the pupil has to learn to play to a pulse-throb of his own making all the while; it is therefore of very little use indeed learning to pay obedience to an outside, machine-made Pulse-throb. And in any case, a Metronome is apt to kill the finer Time-sense implied by Rubato. But more about this anon.

is to show her the *cause* of her defects — probably a forearm held stiffly, rotarily, and the rotary exertions not adjusted accurately to each finger, or maybe, complete ignorance as to the evil effects of “key-bedding.” Or, it may even be a case of one actually mistaught technically, a victim for instance of the doctrine of “holding the knuckles in” — the doctrine which has done such an inconceivable amount of mischief at so many of our schools for girls, and music schools here and elsewhere. In such case, it is of no use saying “*you must hold the knuckles up*” — that would only do harm. You must show and make clear, that if the finger is properly exerted and the arm is not forced down, the knuckles will then automatically assume their natural level position; and you must demonstrate that it is just as impossible to use one’s fingers freely and easily at the Piano under such absurdly unnatural and helpless conditions, as it would be to take a walk with one’s legs doubled up at the knee — in the crouching position assumed for a certain kind of comic race.

Correction of
incorrect
tempi.

As two final instances: when a slow movement is played *too slowly*, do not say “play quicker”; instead, try to make the pupil *think* the music in *longer phrases*. Again, if a quick movement is played *too fast*, you will only hamper yourself, or your pupil, by telling him to “try to make the piece go slower”; instead, here draw attention to the *in-between beats*, or sub-divisions of these beats, and the result is immediately attained and with certainty.

The causes
of faults must
always be
made clear.

No, teaching does not consist in merely pointing out the existence of faults — not now-a-days — but in our always making clear the *cause* of each fault, and the direct means of its correction.¹

¹ In other words: it is not enough to notice the *nature* of each fault and to point this out to the pupil, you must correctly diagnose the *cause* of each fault and explain that to the pupil.

You have to teach people to attend, to analyse, to *notice* on their own account — to notice and observe Time, and also everything else, Tone and Duration, *how* they should be, and also, *how they really appear*; and you also have to teach people *how* to obtain the required tonal effects from their instrument.

To teach people *how to attend* and *how to do*, — how to *feel* and *perceive*, that alone is real teaching, and it is a **True education.** proud thing to be engaged upon. It is never “hack-work” — if you insist thus on really teaching people how to think, and do not try to make them use their fingers in response merely to *your* musical wishes. Only by bearing these things in mind can you avoid being a “crammer,” and can you attain to being a true *educationist*.

SECTION II

THE NATURE OF MUSICAL ATTENTION AND OF MUSICAL SHAPE

WE have now realised how keenly close attention must be striven for by the pupil; this brings us to a consideration of the next practical question, *how* such attention is to be brought about immediately and inevitably.

Attention
through key-
resistance
and time.

The answer is the one I have already so often insisted upon — the only possible way of attending to Music during Performance is through that duplex form of attention, attention through *key-resistance*, and attention through *time*.

Whatever the passage, whether of the slowest *cantabile*, or the lightest and swiftest *agility*, we can only attend to it by unremittingly giving our minds, in the first place, to the requirements of the instrument itself, that is, to the *constantly varying resistance of the key* itself during its descent, corresponding to the various tones required.

And again, in the second place, it is only possible definitely to guide the forces we are thus prompted to expend, by definitely intending and accurately fulfilling a "Time-spot" for each note. In short, to enable us to attend to musical feeling we must (at the Piano) attend to the Key, through its every motion being exactly chosen and timed.¹

¹ Is not this a strange paradox, that to enable us to play *musically* we must give close attention *mechanically* — through the key-lever; and in the same way, the fiddler must attend through his bow-pressure. Or, to be more accurate, in playing the Piano or Violin we must attend to our tones through the controlled (accurately adjusted) relaxation of our

Here then, we have *two* most definite and tangible facts upon which to keep the student's and artist's mind; and the inevitable corollary of his thus attending to *key-motion* through *time*, is that he will also be compelled to give the closest and most intimate attention to Music itself — to musical Feeling and Shape. Concerning this question of Time-spot, I have very much more to say today, but concerning that of Key-resistance, I have so fully shown the way in my "Relaxation Studies" and elsewhere, that I need not enter further into this matter now, except perhaps to point out, that these same "Relaxation Studies" are indeed, many of them, merely teaching-devices for this very purpose — to teach the student how to attend to the key.¹

Now as to the question of "Time-spot": if a violinist or singer does not give his mind to his work, his instrument warns him instantly and unmistakably, for his intonation becomes faulty, and that is a result which, usually, annoys him too much to be ignored.

Meaning of
"Time-
spot."

Now at the Piano, inattention, it is true, may cause us to play actual wrong notes; but besides such mere "wrong notes," a far worse thing happens, for the very moment we allow ourselves to become inattentive as to the precise Time-spot of every note, that moment we do indeed play

arm-weight, and in singing through the controlled relaxation of our ribs. (See the late John H. Kennedy's "Common Sense and Singing" — real common sense on the subject.)

¹ The most important of these Studies (bearing on this particular matter) are the "Resting" and "Aiming" exercises, "Throw-off," and "Agility" tests; and, most important of all perhaps, the "Rotation" exercises. In fact, it is impossible to play at all, unless the technical ground covered by such "tests" has been mastered to some extent. The extent of such mastery may indeed be said to form the limit of our technical attainments; which signifies, the *elimination* of all unnecessary exertions, and the accurate *timing* and choice of the required ones.

“out of tune” in a sense — that is, out of tune *as regards time*. And this is far less a mere figure of speech than it would appear to be at first sight, since both are vibrational experiences.¹

As I have before insisted upon, during performance it is really impossible *definitely* to guide any note, unless we do thus think of its Time-spot: the precise place in Time where the sound is musically due to begin, and where the key’s motion is therefore due to *finish* its act of tone-production. The most striking and most definite thing about a note is the fact of its transition from *non-existence* to existence — the moment of transition from Silence to Sound; for this is an absolutely definite point of demarcation at the Piano, as definite as the surface (or beginning) of a piece of wood or stone.²

The act of thought or attention itself implies a rhythmical act.

We cannot, therefore, definitely think a note in playing unless we thus *think* the time-place of its *beginning* — the beginning of the sound. Moreover, we must try to realise, that this law has a far deeper significance even than this. The fact is, we cannot experience any act of consciousness, we cannot direct our minds and think about

Similarity between playing out of time and playing out of tune.

¹ We all know that a musical sound is the effect produced upon our mind by the regular recurrence of impulses on our ear. What we call “Time” in Music is, however, quite a similar experience; the recurrence of pulse-throbs are similar to vibration-throbs, but in the first case the recurrences are enormously slower. Hence, it is useful and suggestive to remember, that “playing out of time” is an evil effect belonging to the same genus as *playing out of tune*; it is just as unclean, sordid and disheartening, just as unnatural, unbeautiful, and un-godly!

² In other words, the only way definitely to guide into existence any note at the Piano is clearly to determine the moment when this transition from Silence to Sound is musically due, and to see to it that we so carefully guide the Piano key-lever that it will *finish* its work of tone-production at that precise moment — the moment which we have in our mind musically.

or realise anything definitely, without just such an act of *timing* — a timing of our consciousness. The act of bringing or directing our thought or attention upon anything is therefore a *rhythmical process*; Thought and Rhythm are inseparable. Again, we see the reason why in the absence of Rhythm there can only be Nothingness — emptiness, non-being!¹

We must insist, therefore, on the pupil clearly realising “Grip” in that he can only obtain “grip” of what he is doing by means of close attention to this fact, *that he must finish* each act of tone-production at the very moment his Time-sense impels him to *wish* each note to *begin*. Having thus something definite to take hold of mentally, this will enable him to think also of the colour he wishes to give each note, its place in the phrase, its place as part of a whole.

Now here arises the question, how shall we make a pupil understand Time and Rhythm, and why do so few seem to feel it at all? The fault usually arises either from the entire want of, or fault in, early training in this respect.

The fact is, no child should ever be allowed to sound a note at the Piano until his Time-sense has been thoroughly aroused. It is the very first thing, and the most supremely important thing to teach.

Before teaching the note-signs, or even the note-sounds, we must teach attention to Pulse. We must make the

¹ The term “Rhythm” is of course in this work used in its proper and all-embracing sense, its narrowest and its widest application, and including bar-rhythm, figure-rhythm, phrase-rhythm — the minute rhythm implied in the ever-changing sub-divisions of the bar pulses, as well as the huge rhythmical swing of a whole phrase played *as one single pulse* in Rubato, and the still greater Pulse of a real master-piece when this swings on to its climax with unbroken continuity of purpose — a Whole, which, built up of multifarious ideas and logical successions, is yet welded together as we find it only in the works of the really great composers.

“Grip” in performance.

As to time-training.

As to the interpretation of the term “rhythm.”

beginner realise that Pulse (the recurrence of *time-distances*) is a material fact, quite easy to realise if only we attend to it; and he should have considerable facility in the appreciation of Pulse and its subdivision before he is allowed to touch the keyboard at all. And when he does so, we must from the first insist on his realising that every note he plays must be accurately fitted into the particular Pulse-scheme chosen by him *as the canvas* upon which to paint his musical picture — and this, however simple the picture may be.¹

Correct idea
of time and
shape in
music.

This brings us to a very important matter: the correct outlook as to what is meant by Time in Music — important indeed, for if this outlook is incorrect, our whole outlook on Music will necessarily be based on a foundation of sand.

Now we shall find that although the arts of Music and Painting seem so very different, yet we have here a strong parallelism in the basis of both, inasmuch as both depend upon Progression or Movement.

Progression
and move-
ment analo-
gous in
music and
painting.

In painting or drawing the *movement is upon the canvas*, and this in a double sense; for there is, first, an actual *movement* of the painter's brush or pencil in the act of making the picture; and secondly, an actual *movement* again, in viewing the picture — an actual movement of *our eyeballs* in following its lines, or at least a suggestion of such movement.

The origin of
our sense
of pulse.

¹ That is, the pupil's mind must be brought to notice the phenomenon of Pulse or Beat. This is best done by calling attention to the swing of his stride in walking or running; and remember, he had to learn to feel pulse in a measure before he could encompass either of those accomplishments! Indeed, I opine, that in our gait, we have the *origin* of our feeling of pulse in music. We *imagine* the swing of a walking or running stride; we set one going for every piece we play, and imagining its continuance it thus guides us. How vivid do the Beethoven themes become if we hum them in our rambles through the woods — conceived as so many of these themes doubtless were under a similar impression of fresh air and the accompaniment of a healthy walking stride.

In Music the distinction is, that the movement is upon a *time-surface*, as it were — instead of upon a canvas.

Here then, we have two very close analogies in Music and Painting, unexpected though they may be: (I) This sense of Progression, or Movement, and (II) this necessity of some *medium* upon which to fix our progressions.

In Music we choose some particular sequence of beats or pulses, and upon this particular form of *extension in space*, or Time-spacings, upon this thoroughly tangible *time-canvas* of Pulse we lay out the *progression* of our musical picture, whether as composers or players — just as the painter must lay out his work on *his* canvas.

It may strike you at first that all this is “a very waste of words” but I assure you we are here face to face with one of the fundamental laws of our art, and the teaching of it. Yes, even the uncultured members of an audience can quite well *feel* the effect of rhythm, or its absence. When the Rhythm is strong, they are impressed by the fact that the piece is alive, but when the Rhythm is lax, or Time-continuity is broken up, they feel that it is “as dead as a door nail”; and this, although quite unaware of the cause of their comfort or discomfort. Indeed, so strong is this rhythmical need of the public, that when rhythmical grip is lacking in a performer no other attractions offered by him can save the piece.¹

Here we have indeed one of those fundamental facts which we must drive home to every pupil, even beginners. It is

¹ We also find that our musical ideas of “Time” and “Progression” are closely correlated; since to enable us to determine the precise “time-spot” of any note, we must think of music itself — in its aspect of progression or movement. And, *vice versa*, attention to musical Progression will also, in its turn, compel our attention to the details of Time and Pulse.

of no use trying to *think* Music unless you think of *progression*, that is, Movement *towards* something or other.

In fact, this forms the best definition of all Form or Shape or Structure in Music, be it phrase, section, sentence or a complete piece. This idea of Movement is the vitalizing spark which turns mere notes into living music, this sense of Purpose — this sense of *progressing somewhere*.¹

The pro-
gressional
view of
music v. the
old segmen-
tal view.

It is astounding that until lately none of the Theory-teachers seem to have put this fact into words; although, of course, no real musician has ever *felt* music apart from an *unconscious* appreciation of this fact.²

Instead of Progression — continuous, purposeful Movement — they have tried to explain Music as consisting of *chunks* or solid segments of accented bars and of unaccented bars, thus giving the mis-impression to the learner, that Music consists of dead, disconnected bits of sound-stone or brick, instead of a living mass, a continuous swing and swirl of Growth.³

This idea of *motion* in Music, continuous Movement, we must make clear to anyone and everyone, even to a child at his very first lesson in Music.

Having applied this teaching principle for the last twenty years or so, and knowing its electrifying effect on the student,

¹ A phrase, for instance, may therefore in performance be defined as: a growth, or progression of notes towards a cadence, shown by means of Tone and Rubato inflections.

² My old pupil, John B. McEwen, has of course adopted this teaching of mine in his admirable "Phrasing"; and now, on going to press with this ms. (October, 1912) I receive a copy of a new work of his just issued, "The Thought in Music," a work full of original thought and research wherein he develops this idea still further and with a masterly hand.

³ In the "Coda" of this work (Section IV) it will be seen why this progressional view of Music-structure is so vitalizing to the student, artist, and teacher.

I tried to call attention to this necessity in the final chapter of my "First Principles," page 126; but most people seem to pass this passage by, without noting that it bears indeed on all they do every day as teachers. This is what I mean by "scanning" the music before trying to play it; the rhythmical shape or *progress* of even the simplest phrase must be understood, if we are to have any chance of playing it correctly, and if our performance is not to drivel into mere musical — or unmusical — babbling.¹

¹ This doctrine of Progression or Movement, which I insist upon as the basis of all Shape in performance, is indeed a most important teaching principle — one might say perhaps *the* most important of all. The old way of teaching Form, Form-analysis, Structure, and Interpretation with its false ideas of dead, disconnected segments of music (blocks or chunks) was perfectly useless, musically, to the student. It not only failed to give him any real insight into what constitutes Music in the act of performance, but it failed to draw his attention to Movement as the basis of all Music, and it was therefore positively deadening from a performing point of view. The *progressional* teaching of Musical Structure is on the contrary at once vital, helpful, and interesting to every Music-student, whatever his status, and whatever his branch of study. In my own personal teaching and lectures, I had for many years enforced this principle of "towardness" as the basis of all Music-shape — the basis of all music-teaching, but the idea was not made public in *printed* form until the issue of my "Act of Touch" (page 42) in 1903, and my "*First Principles*" in 1905 — *see* page 126, where the practical application of this study is summarized. In the present lectures (written in 1909) it was of course developed and amplified still further. It is a source of great gratification to me to find that it is now being generally accepted, at least by the more up-to-date Theory teachers of this country. For instance, in a recently issued work (1912) on "Phrasing and Form" I find the following — practically a quotation from a *synopsis* of this present lecture published in "The Music Student" of April, 1911, and of which Synopsis a reprint is given in the Appendix to this work: "The next matter to which attention must be directed in order to arrive at any intelligent basis for our phrasing is the fact that everything in music must be considered in the light of "progression, or movement towards some more or less clearly defined desti-

The difference between the old segmental view of structure, and the progressional view of musical structure.

When we teach a baby to say "Mamma" and "Papa" we do try at the earliest possible moment to make him realize that "Papa" and "Mamma" do mean something definite; in fact that these sounds stand for two very definite and important people! Nevertheless, in teaching the child to talk through the Piano, the inconceivable folly is committed of allowing him to babble—to make unmeaning noises; and this, often until he is an adult, without any attempt to make him realize that Music consists of words and phrases—connected sound-movements—like any other language!¹

Some examples of the progressive view of structure.

As this idea of looking for Shape in the sense of progression—or "scanning"—may be unfamiliar to many of you, let me give you some examples of what I mean. Let us take the first half of "God Save the King," as one of the simplest of tunes. It consists as you know of three *bits*, each bit with its little climax, and the third "bit" forming a capping climax to the other two. That is, the first bar *progresses* to

"nation. This is true whether we have in our thoughts the gradual but "inevitable working up of some extended passage towards a strong emotional climax, or of the no less essential 'trend' of some figure of a few "notes towards the point where it finds its own completion," etc. Further quotations occur on subsequent pages; and finally, it is gratifying to find in the preface of his work, that the author gracefully acknowledges the source of these teachings, and that he has presented them with delightful conciseness.

¹ The root of the trouble is that children are taught Music the wrong side up. The usual false beginning is to try to make them associate paper signs with keyboard-places, instead of beginning, as one should do, by trying to make them recognize actual sounds, actual Time, and Music-shapes,—matters which are mostly left to dawn upon them later on, as an afterthought! Mrs. Spencer Curwen, in her admirable "Child Pianist," started the crusade against this topsy-turvydom, and her views are more and more rapidly gaining ground, as we see by the various imitators who have adopted her ideas.

the second (at *a*), the third bar to the fourth (at *b*), and the fifth bar to the sixth (at *c*), thus:

EXAMPLE 5.



We find a similar structure, and it is a very usual one, (two short, or less accented progressions capped by a longer or stronger one) in the opening of Schumann's first Novellette. From the barring of this (totally incorrect as it is) one would imagine, that the piece was meant to sound all upside-down musically, thus:

EXAMPLE 6a.

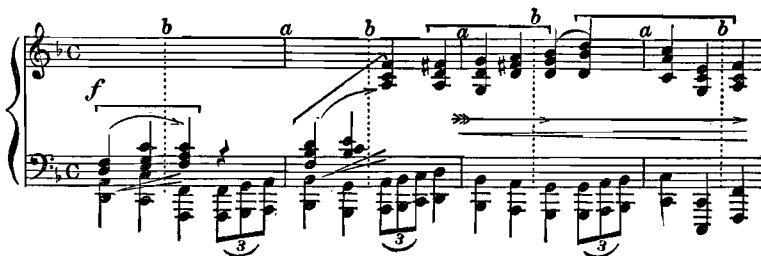


Schumann's Novellette played with accentuation as barred in the original.

But of course he did *not* mean this; therefore here, as so often elsewhere, we must totally disregard the written bar-lines (or written accentuation) and must be led by our own musical sense; and we then find that this opening phrase consists of *three progressions*, each moving towards its little climax or crisis, and the three together moving or progressing

towards a more important climax — that of the complete phrase. Thus:

EXAMPLE 65.



Schumann's *Novellente*, played with *correct* accentuation, requiring the bar-places as denoted by the dotted lines at *b* (and not at *a* as in the original).¹

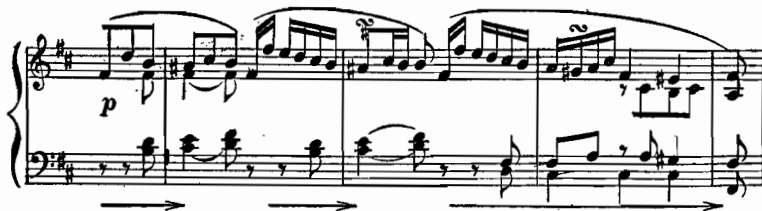
The incorrect notation of bar-lines.

¹ Composers, in the past (and many in the present), do not seem to have realized the simple fact, that the only possible real use of a bar-line is to indicate to the performer *where* the pulse-swing should be.

To prevent monotony, a musical composer will often purposely alter the straight course of the accentuation, and an unmusical or inexperienced composer still more frequently does so from the want of fine feeling, or from ignorance; but both types seem equally to be obsessed with the idea that if bar-lines are but written down in unbroken sequence (so as to *look* symmetrical) this will ensure symmetry, or that this will throw dust in the eyes of people, and make them believe the work to be symmetrically perfect — like wallpaper! As a matter of fact, no musician does want wallpaper patterns instead of music, nor does the placing of the bar-lines where they are *not* wanted by the sense of the music, alter the accentuation one jot. The only result such obsession, carelessness or ignorance can have, is to puzzle the performer, and to ensure that unmusical players will perform the piece musically "upside-down." Even many of the great Masters have sinned sorely in this matter of the true notation of their works, Schumann and Brahms perhaps most of all; whereas Beethoven's notation is perhaps most free from this blemish. In the old polyphonic writing there was of course the difficulty, that bar-lines would have been required separately for each part, certainly a chaos when four or

As one more example of this structure, let me quote from the slow movement of Beethoven's Sonata, Op. 2, No. 2, — thus:

EXAMPLE 7.



Or pictorially, it might be shown thus:

EXAMPLE 8.



All this shows us clearly how the idea of phrase, or sentence, implies *progression* towards some more or less definite point; by this sense of progression, of his *being led somewhere*, the listener's attention is attracted, and is retained.¹ more parts were written on two staves only; but why in such a case put bar-lines at all, where they can only be mis-leading?

As one more glaring instance of such wrong barring see Chopin's Prelude in C minor — the true bar-line occurs two pulses later than the written bar-line. But such cases are innumerable.

¹ As to the word "phrase": it really does not signify whether we consider the musical *unit* to be a "motif," "idea," "section," "phrase," or "sentence." All this is purely a matter of mere nomenclature, music-terminology — a point of exceedingly small importance artistically. What does matter is, that bar-lines should be recognised as denoting the general swing of the accentuation, and that Music-units (or the more complex "phrase" or "sentence" organisms) are always in themselves again *progressions* towards definite landmarks.

As to nomenclature of structural details.

Harmonic
movement
necessary.

We shall moreover find that this sense of *going somewhere*, implies that there must be a *succession* of *harmonies* (actual or implied) either forming or leading up to some form of harmonic cadence; we *must* have this, if there is to be any definite idea of Shape in what we accept as Music.

Let us now try to transcribe "God Save the King" so that it shall suggest (as far as possible) *only one harmony*; hear how this impoverishes the tune, thus:

EXAMPLE 9.



Or better still, let us try to compose a theme solely on one harmony, and we shall find that because it *goes* nowhere harmonically, nothing seems to happen. (See Exp. 10a.)

EXAMPLE 10a.

Example 10a is a piano piece in G major, marked "Allegro vivace" and "T.A.M." (Tutti Al Moderato). The score is in two systems. The first system shows the right hand (treble clef) and left hand (bass clef) with a piano (p) dynamic. The second system shows the continuation of the piece, with a crescendo (cresc.) marking and a forte (f) dynamic. The notation is more complex than Example 9, but the harmonic structure remains static, illustrating a theme composed solely on one harmony.

Whereas, if we now alter the same theme slightly, so that it may take harmonies, you will see how much more clear and interesting it at once becomes:

EXAMPLE 10b.



You see now, that the term “harmonic progression” is really a most apt and suggestive term; for you see, that a mere succession of un-related or un-progressive sounds or chords is quite meaningless. To have any meaning, musically, we require a *progress* of chords, intelligently leading towards definite landmarks, the landmarks in key and rhythm which we call “cadences.”¹

¹ Incidentally, you should here note, that the very process itself of learning, or assimilating any knowledge, is of this very same nature — it is but a form of progression.

Isolated facts mean nothing; *to memorize anything* the only possible process is to bring the something you wish to memorize into some form of *progression*, or sequence of *thought*. That is, you must *chain* the something you want to fix in your mind *to something already* stored there; you must make use of something you already know, so that it shall *suggest* (as a mental progression) the something fresh which you want to fix in your memory.

In a word, you must build-on to the knowledge you already do possess further *progressions* of “onwardness,” mentally.

In the case of Musical-memory, each note, each chord you play, must

The process of memorizing also depends upon progression.

**Deliberate
indefinite-
ness in
composition.**

True, in some few isolated instances, the composer has purposely written unclear, shapeless, jelly-like music, and not inadvertently, as only too often happens. Wagner, for

be made to *suggest* the next note or chord, etc. And unless you have made a *perfect chain* of such suggestion-connections, you do not remember and cannot remember any piece. In short, remembrance of a piece means that the suggestion-channels are all in good working order.

**How to apply
one's mem-
ory.**

Vice versa, successfully to make use of the memory-connections thus stored in your mind, you must during performance *allow* the thing present and realised at the moment to *suggest* (as an automatic or reflex action) the thing which is to follow on. That is, you must *allow* the memory of each succeeding portion of the text to be automatically revived by the rhythmic swing of the portion of the passage you *are* playing at the moment, its melodic and harmonic progression, its mood, and each note of it in succession.

In short, you must *allow* your memory-stream to flow in the channels or courses you have previously made for it, and the only way to prompt these memory-connections into action is by keeping your mind vividly present *on the actual thing you are doing at the moment*; and you cannot help your memory by trying to recall the thing ahead; since this will disconnect or destroy the sequential action of your mind.

**Memory
failure.**

The moment you begin to doubt your memory's capacity to "follow on," that moment you will hinder, if not completely stop its action. *If* you commit the fatal blunder of *trying to recall the next note*, this will at once paralyse the natural and safe action of the previously-made memory-channels; you will thus stop their flow, and your mind will seem to be a blank as to what comes next. Here it is not a case of your memory being incomplete or unreliable, but simply that you are *preventing* its natural action. Either the mental successions of "onwardness" are there, or they are not. If they are complete they will act with certainty if you let them do so; whereas, if they are not properly fixed in your mind, then no attempt to recall the *next* note will help you one jot.

In other words, if these mental connections or chains have been properly linked-up, you can only stimulate them into action by bringing your attention vividly upon the point you *are* engaged upon at each moment during the performance of the piece — so that it may suggest what follows. Whereas you will inevitably paralyse this natural sequential action,

instance, has used this device in the Introduction to "Das Rheingold" so as to give the vague, impalpable effect of

if you try to wrench your mind on to something ahead, — something not yet actually due in performance.

On the other hand, if these progression-suggestions are not firmly fixed in your mind, you must take steps to strengthen them, on the lines above indicated.

Here it is well to realise, that musical memory is a complex phenomenon. We must take care to use *all* the available memory channels; and they can only be rendered available by the application of close analysis, consciously or unconsciously given. These components are on the one side purely musical, but on the other side are technical, instrumental and muscular, or gymnastical. Hence, we must analyse and thus memorise the musical progressions of the piece, its rhythmical, melodic and harmonic progressions, and above all things the inflections of its moods or poetic curves. But besides this strictly-speaking *musical* memorising of the piece we must also impress our eye-memory with the written page, and with the *lie* of the music on the keyboard — as keyboard progressions.

The various kinds of musical memory.

Added to all this, we must also apply our muscular-memory — we must fix in our mind the physical sensations of its note-successions upon the keyboard, and the technical methods of their execution.

The necessity of muscular memory and its dangers.

And it is just here where all the trouble begins: on the one hand, it is impossible to give one's mind to the musical interpretation of a *quick* movement, unless we do know the notes of it so well that we need no longer question what they are. To succeed in this, however, for a quick movement, we must have repeated its note-successions often enough to impress them thoroughly upon our automatic-centres, so that our fingers may be able to find the road automatically. Now the imminent danger always is, that in trying to acquire this necessary part of the performance-memory, we may totally destroy all our musical control over the piece.

It is this same automatic necessity which so often leads players astray into the acquisition of purely automatic and mechanical methods of practice.

The only remedy and preventive is, constantly to insist on *musical attention*; and often to practice *without touching the keyboard at all*. With our fingers upon the keyboard, it is only too easy to forget to direct them; hence the great value of silent practice, with every note-inflection

Silent practice.

water. It is all on the chord of *E* flat, you remember the rising flowing figure:

EXAMPLE 11.



He wishes to convey the idea of a mass of water, the Rhine, not seen as a definite sheet of water, but seen from *within* the water, from inside the river; and he could not have chosen a happier way of making the musical suggestion than by the means used. It has no surface, no bottom, and after ten minutes of it or so, you feel yourself floating in the swirl of the river — with the Rhinemaidens — and

imagined, and its impossibility of allowing the attention to flag, even for a moment. And when actual keyboard-practice is imperative during the process of acquiring the necessary automaticity in respect to the keyboard successions of the notes, even in this case never to allow our musical purpose to waver, never to allow our automatic, or gymnastic faculty to gain the upper hand and to fulfil its sway without our constantly directing and controlling it musically by our *mind-centres* — our will-power, our musical imagination and judgement.

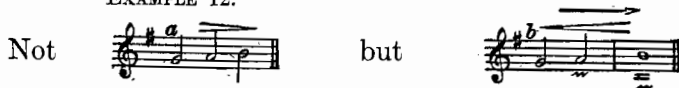
**To prevent
"slithering."**

To ensure such control we must constantly re-analyse the *rhythmical* constituents, or rhythmical *landmarks* of every agility-piece, however old an acquaintance it may be. The moment we thus insist on compelling the automatic centres to *fit their work* to our rhythmical vision, that moment the piece no longer seems to "run away," but is instead perfectly guided by our musical conscience. Hence, also in performance, we must always insist on realising the *TIME-PLACE*, for each note; and our gymnastic faculty thus becomes our obedient servant and not our master. See page 29 on "Time-spot," page 30 "The act of consciousness," and page 53 "Wrong bass notes," also page 122 "On the memorising of fingering." Also see *Additional Note*: "The cause of stammering un-musically," page 59.

cannot think of them as stage people, swinging about on iron cages!¹

This law of Progression in Music applies not only in the case of the phrase or the sentence, but applies universally, as much to the smallest details of the music as to its largest swings of form. As I have already pointed out in "First Principles" and elsewhere,² no one (not even a child-beginner) should be allowed to sound any succession of sounds, however simple, without being made clearly to understand that there must be *some* shape or progression even in such primitive attempts; thus:

EXAMPLE 12.



—therefore in fact suggesting harmonies; for instance:

EXAMPLE 13.



¹ Someone has asked, "What should we call this passage, there is no 'phrase' really in question, there being no harmonic progression?" I should define the figure as an *idea-unit* which, purposely, is not allowed to become definite enough to form a "phrase."

Purposely, Wagner has left the Prelude ambiguous, sheer invertebrate musical protoplasm, without any higher organization of definite shape; its swelling, musically jelly-like indefinite mass thus accomplishes its purpose dramatically and scenically.

² "First Principles of Pianoforte Playing," page 126, and in "The Child's First Steps."

Inaccuracy in the subdivisions of the pulses also owing to non-perception of the element of progression.

You must next realize that it is from non-perception of this very point (non-perception of the fact of progression) that results all the rhythmical "sloppiness" so lamentably rampant; I refer to that unclear playing of passage-work, unclear execution of minute contrasts in the lengths of the notes, details seemingly so insignificant, yet upon the accuracy of which depends so much of our enjoyment of the music.¹

How often indeed do we find the inexperienced (or bad) teacher's pupils playing triplets or quadruplets of notes all clipped together, thus:

EXAMPLE 14.



Do you see the cause of this fault?

It is clear enough, when you realise that you *cannot think music* (that is rhythm) unless you always definitely think of its Progression — we are constantly compelled to come back to this point!

To correct a fault of this nature, all you have to do then, is to make the pupil realise that the triplet or quadruplet, etc., does *not* finish with the beginning of the sound of its last note, but, on the contrary, that the group lasts *up to the beginning* of the first note of the *next group* — the next pulse-throb. Thus:

EXAMPLE 15.



¹ In playing, the terms clearness and cleanliness refer to two distinct things; "clearness" refers to rhythmical accuracy, while "cleanliness" refers to the sounding of the right notes — without any "splitting" of them, etc.

You must show him, that *time* in music always implies the *dividing* up of Space; and in this particular concrete case, that it means movement in equal divisions of time always *up to* the next following pulse-throb.

In short, you must always think of the beat ahead, must always lead up to it, *divide up towards it*, if the “inside” notes of passages are to be clear to the listener.

And this rule applies not only when you have the “inside” notes (or in-between notes) *evenly* distributed between the pulses, but also when you have more complex rhythmical figures providing the in-between sounds, such as:

EXAMPLE 16.



Moreover, it applies when you have figures ending with *unaccented notes*; and it applies still with equal force even when you have a whole phrase or sentence moving towards its rhythmical climax with a decrease of tone.¹

Progression
always
towards
climax of
phrase in
spite of
decrecendo.

Let me give you an example of both points:

In Chopin's first Prelude we have a figure with such unaccented ending:

EXAMPLE 17.

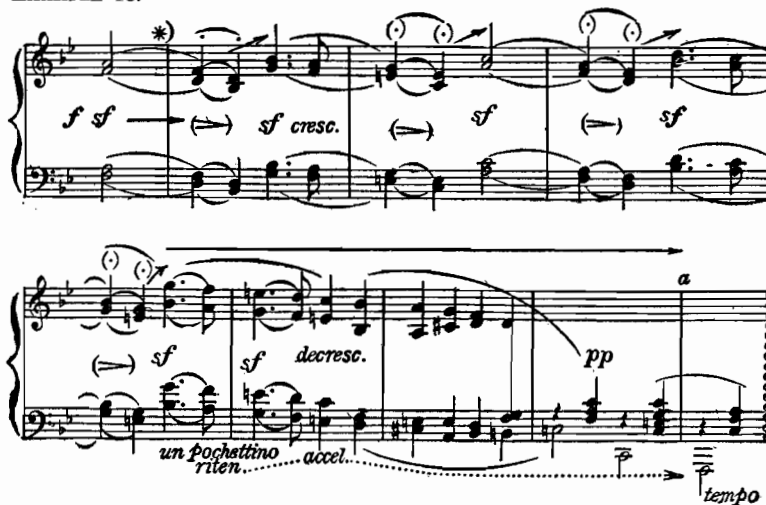


¹ We shall see later on, that *Rubato* must here come to our aid to make clear the onward striving of the phrase.



In the second subject, from Beethoven's Sonata Op. 22, we have the second point illustrated, as well as the first:¹

EXAMPLE 18.



¹ At first sight this Beethoven excerpt, from Op. 22, almost looks like a case of misplaced bar-lines; but it is quite correctly barred, for the *sfs* are here true syncopations, which are felt to go against the true rhythmical pulse, and which last must persist in spite of these *sfs*. The bar-line (or true pulse) may be shown by making the notes *on* it slightly staccato, as I have suggested. The whole tune grows towards the chord of F at (a). This is made clear by employing a very slight *rubato* during the last four bars — very slight indeed, so slight as to be unnoticeable even to pedantically-inclined ears.

Again, in Beethoven's 32 Variations in C minor, we have such figure with unaccented ending in the octave Variation:

EXAMPLE 19.



You see, in all these cases, the figure falls away as it were at its end, before reaching the next pulse. Nevertheless, unless we keep that next pulse accurately in view — unless we *measure* the places for the earlier notes as striving on towards such pulse — we cannot accurately place them in Time, and our performance will hence be inevitably ruined, musically.

Again, in passages divided (or broken) between the hands, such as octave-passages, etc., how often does the pupil spoil these rhythmically, by clipping together the two successive hands.

Passages
broken be-
tween hands
— correct
thinking of
them.

The remedy is simple enough, immediate, and again of the same nature. Simply insist on the successive notes of the passage being thought of as one continuous flow of (or succession in) rhythm; it must not be thought as consisting of *two hands, each doing something different*, and therefore rhythmically disconnected.

For instance, the semiquaver octaves of the coda of Mendelssohn's Rondo, Op. 14, are usually clipped thus:

EXAMPLE 20a.



(Incorrectly played.)

Do not allow yourself to think of the two hands, as each one doing something independently, but insist on thinking such a passage as *continuous*, rhythmically; think of it in groups of six semiquavers, each one leading *up to* the beginning of each next group, and the supposed "difficulty" at once vanishes forever. Thus:

EXAMPLE 20b.



(Correctly played.)

The rule of course also holds good when the passage is of SINGLE notes in each hand, instead of octaves; also, when the alternations between the hands occur after several notes have been taken successively by each hand — when the alternations between the hands occur after whole groups of notes.

We must, however, bear in mind that such rhythmical faults do often and quite easily arise in such alternating passages from quite another cause, and that is from faulty Key-treatment — faulty Touch-habits. Broken passages, technical errors.

In fact, such passages are often thus *made* “difficult,” simply by disobedience to “the Law of Resting,” which I have so strongly insisted upon in my various works on Touch and Technique.¹

Indeed, the law of continuously *resting* upon the keyboard during the extent of each phrase may never be disregarded with impunity, not even in the case of “divided” passages. The point to remember therefore, is, that in all such passages (passages divided between the hands) the keyboard *must never be quitted by one hand until the next hand has a finger on its own first note*; the passage is thus linked-up continuously in a chain of “Restings,” alternately taken up by the successive hands, and without break during the course of each phrase. For instance:

Not thus:

EXAMPLE 21a.

¹ Refer also to page 53 re “Wrong Bass-notes,” etc.

But thus:

EXAMPLE 21b.

(Prelude and Fugue, Mendelssohn.)



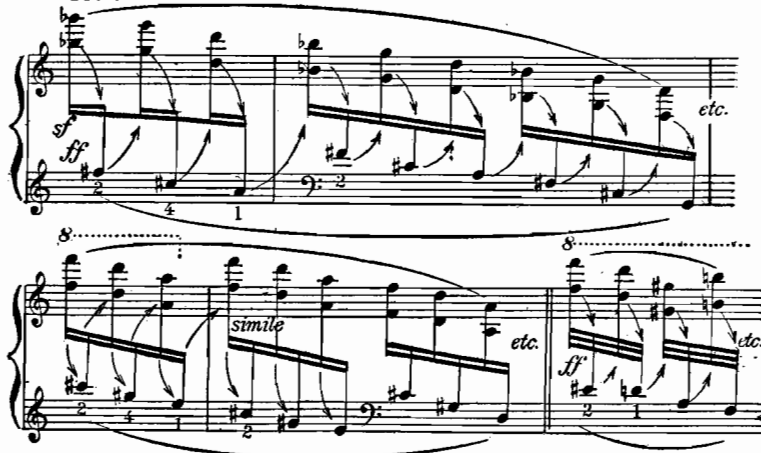
(The lecturer here showed how each hand in succession carries on the continuity of contact with the keyboard — the hands rising off the keyboard, but each one remaining in contact with it until the next has found its note.)

The following two excerpts are also suggestive:

(From Concert-piece in A minor, Tobias Matthay.¹)

EXAMPLE 22.

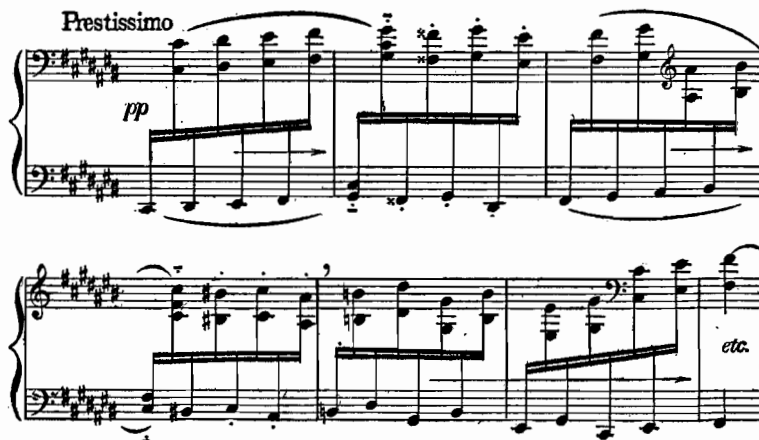
Presto



¹ By permission of Messrs. Ricordi.

(From Coda of Rhapsody, No. II, Liszt.¹)

EXAMPLE 23.



It is of no use trying to correct the playing of wrong notes or "split" notes merely by telling the pupil to be "more careful" — this may happen to have some result, or it may simply make the pupil more nervous. The only true correction is always to point out the *cause* of the fault. In case of passages lying under the fingers, or passages divided between the hands, this cause may be found in neglect of that law of "Resting" on the keyboard which should render all such passages really continuous, physically on the keyboard.² But in the case of skips and bass notes an additional cause of error may occur:

Wrong bass notes — and other notes.

Such, wrong notes often arise from a *non-remembrance* of what should be the right notes, at the moment. The fault here arises from a totally wrong musical outlook. First of

¹ In this last illustration *both* hands should remain in contact with the keyboard, at least so long as the passage remains *pianissimo*.

² Refer to page 51.

all, note that you should always think the music from the bass upwards, and *not from the treble downwards*, and secondly, note that you cannot recall a *mentally-detached* bass note any more than you can recall or remember any other fact or circumstance, *if you detach it from its memory-suggestions*. The only true correction of such bass-note *guessing* (and failing) is therefore to insist on the *musical-succession* of the basses being always noticed and noted. Here again, as everywhere else, you see the fact of PROGRESSION faces us; here it is the progression of each sound from and to its neighboring one which must be noted, and thus fixed in the memory — the only way in which any sounds can be memorized musically. The basses, in playing, must therefore be thought as such successions, and not as a wild “grabbing” into unknown space (downwards from the melody) — in any case a proceeding totally against all laws of Key-treatment! (Refer to pp. 41-44, “On Memorizing.”)

Progression
in its larger
swings.

We have now seen how the idea of progression will help us to understand the nature of Phrasing — the very life of music; and how we cannot accurately “place” even the *inside* notes of a Pulse (i. e., the notes between two pulse-throbs) unless we constantly insist upon the keen realisation of this element of “towardness” or “onwardness” (as one may aptly term it) and further, that it still applies when figures and phrases have unaccented endings.¹

A warning
against
purely
mechanical
“scanning.”

¹ In attempting thus to “scan” or analyse the structure of the Music one must, however, take care not to fall into the error of doing this mechanically — solely by rule. So far from doing this, one must always allow one’s judgement to be swayed by the feeling to be conveyed — else the result may after all be totally unmusical.

For instance, the rule is, that in a full close the tonic chord falls on the more accented portion of the bar. It is a rule with many exceptions, but it has led certain one-sided musicians totally to mis-scan Music; for

But not only must one think "towards" pulse, and towards phrase-climax, one must also think towards the greater crisis-points of the larger Shape-outlines, for the same law applies with equal rigor in the performance of the larger ideas of Shape and Form. Continuity in performance (and in composition) still depends on the same principle, carried out however on a larger scale. That is, we must always have a continuous travelling towards well-noted musical land-marks, and the proportions of the smaller details of movement must nevertheless, all the time, be strictly subservient to those *larger outlines*, themselves wrought by this constant principle of progression.

instance, I have seen the Scherzo of Beethoven's Sonata, Op. 28, made to consist of four-bar phrases with the accent on the *last* bar, the tonic chord! See Exp. 24, at *b*.

EXAMPLE 24.



"a," correctly scanned rhythm.

"b," incorrectly scanned rhythm.

As a matter of fact, the true accentuation here lies just the opposite way — the dominant in the cadence each time carrying the accent, see "a." It is this very "contrariness" of its harmonies which forms the basis of the fun and humor of the movement. The proof of the correctness of this scanning lies in the last octave of the piece, for this is the long deferred resolution of the preceding cadences (so comically against-the-grain) it is the solution of the rhythmical riddle, since this octave cannot be construed as a syncopation. Whereas it would have to be so considered in the fallacious reading alluded to.

Indeed, in a really largely laid-out movement, such as we find with Beethoven, or with Tschaikovsky, we must often be content almost to gloss-over quite interesting points of expression-detail, so that we may not risk blurring the clearness of the large designs of these masters.¹

To keep the whole in view is a question of memory.

Now, success in this respect (to keep the outlines clear) in the end resolves itself purely into a question of — MEMORY. Whether we are laying out a large movement, or a small one, it is absolutely essential that we should vividly *remember* the exact proportion of *musical importance* attaching to each of its component sections and climaxes, to its variously contrasting subjects, sentences, phrases, ideas, down to the actual importance of *each note* employed.

Only by such perfect *memory* of all its constituents can we hope to produce a musical picture perfect in its perspective, perfect in its outlines — perfect as a WHOLE.²

¹ Certainly, in a measure, the same care is required in works of smaller calibre, although it is easier to keep Outline in view in a short movement. The process of giving due proportion to the various sections of a large design and to the details of a small movement does however not really differ in principle from the process of giving a single phrase correctly.

Thinking of the "whole" expounded.

² In "thinking of the whole" this must not be misunderstood to mean that one *should be aware* of the whole piece all the time — at one time — that is absurd and impossible. Again, when we realize that we "*must think of every note,*" this does not mean that we must think of all the notes of the whole, all at one time — that is equally absurd.

What all this means is, that in thinking of each note *as we come to it*, we may recognise and remember what its importance is relatively to the picture as a Whole; we must remember the proportionate "value" of each phrase, each bar, each note at the moment we are engaged in reproducing it, and feeling it. In other words, we must have an accurate *memory* of the "value" of each note *relatively* to the whole — from having recognised what is required of each note-detail to build up that Whole successfully; exactly as we must recognise what value to give to each blob of color in painting a picture, if the result is to be harmonious;

This kind of memory is, indeed, the hardest task of the player — and I think it really is harder in our art than in any other.

But although this is the most difficult thing to learn — this necessity of constantly keeping in mind the Whole — the teacher nevertheless must unremittingly insist on the pupil attempting this task, from his veriest beginnings in the simplest music. For this attitude is the only correct one in Performance, just as it is also the only correct one in Composition, in Painting, Sculpture and in fact in all the arts. It is an attitude obviously in total antagonism to that “*doing of details for their own sake*” which I have already animadverted upon.

Thus we come back to the old truism — that we must never allow ourselves to apply the Means of expression for their own sake, but always for the sake of expressing something seen or felt . . . not Doing for the sake of Doing, but always Doing only for the sake of something *beautiful* which we are perceiving at that moment.¹

and this recognition of the constituent values can only be derived from an accurate memory of the Whole of these constituents, each one, as we come to it.

¹ Discussion of this necessity of attending to Shape, whether in playing or composing — and incidentally also *when we are listening* — here tempts me to a rather wide digression. We all know, or should know, how extremely difficult it is for us to take in a new musical work, how we must hear it more than once before we can really see it — indeed, must hear it many times before we can really perceive its sense, especially if it is a work of any serious musical import.

**Perception
of a new
composition.**

Now we shall find, that really to perceive a *new* work at one hearing is not at all a matter of difficulty, but is one of *sheer impossibility* — sheer physical and psychical impossibility. The ground we have just gone over yields us the explanation of this fact.

In viewing a picture which is new to us, we cannot realize what it means until we perceive the relationships of its various parts; we cannot

perceive its general shape, nor the meaning of its details, except by *comparing* its various portions or constituents.

Necessarily, this implies that our eyes must run over its various outlines *again and again*, until the rapidly gained *memory* of these details thus enables us to form a conception of the Whole.

In comparison with this process of perception, how extreme is the disadvantage under which a new *musical* work is compelled to make its first appeal to the public ear! Without our knowing what is going to happen, the musical picture is unrolled before our mind at *one single glance*! It is gradually unrolled and obscured again, beginning at one corner and finishing at the other extreme corner.

Now, if it be a good composition, it is so on condition that the first bar (and every subsequent bar) is in perfect relationship to every other bar of the piece — even those bars as yet *unheard*. But as we cannot perceive these relationships at a first hearing, we cannot possibly realise the meaning of the major portion of the piece, however quick our perceptions, since we cannot have any notion what the *unheard* portions are going to be until they have actually been presented *at least once* to our ears. That is, we cannot possibly perceive the various relationships of the details of Shape and Progression of a piece until we have had the opportunity of *at least once* hearing all and every part of it, seeing that the earlier portions can only derive their true significance from the balance given them by the later portions.

Here we clearly see *why* it is that a new musical work, even of the highest merit — or because of that — takes so long before it is accepted. In the case of lengthy works, there is no remedy available; it is not practicable to repeat a “*Götterdämmerung*” several times in one evening, even were a hearer capable of enjoying the process, and so one must trust to the audience taking the trouble to study such huge works before trying to appreciate an actual “first performance.”

But in the case of *short* instrumental or vocal works of serious content, given for the first time in public, I do seriously put forward and plead for the adoption of the custom of an immediate repetition of them; such works should be performed at least twice in immediate succession. This would give worthy new music a far better chance of being accepted forthwith.

The old masters unconsciously felt this, when, in their Sonata movements, they insisted on repeating all the subject-matter, before proceeding to its amplification.

A musical work of serious import does not consist of a mere succession of surprise-shocks to the musical ear, although there is also a demand and place (happily limited) for such form of nerve-excitement or sensation-mongery in music. The real backbone of any musical work, deserving the name of *composition*, is (and ever will be) its Shapeliness, its architecture, its emotional and rhythmical continuity and strength; and this element must necessarily largely remain hidden from us, when, at a first performance, we are compelled to go forward step by step in the dark — not having traversed the ground previously with our musical eyes.

ADDITIONAL NOTE

Much bad playing, stumbling and stuttering, often arises merely from a non-realisation of the fact that all memorising, whatever its nature, can only be achieved by impressing upon our mind the requisite and correct *progressions*, sequences, continuities, or chains of succession of the music in all its details. **The cause of stammering unmusically.**

The teacher must therefore never allow a pupil to try to “correct” a fault, whether slip of the finger, wrong note, wrong time, tone or duration, by his playing the right effect *after* the wrong one.

It must be made plain that so far from being a correction, such proceeding is indeed *un-practice*. By playing the right note in succession after the wrong one we tend to impress a totally wrong *succession* upon our minds, and shall therefore risk repeating the blunder and its supposed correction the very next time we play the passage; and if we repeat it we shall be a good way on towards *ensuring* a stumble or stutter at that place.

The only true correction is to substitute the *correct succession* of sounds — to go back and *move across* the damaged place while carefully omitting the hiatus.

SECTION III

THE ELEMENT OF RUBATO

Tempo-continuity, why necessary.

ONE cause of the failure of the inexperienced to keep in view the *whole* of a piece (while trying to be careful of its details) lies in their non-realization of the fact already insisted upon: that there must always be *continuity in the tempo* if the course of the piece is to remain unbroken.

Remember, every time you change the *tempo*, your listener has to start afresh with you, and has to readjust himself to the new *tempo*. This engenders a complete disorganization of the piece, if it is a continuous composition; and if this *varying* of the *tempo* is persisted in, not only does it lead to discomfort, but to positive irritation, although the listener may remain unaware of the actual *cause* of his troubles.

A simple cure in this case is to make your pupil walk round the room several times, and to insist on his suddenly altering his gait-tempo every few steps. This will make him look and feel such a lunatic, that he will remember the lesson for the rest of his days.

Continuity also depends on tonal and emotional planning-out.

Continuity in performance, of course, does not depend solely on obedience to this law of *continuity of tempo*; it depends also upon the due planning-out of the Tone-values, and upon the correct planning-out of the emotional stress of the piece.

In this planning-out a gradual increase and decrease of *tempo* itself may often help as well as such variations applied to tone-differences. But this leads me to the discussion of a detail of expression which, while it is one of the

most powerful and potent, is at the same time one of the least understood. And it is one which is most rarely taught correctly (even when the attempt is made) since it involves a principle believed to be mysterious, although its comprehension is perfectly simple. I allude to THE PRINCIPLE OF RUBATO.¹

Indeed, it is no exaggeration to say, that Rubato is generally quite misunderstood, even by those who may themselves apply it correctly enough in their own performances.

True nature of Rubato, usually quite misunderstood.

Often enough I hear of teachers who tell their pupils they "must not play Rubato." Such teachers find themselves compelled to take this step, simply because their pupils have not been correctly shown *how* to keep time, nor the real significance of Rubato; and because these pupils therefore play absurdly meaningless *ritardos* and *accelerandos*, in place of the required musically-helpful and true Rubatos.

Again, it seems incredible that any musician in his senses could make the absurd mistake of supposing that Rubato implies any *breaking* of time. Yet I know of a number of instances where quite well-known professors deliberately tell their pupils: "*You must not play Chopin in time!*"

¹ Since I first gave this lecture, an amusing case in point has presented itself. In a book recently published (apparently for the sole purpose of abusing my technical teachings) the author realizes that there must be a *something* apart from tone-inflection, which plays a very important part in musical expression; but, wanting as he is in that very faculty of "analysis" which he so much deprecates and despises — that "rationalism" which he so vehemently girds against — he fails to diagnose what is the true nature of this, to him, mysterious Something. Hence he lands himself in quagmires of verbiage, in "telepathy," and in hibernianisms, such as "silent sound," etc. Not possessing this despised analytical faculty he, in his blindness, fails to run to earth and diagnose this very "rational" but necessary element of *Rubato*, as the cause of his supposed "silent sound" and "telepathic" effects!

Rubato is no mystery, it is neither "silent-sound" nor "telepathy."

Of course, both these classes of professors are equally in the wrong — those who object to Rubato, and those who condone Time-breaking — and their teachings only prove too conclusively how thoroughly they have failed to grasp the true nature of Rubato as an important and all-pervading Means of Expression. True, their musical sense is strong enough to make them feel that Time must often diverge from a sheer straight line, but so little reasoning power have these artists (yes, they play quite passably) that they are quite unable to analyse what they, themselves, unconsciously succeed in doing in order to prevent this very “squareness” which they quite justly loathe; and such teachers thus find themselves at an *impasse*, for they cannot find a way of rectifying the musical chaos into which they have misled their pupils!

It really amounts to crass stupidity in the case of men who have worked at their profession for years, although it may be regarded as a pardonable sin in the case of inexperienced young teachers.

Ritardos and
Accelerandos
are not
Rubato.

Now, as I insist on the absolute necessity for *continuity* in the performance of a continuously built-up composition, and as this can only be secured by insisting upon *continuity of tempo*, it follows, that constantly recurring *ritardos* and *accelerandos* are inadmissible as a means of expression.¹ Nevertheless, in playing, we are often compelled to adopt a device employed in ordinary speech, a device to which we resort when we wish to emphasize words *without raising the voice*; for we are then compelled to give *more time to those words*.

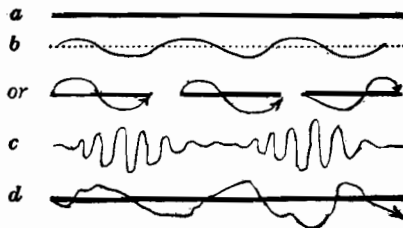
¹ *Ritardos* and *accelerandos* (in place of Rubato) are often marked by mistake by composers who have not carefully enough analysed the performance of their own works. See Notes, pages 38, 71 and 89.

Herein, then, we perceive the foundation and necessity of Rubato: we wish to emphasize certain notes without giving them undue *tonal* emphasis and we then naturally *dwell more on those notes* — we spend more time upon them than is their natural due. **The true rationale of Rubato.**

But, as continuity in *Tempo* remains inexorable the only way to reconcile these two apparently opposite requirements (those of continuity and time-leaning) is to *bend* the Time and not to break it. If, therefore, we wish to give extra time to certain notes, we must correspondingly take away time from *other* notes, to make up for the extra time thus spent; or again, if we wish to hasten certain notes of a passage, we must delay other notes correspondingly for the same reason; and thus we shall be able rigidly to keep to our *Tempo* outline, in spite of all this Time-bending and swerving.

In fact, we may, and should in nearly all music, thus *curve* round the line of an otherwise straight-on Pulse, but while we do this we must never forget the line's true position in Time-space. An analogy can be shewn to the eye by contrasting a straight line with another drawn in curves or otherwise ornamented, since such ornamentation need not destroy the true basic linear progression. **Time-curves constantly required.** See *a*, *b* and *c*, Exp. 25:

EXAMPLE 25.



a, *b* and *c* in above example may be used to denote Rubato; whereas *d* is a *bad* Rubato, since the space covered on each side of the horizontal line does not here balance — does not “lead back to the pulse.”

Thus in Rubato, we may seemingly disregard the pulse, or even the bar-lines for several recurrences, and yet we must never, while giving such Time-curves, lose sight of the place where the bar-line or pulse does recur *at the end* of such Rubato. We see therefore that Rubato-playing, so far from implying any weakness rhythmically, on the contrary demands a particularly strongly cultivated feeling for Pulse. Otherwise, when in a Rubato we are compelled to omit allusion to the pulse for several of its normal recurrences-places, we shall be unable to swing-back, or recur to it with the requisite accuracy at the end of such Rubato.¹

Rubato in
modern
music.

No modern music is at all tolerable without the proper application of Rubato — and much of it. To hear a Chopin Nocturne for instance, or a more modern work, played without Time-inflections is indeed (for anyone at all sensitive, musically) a horrible experience. Let me give you a taste of this, and show you what the *absence* of Rubato really signifies. I will play a few bars from Chopin's F minor Nocturne, first *without* the proper Rubato and then with it:

EXAMPLE 26a.



EXAMPLE 26b.



¹ Rubato, in fact, demands a Pulse-sense so strong and full of vitality, that it will enable us to feel a pulse (or Beat) unwaveringly, although its rhythmical recurrences may be so slow (or deferred) as to cover a whole phrase at a time — nay, sometimes half-a-page or a whole page of Piano music.

But while it is clear enough that modern music is quite impossible without Rubato, we shall find that most of the older music also requires it in a measure—although very subtly applied. Rubato in older music.

Beethoven requires it less than the other older great Masters, but even with him it is not totally absent. Bach certainly admits it in a measure, and Mozart obviously needs it quite markedly, although subtly applied. We know from Mozart's own letters that he used it greatly, and much to the astonishment, mystification, and probable confusion of his contemporaries.

This leads me to a digression. I must protest against the tendency amongst some to imagine that because a great Master lived so many years ago, because his body has long been dead and buried, his music must also be in a sense dead—unemotional, un-alive and passionless. Could there be a more fatuitous mistake! Surely, all these great Masters were pulsating, living beings, *at least* quite as alive and fervent as we are, as emotional, as full of passion, as full of strong feeling and thought as the best of us to-day, and probably far more so! Indeed, is it not evident, that it is just because they had such phenomenal Enthusiasm for their art, because they had such phenomenal feeling and vitality—and reasoning power—that they were able to give us such masterpieces? Fallacy *re* the old masters being unemotional.

Then again, the records we have of their playing, are they records of Mendelssohnian cold glitter? Do we not read just the contrary? Yet it is pretended by some, to-day, that it is not "classical" to put any human emotion into Beethoven or Bach! . . . Indeed, there are some signs lately that Chopin—of all people—is soon to be relegated to the realm of the cold and dead—and "classical."¹

¹ But what a misunderstanding of a term! Should not "classical" signify that perfect *balance* of the emotional and the intellectual which is the very foundation of all true art? There is no such "balance" if we delete the emotional.

In giving
emotional
life, shape
must not be
lost sight of.

Now, please do not misunderstand me to maintain that Beethoven and Bach are to be treated in the manner of Schumann, Brahms, Chopin and Debussy! It is true, indeed, that the more shapely, the more architectural the music, the less ornately may it be treated. To smudge over the majestic arches, columns and domes of a really fine cathedral with tinselly colors and gew-gaws, is of course nothing short of a crime. But do not let us pretend that we can see the cathedral without *light* — without the strong shadows and colors, and mysteries — and rhythm — which light brings with it! As I have already said, in playing great works, works continuous and large in their construction, such as are Beethoven's, we must often restrain the impulse to color each detail too strongly, lest we lose sight of the larger shapes of the piece, its general feeling and the majestic progression of its great proportions. We must play Beethoven not sentimentally, but we must play him with sentiment — with strong feeling, and dramatically. Let me play you a few bars of the "Waldstein" Sonata as I have heard them abused, and then with the correction of this mawkishness.¹ Thus: (A) with Rubato — incorrect; (B) almost without Rubato — correct.

EXAMPLE 27.



¹ In these Rubato examples the $\sim$ sign is sometimes used for time-inflection (analogously to the tone-inflection sign $\lessgtr$). Where the sign swells out the time broadens out. At other times a curve below or above a horizontal line is employed to denote the Rubato.

Seeing then the exceeding importance of *Rubato*, it behooves us to teach it as soon as practicable—even to the child. It should be taught as early as possible: first, because a rhythmical sense can be acquired with comparative ease while young; and secondly, because of the extreme importance of *Rubato* in all modern music. And, of course, the child must begin its musical experiences with music of to-day and not of yesterday, for the average child cannot easily learn to think in a past idiom until it has had considerable experience of present day music.¹

When to
teach and
learn
Rubato.

¹ This does not mean that we should feed his young mind on Freak-music, or on Flimsiness. Yet there is no greater mistake than to imagine that because music itself has appeared in a certain order of evolvement, therefore it must be brought to the young mind in a similar order; and that we must, therefore, begin with the most difficult and complex intellectually, the most subtle emotionally, and the most wonderful of all the great Masters, Bach—a fine topsy-turvydom indeed! I must confess, for my own part, that I have still not entirely overcome my repugnance to and prejudice against Milton's "Paradise Lost," which was rammed down my throat long before it could be possible for me to digest it, before I could hope to see those beauties in it which I am quite prepared to believe may be there, but which are still closed from my vision because of the folly of my early teachers. Here, also, is the place to protest against the folly of giving deadly-dull and unmusical pieces and studies to children. How can the child learn to love music by being soaked in Non-music? Leagues of such waste-material, supposed to be "easy," are turned out and dumped upon the market as "teaching pieces" by the publishers—sheer platitudes, without a spark of invention or imagination or rhythmical life, saying nothing and meaning nothing, and such stuff is supposed to *teach* Music to children! No wonder so many look upon their practice-hour with loathing! This crime could not be possible, were it not that the average would-be teacher seems to be totally wanting in musical judgment, and hence, quite fails to perceive the deadly-poisonous nature of this commercial shoddy-material. For with a little trouble, there is plenty of real music obtainable quite within the ordinary child's grasp, and therefore stimulating to him—and also to the teacher; hence, there

The problem
of music for
children.

Almost every child can learn perfectly well to feel pulse, to feel Time, if taught on the right lines — if taught from the outset to see (as I have already insisted) that music consists of progression or movement, progression or movement as regards Tune, progression as regards Harmony,

is no excuse for the use of material which is disheartening to and paralysing in its influence on both.

We must always remember, too, that the child is more likely to be in tune with present-day idiom than with that of a past generation. We have masterpieces for children written by such natural musicians as Poldini, and other foreign successful writers, such as Jensen, Godard, Ole Olsen, Theo. Kullak, Grieg, etc., while many worthy modern British names might also be mentioned, for instance, John Kinross, Felix Swin-stead, Carlo Albanesi, Cuthbert Nunn, and many others. Some few exceptional children also are open to an appeal from the classics (such as Corelli, Scarlatti, Bach, Haydn, Mozart and Beethoven) but one should be sure of this, before immersing them in an idiom far removed from that natural to them.

If, however, such children obviously do enjoy the older classics, there is no reason why they should not be allowed to become familiar with some of the lighter works, or such a truly *modern* work as the Chromatic Fantasia — although, of course, no child can possibly fully realize the subtle feeling of such “grown-up” music.

In connection with all this, an interesting question was put to me at one of my lectures. It was asked “at the same time is it not necessary that music of the earlier writers should be brought before children as *literature*, and in quantities large enough to leave a definite impression of each great composer’s characteristics?” The answer is, that each case must be taken on its own merits — what is poison for some may be meat for others. But *no* music, however good, should be forced upon anyone before they are ready to enjoy it; else we always risk creating a loathing for all music, instead of a love for it. One must therefore begin gradually and tentatively. Give the children music which they can enjoy (and that will probably be quite modern in feeling) and from this gradually lead them to perceive that which is in an idiom more difficult for them, an older idiom, or one more complex, and hence more difficult to master. Lead always from the simple to the complex in idiom, in con-

and above all things, progression as regards Pulse and Rhythm. Obviously, it is also found quite easy for the child to take the next step, and to learn to divide these Pulses up into all kinds of details — always remember, details of *progression*. Now, if the child can learn to do all this — and can learn to feel portions of time *less* than the Pulse, surely, it is only one step further for the child to learn accurately to notice the recurrence of more widely distributed beats or pulses — those recurring at wider intervals, while omitting for the moment any reference to the in-between beats — as required in Rubato.

struction, and in feeling; lead from the idiom of to-day to an understanding of that of yesterday. Of course we find exceptional cases, as I have said before, of little geniuses of nine or ten who are quite prepared to love Bach and ready to see much of its true feeling.

As to trying to teach children the “characteristics” of the various great composers, is this not somewhat on a par with teaching the *events* of History to children, and expecting them to learn the lessons and draw the conclusions therefrom, conclusions which history may possibly teach to a few of their elders, provided such historical successions of events are analysed so as to lay bare the evolution of the race, of institutions, of ideas, etc?

Is it not premature to try to make mere children realize the “distinctive characteristics of style” say between a Shelley and a Browning, a Shakespeare and a Milton, a Swinburne and a Rosetti? Besides, where is the harm if they do not so distinguish for a time? The main thing is to teach them to enjoy and love Music. As to the teacher, that is another matter, the various composers demand differences of treatment, hence, the teacher must understand such distinctions.

The two distinct fundamental forms of Rubato: (I) the "Leaning Rubato."

To come to details: to begin with, we must notice that Rubato can take *two* quite distinct forms. The most usual is that in which we emphasize a note (or a number of notes) by giving *more* than the expected Time-value, and then subsequently make-up the time thus lost by accelerating the *remaining* notes of that phrase or idea so as to enable us accurately to return to the pulse. This return to the pulse must always occur at the most important point or note of the phrase — that is, near its end. Remember, this law is inexorable, we must always look ahead, and *come back* to the pulse at the chief syllable of the phrase, however much we may have swerved from it beforehand.

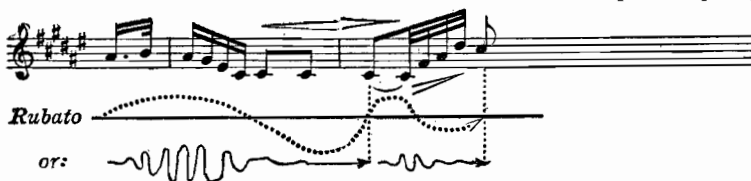
Rubato gives the strongest form of emphasis.

Indeed, the very fact of our returning to the main pulse after having swerved from it forms the strongest means of emphasis we can give to any note.

For instance:

EXAMPLE 28a.

(Nocturne in F sharp — *Chopin*.¹)



¹ Here we have a double Rubato: the main Rubato is caused by **wavering** over the first notes of the phrase, and the delay thus caused must be made up by hurrying over the first two quaver C sharps, so as to bring us back to the pulse accurately at the bar-line — the chief syllable of the phrase, with its resolution of the dominant harmony of the previous bar; and a smaller, subsidiary Rubato then prevents the subsequent demisemiquavers (32nd notes) from appearing square — this subsidiary rubato being in the form of a slight lingering over the first C sharp of that bar, while the time is again made up by a corresponding acceleration of these demisemiquavers towards the final note of the phrase, which thus forms an unaccented (or "feminine") phrase-ending. Played any other way, the phrase would prove totally unmusical. Both time-swerves (even the first one) are here most delicate and minute.

In the opposite form of Rubato (it might be termed (II) the *inverted rubato*) we begin with a pushing-on or hurrying the time. This we must necessarily follow up by retarding the subsequent notes of the phrase. This retard serves (like the corresponding swing-back of the *first* form of Rubato) to bring us back again, at the phrase-climax, into unison with our Pulse. And this phrase-climax, I would remind you, is *near the end* of the phrase. See Exp. 28*b*, and pp. 36—41, 70, 73.

"Valse noble" from Carnival, *Schumann*.¹

EXAMPLE 28*b*.



Moreover, these two forms of Rubato may be combined even in a single phrase. In fact, such *compound* Rubato is far more usual than the simple uncombined variety.

The two Rubato forms may be combined.

¹ Here it is well to point out that we must not allow ourselves to be misled by the inaccurate markings found in the texts of editors and of the composers themselves. Chopin, Schumann and Brahms, for instance, are constantly found to have marked *Ritardos*, when they have really meant the Rubato swinging-back of a rhythm after a preceding (but unmarked) *accelerando*; and *vice versa*, they have often marked *accelerandos* when they have failed to note the preceding causal *ritardos*. The simple explanation is that they have failed properly to diagnose the means of performance actually required for their own music!

Ritardos and Accelerandos in text, often found to be incorrectly noted Rubatos.

Schumann, in his first *Nachtstück*, for instance, could not have meant a constant breaking up of the piece! No doubt in his own playing of it, he had noticed certain places where *ritardos* *apparently* occurred and he promptly noted these down. But he had failed to notice the *preceding accelerandos*, which are the *cause* of the swerve and balance in the Time-pulses, and which enable the piece to be perfectly continuous, while allowing a full portrayal of its agitated feeling.

The exact position of the return to the pulse must be noted.

Another noteworthy point is, that in teaching Rubato, the only thing we can determine with *exactitude* is the *position* of the *return to the pulse*; for the actual extent of a rubato-curve may, and should vary with the mood of the performer, just as in the case of the analogous tone-curves. The actual nature of the curve itself is also thus variable; but not so the return to the pulse — that always has a definite and invariable position for each phrase.

The cause of the Rubato also to be noted.

We should also, in every case, note the cause of each Rubato, the place where the Rubato is *begun*; that is, whether the Rubato is caused (in the earlier part of the phrase) by a “leaning” emphasis or retardation, or by a “push-on” or hurrying of the time, or whether the two kinds of Rubato are combined in the phrase under consideration.

Moreover, the actual extent of a Rubato-curve varies greatly, since we may use a Rubato to emphasize a single note only, or we may use it to give a graceful curve to a whole phrase as in so many of Chopin’s works, for instance his Berceuse, C minor Nocturne, etc., or even to a whole section of a movement as elsewhere in Chopin, for instance in his F minor Ballade, Fantasie-Polonaise, etc.

Rubato allows a phrase-climax to be shown in *decrescendo*.

Rubato, in fact, will enable us to make clear the climax of a phrase even with a *decrescendo* progression towards its climax; for, as already pointed out, it is a most striking fact, that the return to the pulse (after having swerved away from it), forms the most potent kind of emphasis we can give to any note.¹

In speaking of a Phrase-climax, it is of course understood that this invariably occurs either at or near the end of the

¹ Strictly speaking, there can be no Rubato “on one note”; but we may draw attention to a single note by employing a Rubato before or after it. See note, page 74.

phrase. This may seem unnecessary reiteration after what I have shown to be the nature of a phrase—its consisting always of a progression of notes *leading up to* a cadence, but I have found it gravely and deliberately mis-stated in some “instruction” books, that the accent of a phrase “is always at its beginning”—than which there could not well be anything further from the truth, musically! Possibly this mistake has arisen from the fact that the first note of a phrase *does* sometimes require a slight accent, to give the effect of “good attack.” Such slight accents are, of course, in the nature of “cross accents,” since they go against the feeling of the straight-on progression. They are required especially where the phrases and motives, etc., commence against the grain (as it were) of the music, as so often found in BACH, and the older Masters. Thus:

Fallacy
regarding
position of
phrase-
climax.

Attack of
phrase.

EXAMPLE 29.



But always bear in mind, no amount of such “attack” on a first note must be allowed to mar or weaken the sense of *progression* of the phrase towards its climax or crisis.¹

¹ Most of the music-material of the old Masters commences on an unaccented portion of the rhythm. This rule often holds good even in the case of apparent exceptions. For instance, the Fugue from Bach’s Chromatic Fantasia starts *on* a bar-line so far as eye-appearances are concerned. It is written in $\frac{3}{4}$ time, but the theme is truly in $\frac{3}{8}$ time, and starts with a half-bar of this time; hence there is here no exception after all.

Various forms of Rubato illustrated: (I) The more usual or "leaning" Rubato.

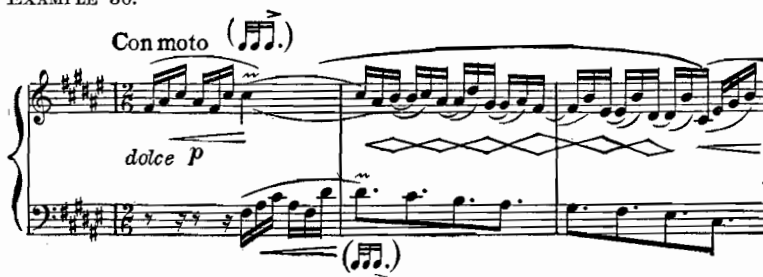
All means of expression must always be applied solely to express music.

We will now take some illustrations of these various forms of Rubato. First, we will take the single note emphasis, and as so many people imagine BACH to be Rubato-less, let us take his prelude in F sharp. The Rubato is here very slight indeed. Probably, if I did not point it out, you would not notice its influence — but then, Rubato-inflections should never be applied so disproportionately as to become noticeable *as such*.¹

The fact is, that *no means* of expression (whatever their nature) must ever be allowed to force themselves upon the attention. They must never be applied so coarsely as to become obvious as such to the listener.² I will now play the first bars of this Prelude, first *with* these so very necessary (although slight) rubato-inflections, and then *without* them, and you will realize how dismal and unmusically stiff the effect is without Rubato — although it is Bach!

The lecturer here played the first bars of Bach's Prelude in F sharp, with the proper (very slight) Time-leanings on the tied notes, thus: .

EXAMPLE 30.



¹ Some even object that slight *Rubatos*, such as here in question "are *not Rubato* at all, but are merely a 'leaning' on a note"; these quite forget that unless every such leaning is *rectified elsewhere in that phrase*, it must inevitably imply a playing out of time. Every such leaning, therefore, necessarily implies a true Rubato, although of very slight extent. See note, page 72.

² See pages 79 and 120-1, and Section VI.



He then played the same bars without these inflections, showing how "wooden" the effect is without them.

Here it is well to call your attention to another matter, although it forms a digression from that upon which we are immediately engaged. Notice that the *tone-amount* itself must also vary with the varying lengths of the notes in such a passage. To be more explicit:

Tone-amount varies with note-length in uneven passages.

When you play such a passage as we have just had, a passage consisting of notes differing as to their time-size — notes of various lengths — not only is it necessary to treat it as we have done (giving slightly longer time to the longer notes, and slightly shorter time to the shorter notes than is their due according to the written text) but we must also apply the *tone-inflections* in the same way — the shorter notes must be somewhat shorn of their tone. In this way we shall more nearly approximate the general effect to that of a sustaining instrument.¹

¹ For we find, with a sustaining instrument, such as the Voice or Violin, etc., that the longer notes are bound to impress themselves upon the ear far more than do the shorter ones, since the full volume of tone may continue throughout the duration of the note on such instruments, whereas with the Pianoforte the beginning of a note necessarily forms a far stronger effect than does the remnant of tone which we, as pianists, imagine to be a "sustaining" of the note — for even with the best carrying, singing-touch quality, there remains always a certain amount of percussion in every Piano-sound. See also page 110 on Phrase-continuations.

In a slow movement, the tone for the quicker notes is reduced from a high background.

Now, in a slow movement, these tone-variations should be thought of as being (for the quicker notes) a *cutting away* of the tone from the general tone-level, as in the Bach excerpt just given. That is, the main body of the passage here requires a considerably high volume of singing-tone, but we must relax from this normally high tone-level wherever the shorter notes occur. Listen to this Bach-passage once again, and observe now the process — the *mechanism* — of making a passage sound *un-mechanical*. I will play it slower, so that you may observe it better:

(The lecturer here repeated Exp. No. 30, pointing out the tone-inflections he used, all being of course quite *minute* inflections.)

To prove the case, hear it once again, given with all the notes of same tone value, and notice how ugly this sounds:

(The lecturer here once again played the bars in question, but without tone-inflections, after which he repeated them with the proper rendering.)

In a quick movement the reverse applies.

In a quick movement we have to do just the opposite. Here, instead of thinking the passage as of a large tone-level and cutting away (or lowering) the tone for the unimportant and quicker notes, we must proceed on a much lighter foundation, and must, in such quicker passages, *add* tone to this normally *low* tone-level wherever the accented notes occur; for instance the following, from Beethoven's "Waldstein" Sonata. (See Exp. 31, next page):

(The lecturer first played Exp. 31 with the requisite accentuation, then played it with its soft foundation touch only, then again with the proper accents added to this basis. He also gave as an example a few bars from his own Concert-study, "Bravura.") See Exp. 32, page 78.

EXAMPLE 31.

(From Beethoven's "Waldstein" Sonata.)

The musical score consists of five systems, each with a treble and bass staff joined by a brace. The notation includes various dynamic markings and articulation symbols.

- System 1:** Treble staff begins with *p* and *cresc.* (crescendo). Both staves end with *f* (forte).
- System 2:** Treble staff begins with *f* and *p* (piano), with a dotted line indicating a transition. Bass staff begins with *f*.
- System 3:** Treble staff begins with *p*. Bass staff has *p* markings with dotted lines.
- System 4:** Treble staff begins with *p*. Bass staff has *p* markings with dotted lines. The system concludes with *f* in both staves.
- System 5:** Treble staff begins with *f*. Bass staff has *f* markings. The system concludes with *f* and *p* (piano) with *etc.* (etcetera).

(From "Bravura," — *Tobias Matthay*.¹)

EXAMPLE 32.



Here again, the accents are superimposed upon a light foundation.

But let us come back to our *Rubato* illustrations:

Further
examples of
"leaning"
Rubato.

We had an example from Bach, let us now take one from Beethoven. The first subject from the last movement of the "Waldstein" Sonata will serve quite well, although the required *Rubato* is here quite slight and subtle. The beginning of the phrase here requires emphasis towards the second of the two *G*s, while the further progress of the phrase towards its little climax must nevertheless be made clear. Now we should destroy the suave character of the tune, if we tried to show both of these points purely by tone emphasis. This would make it sound rough and raw, thus:

EXAMPLE 33a.



Hence we are compelled to resort to a slight — a very slight — *Rubato*. We must give a very slight Time-leaning towards and upon the second of the two *G*s, and the extra time thus spent we must make up during the next three melody notes, so that we may regain the pulse precisely on the *C* — the climax-note of the motif. Our return to the pulse at this point will sufficiently emphasize it without any undue tonal

¹ By permission of Messrs. Ricordi.

emphasis; and it will incidentally also connect the two short strains into one eight-bar phrase, thus:

EXAMPLE 33b.



For a *Rubato* of slightly longer extent in Bach and Beethoven let us take the second subject of Bach's Prelude in F minor (from the "48"), and the opening of the *Allegretto* from Beethoven's "Moonlight" Sonata. I will first play these, trying to give them their proper feeling *without the use of Rubato*, and you will see that it is impossible. I will then play them both with the proper Time-curves (or *Rubato*) and you will see how the phrases at once leap into life:¹

EXAMPLE 34.



¹ The reader, in trying to carry out these *Rubato* and Tone-inflections, must always remember that they are to be extremely slight and subtle. They must never become noticeable *as such* except to the analysing ear. See the remarks on this head on page 74. Remember Chopin's illustration to his pupil; he first blew gently upon the candle in front of him, **Rubato inflections must always be carried out subtly.**

EXAMPLE 35.



Example of
Rubato
analysis.
Two bars of
Chopin's
Ballade in
A flat.

As an example of a *Rubato* of similar character, but more marked, let us consider for a moment the opening phrase of Chopin's Ballade in A flat. How rarely, indeed, is this phrase given satisfyingly, or convincingly, even by the best players, except by sheer accident! This uncertainty as to success simply arises from the fact that the required *Rubato* has not been properly analysed nor understood. It is, therefore, worth while to analyse the *Rubato* here required, as it affords a striking instance of the supreme importance of accurate study and analysis of this process.

The *Rubato* in question occurs within the first two bars, but I will play four bars to give the phrase completeness, and will first play it without any vestige of *Rubato*, and then with it, thus:

EXAMPLE 36a.

Allegretto



and, making it flicker, remarked: "See, that is *my Rubato*," he then blew the candle out, adding: "and that is *your Rubato*!" See note, p. 99.

The Time-signature $\frac{6}{8}$ is misleading, it should really have been notated as $\frac{1\frac{2}{2}}{8}$; and the piece, therefore, begins with a *half*-bar of this $\frac{1\frac{2}{2}}{8}$ time. The structure of the phrase is a swing towards the tonic chord; the opening E flat is therefore not a down-beat (as it looks in the original) but is an *up-beat* — a *syncopation* in fact; and as such it requires considerable tone and time-emphasis. This time-leaning on the opening E flat is the *cause* of the Rubato, and we are compelled to hasten the remaining quavers (eighth-notes) of that bar, so that we may swing back to the Pulse at the crisis of the phrase — the A-flat chord at the true bar-line.¹ In this way we are able to draw attention to it without undue tonal emphasis, while yet rendering clear the true rhythmical swing. This chief point of the phrase is followed by a “feminine” ending, and here again a very slight Rubato assists its rhythmical swing; and thus, you see, we really have a *double* Rubato in this simple little phrase. Here is another similar Rubato, from the same work:

EXAMPLE 36b.



And here is yet another such “leaning” rubato, but in a quicker movement:

¹ The true bar-lines are here marked bolder than the false ones.

(From "Elves" — *Tobias Matthay*.¹)

EXAMPLE 36c.



For a somewhat more extended Rubato — a whole four-bar phrase with a time-swell in the middle of it — let us take Schumann's "Träumerei":

EXAMPLE 37.



Remember, such Time-curves must only be gentle wavelets, they must not be in the nature of earthquakes! See Note to Exp. 34, page 79.

Example of
Rubato
showing
trend of
phrase in
spite of a
dim.

To show you now how a Rubato will enable us to make clear a phrase-climax, or a main bar-line accent, *in spite of a diminuendo*, I will quote two themes from Beethoven and one from Chopin. See Exps. 38, 39 and 40:

¹ By permission of Messrs. Weekes and Co.

(From Beethoven's Op. 2, in C.¹)

EXAMPLE 38.

(From Beethoven's Op. 31, in G.¹)

EXAMPLE 39.

(From Chopin's Valse in A flat, Op. 34.¹)

EXAMPLE 40.



The three-bar rhythm of Chopin's *Andante Spianato* shows us how Rubato can make a phrase clear, in spite of its commencing with a cross-accent. As before, I will first play this *without* Rubato, and you will see how dead and stodgy

Example of
Rubato
showing
cross-accent.

¹ Without this *slight* Rubato the phrase-accent cannot be shown here, since a crescendo towards it would be inappropriate; whereas its position is easily made clear with the Rubato swinging back to it. But this Rubato is again of a most diaphanous, gossamer type. See pp. 99 and 79.

it remains; I will then add the proper Rubato, when at once again, the phrase becomes alive — becomes vivid.¹

EXAMPLE 41.

(From Andante Spianato — *Chopin*.)



Even in Beethoven we find exemplified this tendency to give a “leaning accent” (*i.e.*, a time or Rubato emphasis) where the notes of a melody are syncopated; for instance, take the second part of the first subject of the Sonata, Op. 90.

Again the
importance
of scanning.

Here, again, you will realize how extremely important it is always to scan each phrase before playing it. How often, indeed, is the beautiful swing of this melody completely ruined, simply because the correct rhythm has not been noticed. Although written in $\frac{3}{4}$ time, it is really in $\frac{6}{8}$ time,

¹ Note that this analysis of the structure of the phrase proves it really to be in $\frac{3}{4}$ time, instead of the $\frac{3}{8}$ originally written. The phrase begins with a cross-accent (or syncopation) delivered on the second bar of such $\frac{3}{4}$ time, and the true phrase-climax is thus thrown on to the first of the next bar.

with the accent always on the alternate (*second*) one of the original $\frac{3}{4}$ bar-lines.

It must therefore *not* be played thus:

EXAMPLE 42a.

(Wrong Accentuation.)

But it should be *accented* thus:

EXAMPLE 42b.

(Correct Scanning.)

Or, in place of such rough tone-accents, it is still better to make this rhythm clear by means of slight rubatos; finally thus:—

EXAMPLE 42c.

(The proper reading.)

Here is a still longer form of "leaning accent."

"Love-phases" No. 3. — Tobias Matthay.¹

EXAMPLE 43.



Rubato
required to
depict
agitation.

This leads me to point out that Rubato is most of all required to enhance emotionally-agitated strains. Indefinite emotions, such as persuasiveness, longing, yearning, etc., all need for their due expression much "give" and curve in their Pulse-progression.² An effect akin to fog, haze or "atmosphere" is thus produced on the ear; for the details here become in a measure veiled, as in an impressionist picture. Much of Brahms, Debussy, etc., needs such blurring by means of the Time-swerve — and also by means of much *over*-pedalling and *half*-pedalling; while Chopin and Schumann are, of course, quite impossible without the constant application of such Time-swerves.³

¹ By permission of Messrs. Joseph Williams.

² Or, in other words, the *elision* (or omission) of the *closely-reiterated* Pulse-throbs, and the substitution of Pulse-throbs recurring at far *longer* intervals of time.

³ Such time-sweriving, however, must be always in the nature of true Rubato, and must never be allowed to deteriorate into time-breaking and rhythmical chaos. Granted, that veiled shapes are beautiful, sometimes even more so than those of clear-cut definition, nevertheless it is the presence of Shape, however veiled it may be, which renders them beautiful.

The cry of some of our young tentative composers that Time and Key

Always
shapeful,
however
veiled the
shapes.