

SYMPOSIUM REVIEW

Biological validation of electron paramagnetic resonance (EPR) image oxygen thresholds in tissue

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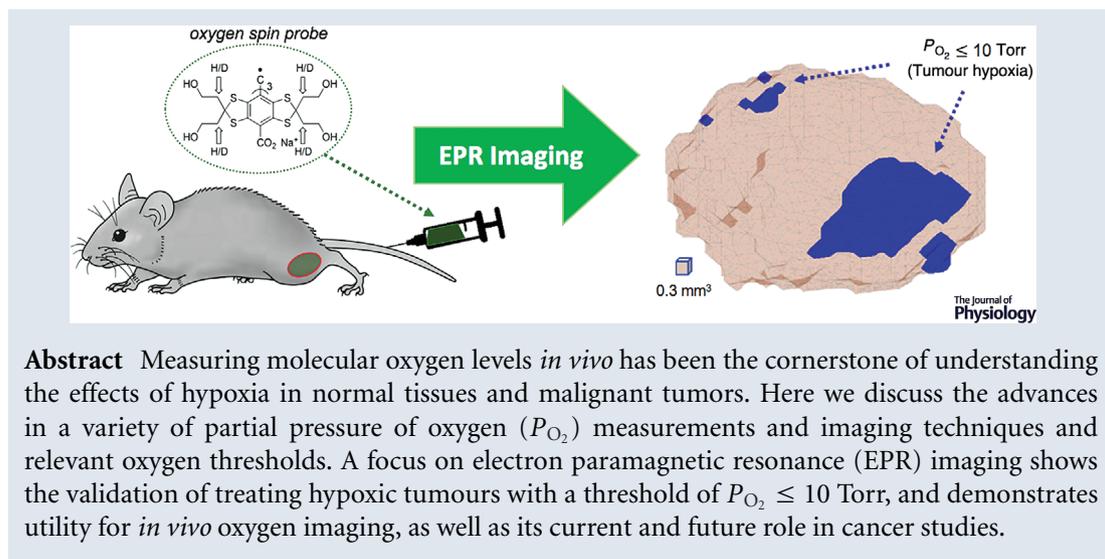
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Edited by: Laura Bennet & Janna Morrison



Abstract Measuring molecular oxygen levels *in vivo* has been the cornerstone of understanding the effects of hypoxia in normal tissues and malignant tumours. Here we discuss the advances in a variety of partial pressure of oxygen (P_{O_2}) measurements and imaging techniques and relevant oxygen thresholds. A focus on electron paramagnetic resonance (EPR) imaging shows the validation of treating hypoxic tumours with a threshold of $P_{O_2} \leq 10$ Torr, and demonstrates utility for *in vivo* oxygen imaging, as well as its current and future role in cancer studies.

(Received 12 February 2020; accepted after revision 26 May 2020; first published online 7 June 2020)

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Abstract figure legend Electron paramagnetic resonance (EPR) is a powerful tool for quantitative imaging of P_{O_2} to study and treat tumour hypoxia. This example shows a preclinical tumour mouse model injected with an oxygen spin probe (OX063-d₂₄) into the tail vein for diffusion throughout the tumour, and the resulting hypoxic regions defined by $P_{O_2} \leq 10$ Torr obtained by EPR imaging.

Inna Gertsenshteyn received a BA in physics from Boston University in 2015. Upon graduation she joined inviCRO, now a Konica-Minolta Company, as an image analyst for drug development studies. In 2017, she joined the University of Chicago as a graduate student in medical physics. Her PhD dissertation with co-advisors Howard Halpern, MD, PhD, and Chin-Tu Chen, PhD, focuses on multimodal imaging of tumor hypoxia using PET, EPR and MR imaging. **Howard Halpern** is both a physicist in high energy physics and a physician in radiation oncology. He has directed major developments of EPR oxygen imaging and is Director of the NIH Centre for EPR Imaging *In Vivo* Physiology. Along with leaders in the field like Dr Peter Vaupel, Ms Gertsenshteyn and Dr Halpern are interested in the physiological effects of tumour hypoxia and how to best treat it.



This review was presented at the 'ISOTT 2019 oxygen transport to tissue' meeting, which took place in Albuquerque, New Mexico, USA, 28–31 July 2019.

Introduction

For over a century, molecular oxygen concentrations in tissues and malignancies of living systems have been found to correlate with various anti-cancer treatment effects, beginning with radiation toxicity (Schwarz, 1909). Low oxygenation in all living systems results in resistance to radiation toxicity (Howard-Flanders, 1958; Thomlinson & Gray, 1955). The enormous complexity of living systems can confound such measurements since they depend on the intrinsic accuracy (i.e. oxygen resolution) of the measurements, the volume to which individual measurements are sensitive (spatial resolution), and the sources of confounding physiological variation that affect the measurement of the molecular oxygen concentrations. Imaging molecular oxygen content further complicates the process.

The partial pressure of oxygen (P_{O_2}) is an absolute measurement, with some uncertainty, of oxygen *in vivo*. As oxygen moves from the blood plasma (a source) to the mitochondria (a sink) by diffusion, a gradient is formed, with pressure lower at the sink than at the source (Wilson *et al.* 2006). The difference in P_{O_2} , and therefore the gradient, increases with the rate of oxygen consumption within a cell. While *in vitro* cellular measurements describe the 50% onset of radiation resistance to radiation at ~ 2.5 Torr (= mmHg), *in vivo* tissue and tumour measurements have clustered about 10 Torr (Vaupel *et al.* 2007; Vaupel & Mayer, 2015). *In vivo*, malignant well-oxygenated cells have P_{O_2} values between 10 and 60 Torr.

It is important not to assign a *universal* threshold of hypoxia to any tissues, cells, microvessels, etc. Relevant thresholds and their onsets vary depending on the physiological process being studied and how those measurements are taken, as demonstrated in Fig. 1. For example, *in vivo* experiments of tissue determine that critical P_{O_2} is between 8 and 10 mmHg, while *in vitro* experiments on cytochromes determine 0.02–0.07 mmHg as the critical threshold. In addition, mean concentrations of ATP, PME, P_i and pH rise and fall differently as a function of P_{O_2} (Höckel & Vaupel, 2001).

Electron paramagnetic resonance (EPR) is a new imaging modality that accurately measures P_{O_2} , and has shown promising results to optimize preclinical oxygen image-guided radiation therapy. A goal of this article is to demonstrate the basics of EPR imaging and other oxygen imaging modalities such as positron emission tomography (PET), and the relevant P_{O_2} thresholds in tissues.

Measurement and imaging methods

Fundamentals of EPR imaging

The relationships between energy, the magnetic moment, and the magnetic field are similar in electron paramagnetic

resonance (EPR) and nuclear magnetic resonance (NMR) in their dependence on the particle mass. Because the mass of an electron is approximately three orders of magnitude smaller than the mass of a proton, the magnetic field strength of an EPR imager is reduced to 9–40 mTesla, rather than the 1.5–9.4 Tesla field strength used in magnetic resonance imaging (MRI). Therefore, EPR imagers are significantly lighter, more affordable to build and maintain, and have no possibility for harmful or even fatal accidents compared to MR imagers (Panych & Madore, 2018). Nevertheless, EPR P_{O_2} imaging is accompanied by T2-weighted MRI, which provides high resolution anatomical contrast to define the boundaries of tumours, or other structures of interest, registered with the EPR P_{O_2} images.

In MRI, the main magnetic field aligns the abundant water hydrogen nuclear spins in one direction as a net magnetization. Without this main magnetic field, the proton spins are randomly oriented. A second magnetic field disturbs the proton spins by a pulse of electromagnetic energy, which induces transitions between energy levels. Because the lower level has more members, there is a net absorption of energy creating a population excitation (Pooley, 2005).

A pulse response imager measures the time it takes for those disturbed spins to relax to their undisturbed orientations (also called the relaxation rate) over microsecond time intervals with 10's of kilohertz repetitions (Currie *et al.* 2013). The excitations take place in a series of magnetic field gradients, which causes the absorption to occur at different, identifiable locations and transforms that information into an image (Lauterbur, 1973; Bottomley & Andrew, 1978).

Unlike MRI, EPR imaging measures unpaired electron spins of dissolved and diffusible molecules (Gallez *et al.* 2004). These are scarce in biological systems since free metals like iron and copper catalyse chemical reactions. These transition metal unpaired electron spins are bound in carrying proteins or enzymes, and are not measurable at low EPR frequencies at biologically relevant temperatures (Epel & Halpern, 2013). *The exception is molecular oxygen* (O_2), which is free to diffuse in living tissues. O_2 bears two unpaired, rapidly relaxing electrons. The relaxation is too fast to directly measure; therefore, an oxygen spin probe must be introduced into the system in question (Gallez *et al.* 2004; Biller *et al.* 2011).

Each spin probe (e.g. OX063-d₂₄ in Fig. 2A) contains a relatively stable unpaired electron that interacts with the two unpaired electrons of oxygen molecules (Matsumoto *et al.* 2004). The EPR imager can detect the relaxation rates of the spin probes, and how their relaxation rates change in the presence or absence of oxygen (Bobko *et al.* 2009; Epel *et al.* 2014). There is a linear relationship between the relaxation rate of the spin probe and P_{O_2} , where low

P_{O_2} corresponds to a low relaxation rate, and high P_{O_2} corresponds to a high relaxation rate (Fig. 2B).

Overall, the signal-to-noise ratio (SNR) of the system varies with the number of spins in that voxel, and higher SNR leads to a higher spatial resolution of the image. Currently, the range of spatial resolution in EPR is 1–5 mm with the higher resolution at lower P_{O_2} .

In a 250-MHz (a low frequency) pulse EPR imager, a trityl spin probe (OX063- d_{24}) as shown in Fig. 2A is useful for oxygen imaging *in vivo* because of its strong signal and low toxicity (Kuzhelev *et al.* 2015; Epel *et al.* 2019). Once injected into the mouse tail vein, the probes diffuse in the extracellular fluid compartment of the tumour, where the clearance half-time is 20–30 min, while the clearance half-time in the blood stream is 2–5 min (Epel *et al.* 2010) due to enhanced permeability and retention (Maeda *et al.* 2000). The original *in vivo* pulse EPR P_{O_2} imaging technique is due to the group of Murali Krishna at the National Cancer Institute (Bourg *et al.* 1993). Recent *in vivo* spectral spatial images using rapid scan EPR have been developed by the Khrantsov group at West Virginia University (Tseytlin *et al.* 2019).

Multiple projections of the spin probes' relaxation rates are acquired in the EPR imager to generate a

three-dimensional image (Fig. 2C), where each voxel corresponds to a P_{O_2} value. The heterogeneity of hypoxia within the tumour shows the importance of imaging the entire volume, rather than just one- or two-dimensional measurements (Williams *et al.* 2002). Fiducial-based registration of the EPR image to an anatomical CT or MRI image is necessary to define anatomical boundaries.

In general, EPR imaging has the advantage of a high quantitative accuracy, with uncertainty less than 1 Torr in the range of 1–10 Torr (Epel *et al.* 2014; Epel *et al.* 2019). This makes EPR imaging ideal in differentiating hypoxic *vs.* well-oxygenated regions. EPR imagers also have different penetration depth abilities depending on their frequency, which ranges from 0.2 to 1 GHz.

Imaging at a lower frequency, such as 250 MHz, has an 8 cm penetration depth (Roschmann, 1987), which is advantageous to quantitative imaging of larger animals (Halpern *et al.* 1994; Epel *et al.* 2010). High frequency EPR oximetry at 1.2 GHz has a penetration depth at 5–10 mm, which is limited to small animal imaging or peripheral anatomy (Swartz & Clarkson, 1998). The tradeoff, however, is that the sensitivity of an EPR instrument increases with increasing frequency as $\nu^{0.8}$ (Halpern *et al.*

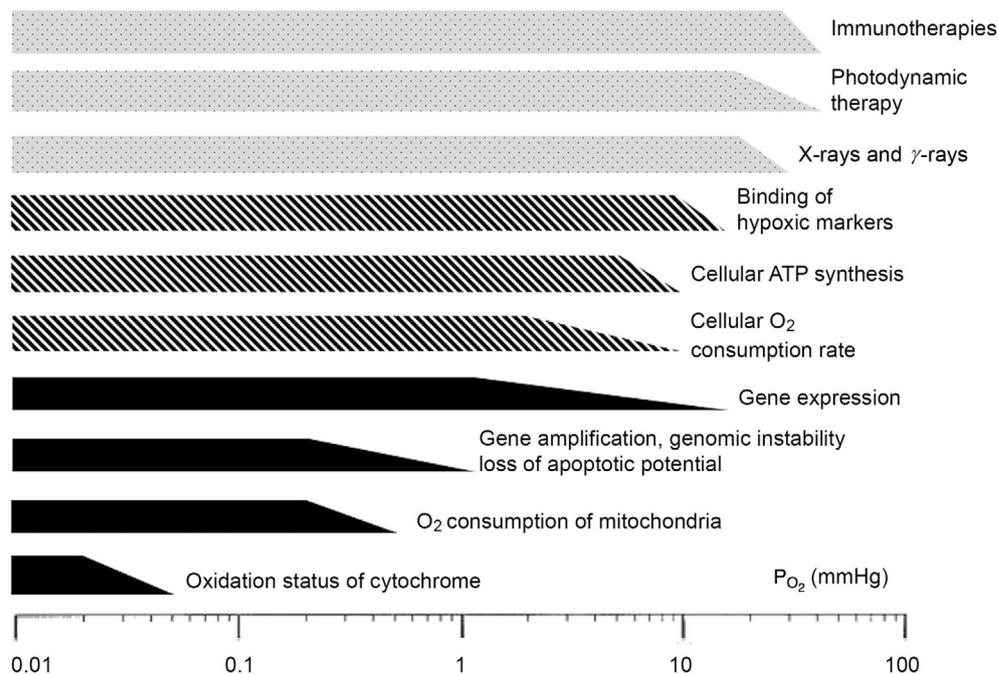


Figure 1. Schematic of critical oxygen levels that characterize the onset of hypoxia

Below these thresholds, functions of tissues, cells and organelles change. Filled bars represent mechanisms at the organelle and molecular levels; hatched bars represent cellular functions; open bars represent therapy forms. Reprinted with permission from the *Journal of the National Cancer Institute* (Höckel & Vaupel, 2001).

1994; Rinard *et al.* 2002), so the SNR is sacrificed for a higher penetration depth.

P_{O_2} measurement methods

It is possible to obtain a larger penetration depth (8 cm or more) with phosphorescence quenching at optical frequencies with a more invasive fiberoptic needle probe or a needle electrode. Both the OxyLite P_{O_2} system (Oxford Optronics, UK) (Seddon *et al.* 2001) and the polarographic microelectrode measures a local P_{O_2} (Braun *et al.* 2001).

Eppendorf polarographic needle electrodes measure P_{O_2} within tissues, and have been integral in demonstrating the heterogeneity of oxygenation in human tumours (Höckel *et al.* 1991; Vaupel *et al.* 1991; Okunieff *et al.* 1993; Brizel *et al.* 1994). Eppendorf needle electrodes have also extensively been used to determine that, in tumour tissues, the threshold of 10 Torr predicted malignant progression in cervical cancer (Höckel *et al.* 1993, 1996). These studies emphasized the importance of personalized treatment of tumours, even 30 years ago. All these needle probes, however, are invasive and only obtain measurements of local P_{O_2} .

An optical method of imaging P_{O_2} uses phosphorescence quenching of an infused porphyrin molecular sensor. The phosphorescent lifetimes of various probes were found to depend on the oxygen concentration (Vanderkooi *et al.* 1987). The advantages of oxygen measurements by phosphorescence includes millisecond response time with accuracy down to 0.1 Torr in low-oxygen pressures – a wide dynamic range, and applicable to *in vivo* tissue measurements (Wilson *et al.* 2006).

Using phosphorescence quenching, P_{O_2} maps can be imaged in mice using pulsed trans-illumination. The phosphorescent probe decays over time, and the phosphorescence decay constant is calculated for each pixel fit to a single exponential. A two-dimensional P_{O_2} image showed low P_{O_2} values were present in the same tumour locations within the mouse (Wilson *et al.* 2006). This demonstration of quantifying and imaging oxygen is not in 3D, though tomographic imaging is theoretically possible (Apreleva *et al.* 2006).

The absorption of optical frequency limits this technique to window chamber models, invasive direct microscopy or images of very superficial structures.

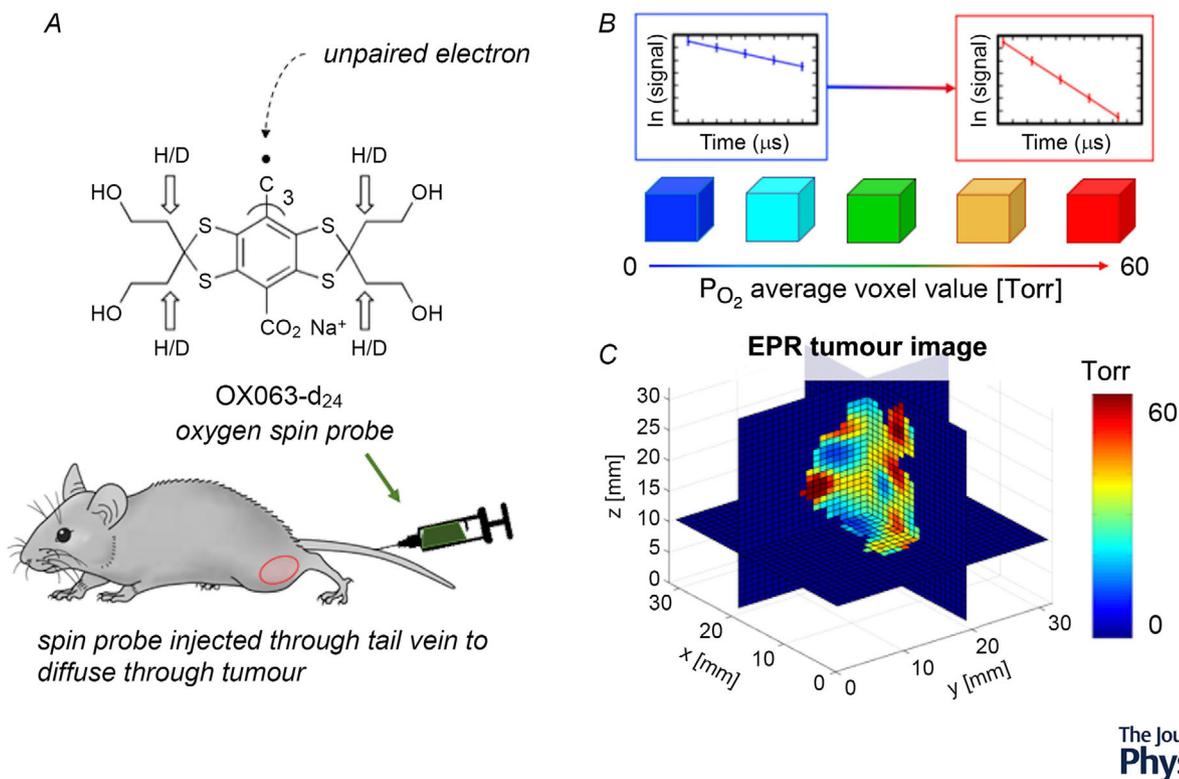


Figure 2. Quantitative P_{O_2} imaging with spin lattice relaxation EPR

A, chemical structure of oxygen spin probe OX063-d₂₄, which is infused into the mouse via tail vein. B, in the EPR spin-lattice relaxation signal, the relaxation rate of the spin probe is higher for high P_{O_2} and lower for low P_{O_2} . C, tomographic reconstruction shows a 3D quantitative distribution of P_{O_2} in the tumour in isotropic voxels of $0.67 \times 0.67 \times 0.67 \text{ mm}^3$, where P_{O_2} voxels ≤ 10 Torr show hypoxia (blue).

Qualitative hypoxia measurements with PET

Several radiotracers are available to image with PET to assess hypoxia, though the accuracy of these tracers has been questioned for decades. The threshold of uptake to identify hypoxic voxels varies greatly depending on several factors, including but not limited to the radiotracer's injection method, uptake unit, time imaged post-injection, and quality of the PET machine (Raleigh *et al.* 1996; Peeters *et al.* 2015; Silvoniemi *et al.* 2018).

One of the most widely used radiotracers for clinical cancer studies is ^{18}F -fluoromisonidazole (FMISO), a nitroimidazole compound labelled with F-18. The F-18 radionuclide has a 110-min half-life, and the misonidazole biological half-life is 50 min (Jerabek *et al.* 1986; Grierson *et al.* 1989). Once the nitroimidazole enters a hypoxic cell, it can undergo reduction and consequent local molecular binding. This binds the nitroimidazole inside the cell. In normal well-oxygenated cells, the reduced nitro group can be oxidized back into the original substance by O_2 and diffuse away (Xu *et al.* 2017).

Within 2–4 h, FMISO accumulates in hypoxic cells and the radionuclide can be detected by PET imaging systems. FMISO has non-specific accumulation in normoxic colon tissues or no accumulation in pancreatic tumours, however, so FMISO is a tumour-type dependent radiotracer subject to confounding variation (Roels *et al.* 2008; Segard *et al.* 2013).

FMISO binds to hypoxic cells in the range between 2 and 10 Torr, because chronically hypoxic cells do not appear to retain the tracer (Rasey *et al.* 1987). There is also controversy between whether the pharmacokinetics and distribution of FMISO are affected by the immature and disorganized tumour microvasculature, which could also prevent the tracer from reaching hypoxic regions far from capillaries.

Early *in vitro* cell work showed a sigmoidal relationship between FMISO uptake and oxygen concentration, where uptake increased at low oxygen (Rasey & Evans, 1991). The point of inflection on this sigmoidal curve would indicate the threshold of hypoxia in terms of FMISO uptake and P_{O_2} levels, which is currently under investigation at the Halpern laboratory in collaboration with the Kao laboratory in a home-built hybrid PET-EPR imaging system (Kim *et al.* 2019).

FMISO is used in clinical trials for imaging hypoxic tumours and planning radiation treatments based on the FMISO uptake. However, its clinical usefulness has not yet been shown to be significantly more effective than fluorodeoxyglucose (FDG), which accumulates in cells with high glucose metabolism. Distinction in titles is that EPR measured $p\text{O}_2$ quantitatively, while PET tracers accumulate in hypoxic cells. The image can be quantified in terms of standard uptake value (SUV), or the ratios of

tumour to blood and muscle. Variation in PET imagers across and within institutions also contribute to a lack of standardization.

In a recent publication, the ratio of FMISO radioactivity levels in tumour to blood was found to be 1.43 ± 0.50 and 1.32 ± 0.12 at 2 and 4 h post-injection, respectively; the tumour to muscle ratio was 1.31 ± 0.52 and 1.12 ± 0.30 at 2 and 4 h (Masaki *et al.* 2015). Finally, a recent French FMISO consortium study found no benefit to directing increased radiation dose to hypoxic tumour regions defined using FMISO PET (Vera *et al.* 2017, 2019). This high variance hints at the extensive future work that must be done to determine whether there is an absolute threshold of FMISO uptake for treating tumour hypoxia as there is with EPR imaging ($P_{\text{O}_2} \leq 10$ Torr).

A major difference to keep in mind between the nitroimidazole for PET imaging, and the trityl spin probe imaged with EPR, is that the nitroimidazole binds intracellularly where the FMISO accumulates. In contrast, the spin probe diffuses in the tumour extracellularly and is simply measuring the relaxation rates of the exogenous spin probes in the presence or absence of oxygen. The extracellular localization may explain the lack of animal toxicity when using appropriate amounts for imaging.

Relevance of thresholds for P_{O_2} measurement and images

Determination of a threshold separating hypoxic from well oxygenated tissue or tumour regions is a bivariate process: either the tissue P_{O_2} is above or below the threshold. This is similar to the process by which human X-rays are determined by a radiologist to be either normal or abnormal, the latter prompting either further radiographic study or medical intervention. This binary process originated during World War II using radar or sonar signals to separate benign objects from dangerous objects. It is commonly referred to as receiver operator characteristic (ROC) analysis (Metz, 1978).

One can apply a form of ROC analysis to a continuous characteristic of tissue, in this case the P_{O_2} of each voxel within the image. A crucial component of ROC analysis is choosing a biologically relevant threshold of a property, e.g. local therapy resistance, the local level at which a hypoxia responsive protein is produced, therapy sensitivity, or the level at which the hypoxia responsive protein ceases.

The mathematical model of this situation assumes that there are two populations of voxels, each with its own distribution and P_{O_2} values. It is assumed that there is an optimal P_{O_2} threshold to distinguish between the two populations. In the case of using a P_{O_2} image to define this, it is also assumed that there are macroscopic tissue regions of contiguous voxels that have the same property, such as radioresistance.

One can estimate the fraction of hypoxic voxels in core samples of tumour tissue for a given P_{O_2} threshold using the EPR P_{O_2} image, and measure the concentration of a hypoxia-associated protein such as the vascular endothelial growth factor (VEGF) in each biopsied sample (Elas *et al.* 2011). Plotting a curve of the fraction of regions included from the definition of hypoxia *vs.* the fraction included at a given level of hypoxia protein provides an example of the ROC curve.

A central parameter of ROC analysis is the area under the curve (AUC). Increased AUC describes a more significant distinction provided by the threshold and improved prediction accuracy.

In preliminary analysis of mouse core biopsy specimens, the selection of a P_{O_2} threshold of 10 Torr provided the highest AUC correlation with hypoxia inducible factor-1 (HIF-1) in the core biopsy specimens. Interestingly, the AUC maximum for VEGF was 30 Torr, confirming previous analysis (Elas *et al.* 2011). Further development of applying ROC analysis to determine optimal thresholds for various hypoxia-related protein concentrations is ongoing.

EPR oxygen imaging for cancer therapy

In the first complete study of radiation dose painting to treat hypoxic mammalian tumour regions with a boost of radiation, a low-frequency 250 MHz EPR imager with pulsed spin-lattice relaxation oxygen imaging was used to image P_{O_2} (Epel *et al.* 2019). A threshold of $P_{O_2} \leq 10$ Torr was used to define tumour hypoxia.

Currently a typical human clinical treatment plan radiates an entire tumour with one or fractionated doses with homogeneous dose distribution. By applying a boost (extra radiation) to hypoxic cells with a higher dose, the treatment would spare healthy surrounding tissue. This is referred to as dose painting, and remains controversial in the field because of a history of increased radiation complication due to unnecessary ‘hot spots’ in the radiation dose distributions, particularly to critical structures necessary to maintain good quality of life.

Despite this clinical controversy, few preclinical experiments have tested the efficacy of dose painting hypoxic regions. Based on EPR oxygen images, the Halpern laboratory has radiated two randomized groups of similar distribution in tumour size and hypoxic fraction. In one group, a radiation boost was delivered to hypoxic tumour regions (Fig. 3A) compared to a boost of equal volumes to well-oxygenated tumour regions (Fig. 3B). Tumours were grown in the leg gastrocnemius muscle of C3H mice to radiobiologically relevant size for imaging and radiation treatment, and were observed for tumour recurrence. This study has been completed and published (Epel *et al.* 2019) in FSa fibrosarcoma tumour mouse models and repeated for confirmation in MCa4 mammary adenocarcinoma tumour mouse models (H. Halpern, *in press*).

These EPR studies demonstrated a significant increase in tumour control ($P < 0.05$) in two mammalian tumour types using Kaplan-Meier survival analysis, one of which has been published and is shown in Fig. 3C (Epel *et al.* 2019). Of note, the significant difference was obtained in a randomized experiment with a total of 54 animal

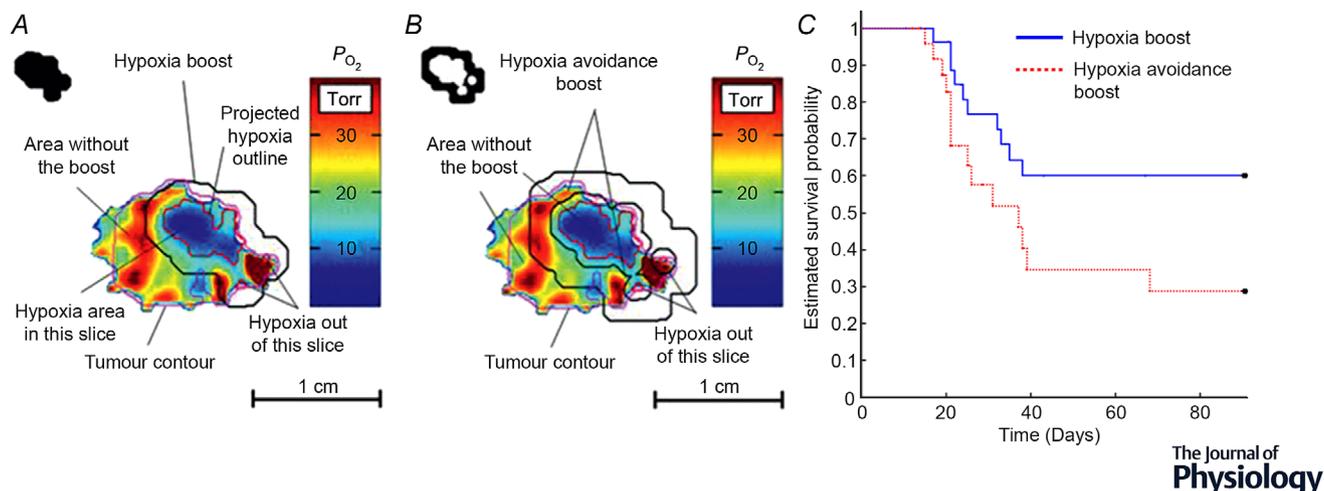


Figure 3. Method and results boosting hypoxic or well oxygenated tumor from EPR P_{O_2} images
A and B, radiation treatment plans and delivery schemes for hypoxia boost (A) and hypoxia avoidance boost (B). The selected tumour slice is a quantitative P_{O_2} map, as indicated by the colour bar. Upper left corners display the block shape apertures to deliver the dose beam. C, the resulting Kaplan-Meier survival (tumour recurrence) probability using a competing risk model with log-rank analysis for fibrosarcoma tumour mouse models, showing a significantly higher survival probability for hypoxia boost treatments ($P < 0.05$). Figure reproduced with permission from *International Journal of Radiation Oncology, Biology and Physics* (Epel *et al.* 2019).

subjects. This demonstrates the efficacy of dose painting by specifically targeting hypoxic tumour regions. In humans, these hypoxic regions are less likely to include the critical tissues and organs necessary to maintain a high degree of function.

In radiation treatment, >98% of hypoxia was targeted, with the dose map conforming to the heterogeneous hypoxic regions. A previous study that only targeted 85% of hypoxia failed, which underscores the strength of hypoxic cancer cells and the importance of accurately targeting them.

This work demonstrates a biological endpoint: improved local tumour control based on the EPR P_{O_2} image. It is the first demonstration of improved control in a mammalian tumour after over a century of knowing about hypoxic resistance. It validates several issues including the use of the 10 Torr tumour threshold, the ability of EPR P_{O_2} image to define it and finally, the effectiveness of delivery of the hypoxic boost within 2 h of obtaining the P_{O_2} image.

Conclusions

This year, *The Journal of Physiology* celebrates the passage of a century since Krogh published in *The Journal* his definition of the size of the drainage region of a capillary before onset of metabolism induced hypoxia and the definition of a P_{O_2} threshold (Krogh, 1919).

As previously noted, there are several P_{O_2} thresholds that have biologically relevant consequences. An important threshold is the onset of tumour resistance to cytotoxic tumour therapy, particularly radiation. EPR P_{O_2} images appear to be, in two preclinical tumour models (Epel *et al.* 2019; H. Halpern, in press), able to define radiation resistant regions that with extra radiation doses improve tumour control relative to increased radiation to oxygenated tumour portions. That there are targetable macroscopic resistant regions in these preclinical models is of significance.

Much work remains to provide technology for human application and demonstration of the safety of the use of the spin probe in human subjects. If this is borne out, we must demonstrate the existence of such macroscopic, targetable regions in human tumours. Only then can we provide a major path to the improvement of the therapeutic index in radiation delivery.

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Additional information

Competing interests

US patents 8,664,955 B1, 9,392,957 B1, 10,551,450 B2, and 10,568,537 B2 were recently awarded to H.H., who is also a member of a start-up company O2M to market to P_{O₂} imaging technology. Other authors have no competing interests.

Author contributions

I.G. and H.H. designed this work and wrote the manuscript with contributions by M.G. and P.V. of analysis and interpretation of concepts for the work. All authors have either drafted or critically revised the work. All authors have approved the final version of the manuscript, and agree to be accountable for all aspects of the work reviewed in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors qualify for authorship, and all those who qualify for authorship are listed.

Funding

This work was supported by the National Institute of Biomedical Imaging and Bioengineering grant numbers T32 EB002103 and P41 EB002034, and by the National Cancer Institute grant numbers R01 CA236385, R01 CA098575, and P30 CA014599.

Acknowledgements

We would like to thank Mellissa Grana for her proofreading and suggestions in this report.

Keywords

electron paramagnetic resonance, hypoxia, oxygen sensing