

# Auto-Invest Enrollment Form

The Sun Life Prosperity Funds, referred to as "Funds," are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life Financial group of companies.

In this form, *you* and *your* refer to the investor/company's authorized signatory, while *we*, *us*, *our*, and the *Company* refer to SLAMCI.

**Purpose of the Form:**

This form allows you to invest regularly straight from your account with any of SLAMCI's participating banks. If you have an existing investment in an eligible Sun Life Prosperity Fund, you may enroll in the Auto-Invest Program for a minimum amount of PHP 1,000.00 only.

**IMPORTANT NOTES:**

You must accomplish and submit the completed form and bank enrollment form to any of our Client Service Centers. Bank enrollment forms are available at our Client Service Centers and at SLAMCI's participating banks. For inquiries, please send an email to [sunlink@sunlife.com](mailto:sunlink@sunlife.com).

Please write legibly by using **capital letters**. Write N/A if question is not applicable. Mark the box(es) with an "X" to indicate your choice(s) then sign the form only when completely filled out.

A General Information			
1. Account Number (e.g. 12345678CF01)	2. Type of Account	Individual	Joint AND Joint OR
3. Name of Investor			
Last Name			
First Name			M.I.

B Auto-Invest Information				
You must have an existing bank account with any of SLAMCI's participating banks to enroll in the facility. Indicate either the 5 <sup>th</sup> or 20 <sup>th</sup> of the month for your scheduled investment date.				
4. Bank	Branch	Account Number	Account Type Current Account Savings Account	
5. Investment Schedule	Frequency (Choose one) Monthly Quarterly		Date Every 5 <sup>th</sup> Every 20 <sup>th</sup>	
<ul style="list-style-type: none"> <li>The chosen bank account will be debited a day prior to the scheduled investment date.</li> <li>The Auto-Invest enrollment will be deactivated after three (3) consecutive failed attempts to debit the chosen bank account.</li> <li>Should the debit date or investment date fall on a non-banking day, the actual debit or investment will occur on the next banking day.</li> </ul>				

**Note:** \* Front-end load are charges to be paid by the investor at the time of investment.  
\*\* Back-end load are charges to be paid by the investor at the time of redemption, based on the number of years invested.

6. Fund of Choice			
Please select	Fund Name	Sales Load Front-end* Back-end**	Investment Amount (minimum of PHP 1,000.00)
	Sun Life of Canada Prosperity Balanced Fund, Inc.		, , .
	Sun Life of Canada Prosperity Bond Fund, Inc.		, , .
	Sun Life of Canada Prosperity Philippine Equity Fund, Inc.		, , .
	Sun Life Prosperity Money Market Fund, Inc.		, , .
	Sun Life Prosperity GS Fund, Inc.		, , .
	Sun Life Prosperity Philippine Stock Index Fund, Inc.		, , .

**C Terms and Conditions**

**Compliance with Foreign Account Tax Compliance Act (FATCA)**

You will inform us within thirty (30) calendar days of any change in your circumstances, including but not limited to citizenship, and submit the applicable documents accordingly.

You likewise acknowledge SLAMCI's statutory responsibility to provide your information, including but not limited to local or foreign tax status, to the appropriate authority.

**Compliance with Data Privacy Act of 2012 (Republic Act No. 10173)**

You acknowledge that SLAMCI, its employees, duly authorized representatives, related companies, third party service providers, and vendors shall process and share your information with any person or organization to (i) service this account, (ii) process transactions and enforce this contract, and (iii) pursue its legitimate and lawful rights and interests and other purposes allowed under privacy laws and regulations.

Your personal data shall be retained throughout the existence of your account(s) and/or until expiration of the retention limit set by laws and regulations from account closure and the period set for destruction or disposal of records. You certify that you have read, understood, and agreed with the declarations and authorizations above, including Sun Life's privacy policy found in <https://apps.sunlife.com.ph/privacy>.

**D Declarations**

- a. Investments under front-end sales load in the Sun Life Prosperity Philippine Stock Index Fund are subject to a 30-day holding period and redemptions during said period will be imposed a fee. Investments under back-end sales load will not incur charges upon investment but are subject to deferred sales fees corresponding to the amount and actual holding period;
- b. SLAMCI will process your investment after the submission of complete requirements and, if applicable, payments have cleared the banking system;
- c. The funds where the investments come from are not from any of the unlawful activities listed in the Anti-Money Laundering Act (AMLA);
- d. Your choice of sales load is your own judgment and you did not rely solely on the advice of your Mutual Fund Representative;
- e. All signatories of the nominated bank account have signed on this agreement;
- f. You may change your nominated bank account, investment schedule and/or Fund by submitting an amendment form to SLAMCI.
- g. You may add to your investment at any time. However, any unscheduled contribution will not be part of the Auto-Invest enrollment;
- h. You commit to notify SLAMCI in writing before closing your nominated bank account;
- i. The nominated bank account shall be subject to the terms and conditions applicable to the deposit accounts for each respective bank. You are fully liable for any charges due to insufficient deposit or any other reason for which you may be held accountable;
- j. All applicable terms and conditions set out in other SLAMCI forms you previously accomplished are applicable to your Auto-Invest enrollment;
- k. In case your mutual fund account is no longer available, your enrollment in Auto-Invest shall be deemed terminated; and
- l. You hold SLAMCI free of all liability and responsibility arising from fortuitous events, termination of this agreement, changes in your chosen bank account, and any and all errors inadvertently committed including those pertaining to your bank.

7. Printed Name and Signature of Investor	8. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
		-	-	
9. Printed Name and Signature of Co-Investor	10. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
		-	-	
11. Printed Name and Signature of MF Representative	12. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
		-	-	

13. Would you like to receive personalized communications and product offers from SLAMCI, Sun Life of Canada (Philippines), Inc. (SLOCPI)?  
 Yes                      No

**For Office Use Only**

14. Date Received	15. Time Received	16. Receiving Department/Office
17. Remarks		