

The Sun Life Prosperity Funds, referred to as “Funds,” are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life Financial group of companies.

In this form, *you* and *your* refer to the investor/company’s authorized signatory, while *we*, *us*, *our*, and *the Company* refer to SLAMCI.

PURPOSE:

This Form is a supplement to the SLAMCI Account Opening Form, Request for Additional Investment, and Request for Redemption/Fund Switch. The Terms and Conditions for Unitholders below supersede the Terms and Conditions in the Account Opening Form, Request for Additional Investment, and Request for Redemption/Fund Switch, and apply whenever you invest, switch, or redeem unit(s) of any applicable Sun Life Prosperity Fund(s).

IMPORTANT NOTES:

You must accomplish and submit the completed form to any of our Client Service Centers or our email at RequestSLAMCI@sunlife.com. For inquiries, please send an email to sunlink@sunlife.com. Please write legibly by using **capital letters** then sign the form only when completely filled out.

A General Information

1.	Account Number (if existing client) (e.g. 12345678CF01)
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B Terms and Conditions for Unitholders

Eligible Investor

Any person of legal age, regardless of citizenship or nationality, may hold units of the Fund(s). Please direct any inquiries about the documents to be submitted and any other account-related matter(s) to SLAMCI or its Mutual Fund Representatives.

Purchase Price

The principal amount of investment shall purchase the corresponding number of Fund units at the applicable Net Asset Value per Unit (NAVPU), less any sales load and taxes. The end-of-day NAVPU will be used for purchases made within the daily cut-off time.

Rights of Unitholders

Unless otherwise modified by the Fund(s), you have the following rights:

- (1) **Notification Rights.** Unitholders are entitled to be notified of any material change to the Registration Statement and the Subscription Agreement. Unitholders shall be notified of any material change(s) to the Registration Statement and/or the Subscription Agreement at least thirty (30) days prior to its effective date.
- (2) **Right of Redemption.** The holder of any units of the Fund, upon its presentation to the Fund or to any of its duly authorized representatives, is entitled to receive by way of redemption approximately his proportionate share of the Fund’s current net assets or the cash equivalent thereof.
- (3) **Dissolution Rights.** Upon dissolution of the Fund, the Fund shall payout the applicable redemption price to its unitholders under a distribution process to be determined by the Board of Directors of the Fund. The Fund shall submit a Redemption Plan to the Securities and Exchange Commission (SEC) prior to its dissolution.
- (4) **Dividends.** The unitholders are not entitled to any dividends. The Fund may allow non-guaranteed unit-paying and/or income-paying feature(s) if so declared and approved by the Board of Directors of the Fund, and accordingly permitted by the SEC.
- (5) **Modification of Rights of Unitholders.** The rights of unitholders of the Fund shall not be modified except by amendment of the Fund’s Registration Statement or Subscription/Redemption Agreement.

Redemption and Fund Switch

SLAMCI shall honor valid redemption and fund switch requests any day it is open for business. A duly-endorsed Certificate of Participation, if issued, shall serve as proof of redemption. Units of the Fund will be redeemed using the NAVPU at the close of business on the same day, less any applicable charges and taxes, if SLAMCI receives the redemption and fund switch request by 12:00 noon. If the request is received after 12:00 noon, the price will be the end-of-day NAVPU of the next business day. NAVPU to be used for switch-in will be based on the applicable settlement date of each Fund. Proceeds from the request, less fees and taxes (if any), shall be available within seven (7) banking days from the receipt of the request.

Right to Accept or Reject/Subscriptions; Adjustment of Account

SLAMCI reserves the right to accept or reject any application at its sole discretion, as determined by the law or its policies. If this application is not accepted in whole or in part, SLAMCI will refund the corresponding investment to the investor without interest, within ten (10) days from the date of submission of this application. SLAMCI is authorized to effect any adjustments on any of your Account(s), to correct any error or mistake committed, without need for any further instrument or consent.

Delivery of Certificate of Participation

A Certificate of Participation shall be issued upon a written request from the investor. Unitholders who do not elect to receive Certificates of Participation have the same rights of ownership as if certificates had been issued to them.

Applicable Laws

This application and related documents shall be governed by and interpreted in accordance with local or foreign laws that apply to SLAMCI. Any legal action arising thereupon shall be filed exclusively before the courts of Makati City, Philippines.

This application is governed by the terms and conditions of the Prospectus of the Sun Life Prosperity Funds.

Compliance with Foreign Account Tax Compliance Act (FATCA)

You will inform us within thirty (30) calendar days of any change in your circumstances, including but not limited to citizenship, and submit the applicable documents accordingly.

You likewise acknowledge SLAMCI’s statutory responsibility to provide your information, including but not limited to local or foreign tax status, to the appropriate authority.

Compliance with Data Privacy Act of 2012 (Republic Act No. 10173)

You acknowledge that SLAMCI, its employees, duly authorized representatives, related companies, third party service providers, and vendors shall process and share your information with any person or organization to (i) service this account, (ii) process transactions and enforce this contract, and (iii) pursue its legitimate and lawful rights and interests and other purposes allowed under privacy laws and regulations.

Your personal data shall be retained throughout the existence of your account(s) and/or until expiration of the retention limit set by laws and regulations from account closure and the period set for destruction or disposal of records. You certify that you have read, understood, and agreed with the declarations and authorizations above, including Sun Life’s privacy policy found in <https://apps.sunlife.com.ph/privacy>.

C Investor’s Acknowledgment and Agreement

You understand, accept, and comply with all the terms and conditions of this form, the Funds’ latest prospectuses, and all the laws/regulations/policies governing the investment.

2. Printed Name and Signature of Investor/Authorized Representative	3. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
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4. Printed Name and Signature of Co-Investor/Authorized Representative	5. Date of Signing (e.g. 08-AUG-2008)	Day	Month	Year
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