

Client Checklist for 2020 Tax Year

Serra & Associates, LLC

Name: _____ Phone: _____ Email: _____

How would you like to be contacted? (Circle one): Phone Email Either

Please enclose signed Engagement Letter and tax documents. New clients include copy of 2019 tax returns.

Yes No Note: If answering 'Yes' with multiple items, please circle/underline which applies.

- ___ ___ Did your marital status change?
- ___ ___ Did anyone in your household have a name or an address change? (Use reverse side)
- ___ ___ Are there any new dependents in your household? (Use reverse side)
- ___ ___ Did you incur any daycare, camp or other child care expenses for a child under 13?
- ___ ___ Did anyone in your household have coverage through Access Health CT (Obamacare)?
- ___ ___ Did you have a Health Savings Account and use all distributions for qualified medical expenses?
- ___ ___ Did you receive unemployment income?
- ___ ___ Did you or a dependent take any college/post-high-school courses or pay on a student loan?
- ___ ___ Did you receive any interest or dividends (or have dividends reinvested) in excess of \$10.00?
- ___ ___ Did you sell any stock, bonds or other property?
- ___ ___ Did you have a foreign relative or a financial account in a foreign country?
- ___ ___ Did you ever possess any virtual currency?
- ___ ___ Did you operate a business, rental property or sell goods as a hobby in 2020?
- ___ ___ Did you receive any estate/trust income, jury duty pay, lottery/gambling winnings or prizes?
- ___ ___ Did you contribute to an IRA, Roth IRA, educational account (529 or CHET) or rollover funds?
- ___ ___ Did you receive money from a pension, IRA or Social Security?
- ___ ___ Did you or your dependent receive money from an educational account (529 or CHET)?
- ___ ___ Did you buy, sell or refinance a house in 2020? (Please provide the closing statement/Form 1099 S.)
- ___ ___ Did you have debt from a mortgage or credit card cancelled or forgiven or file for bankruptcy?
- ___ ___ Did you give any gifts of over \$15,000 or noncash gifts (car, house, etc.) to any individuals?
- ___ ___ Did you install doors/windows, heating systems, H2O heater or Geothermal/solar energy or fuel cell?
- ___ ___ Are you a veteran? If yes, do you collect a military pension? ___ Yes ___ No
- ___ ___ Did you make any out-of-state purchases on which you did not pay CT sales tax?
- ___ ___ Did you pay any estimated tax payments for 2020? (Use reverse side)
- ___ ___ Did you receive any economic stimulus payment (Notice 1444)? **Amount Received:** \$ _____
- ___ ___ Were adjustments made by the IRS or any state to any prior 3 years income tax returns?
- ___ ___ Would you like any refunds directly deposited? Bank routing and account numbers are required.
- ___ ___ Do you have questions regarding Retirement Planning?

_____ Initial here if Serra & Associates can confirm CT estimated tax payments with the CT DRS.

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New Client/ New Dependent Information for the 2020 Tax Year:

<u>Name</u>	<u>Date of Birth</u>	<u>Social Security Number</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Address Change:

Estimated Tax Payments: *(Please provide dates and amounts.)*

	<u>Federal</u>		<u>State</u>	
<u>Due Date</u>	<u>Date Paid</u>	<u>Amount Paid</u>	<u>Date Paid</u>	<u>Amount Paid</u>
04/15/2020	_____	_____	_____	_____
Was this a payment toward your 2019 taxes?				
07/15/2020	_____	_____	_____	_____
09/15/2020	_____	_____	_____	_____
01/15/2021	_____	_____	_____	_____

Use the lines below to further explain any 'Yes' answers to questions on the Checklist:
