



# Christopher D. Warren

## NYC Managing Partner

Litigation | Commercial Litigation | Financial Services

White Collar Criminal Defense | Blockchain Offerings,

Cryptocurrency Defense, & Investigations

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**SCARINCI | HOLLENBECK**  
ATTORNEYS AT LAW

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### BIOGRAPHY

Christopher D. Warren is a seasoned business and securities law attorney with over twenty years of experience in the securities industry. Mr. Warren routinely handles business-to-business disputes, government investigations, and complex enforcement proceedings on behalf of clients before regulatory entities such as the U.S. Securities and Exchange Commission, FINRA, and state regulatory agencies such as the Attorneys General Office.

Mr. Warren's practice also includes litigating business dissolution matters, shareholder and partnership disputes, real estate litigation and breach of fiduciary duty claims in state and federal court, as well as arbitration bodies such as AAA and JAMS.

Before beginning his legal career in 2013, Mr. Warren gained decades of experience in the financial securities industry in roles ranging from licensed advisor to founding partner of several real estate investment and services companies. His practical knowledge of the industry and regulatory matters enabled Mr. Warren to thrive in his career as an attorney. In 2018, Mr. Warren founded his own law firm, Warren Law Group, which merged with Scarinci Hollenbeck in January 2024. As managing partner, Mr. Warren led a team of securities and business litigation attorneys who represented investment advisory firms, broker-dealers, brokers, private equity firms, partnerships, closely held private companies and their officers.

Mr. Warren regularly speaks on AI and how it has changed the practice of law, as well as business and law firm management and has appeared on Fox News, Law360, U.S. News & World Report, among others.

Mr. Warren earned his Juris Doctor, cum laude, from New York Law School in New York, NY and his undergraduate degree in Business Management from the University of Phoenix in Phoenix, AZ.

### REPRESENTATIVE MATTERS

- A nationwide distribution and sales company in a multi-jurisdiction securities fraud matter, recovering multiple millions of dollars in a settlement against a publicly traded company.
- A financial services company in cross-border litigation, disputing a hundred million dollars in transactions.
- Financial services companies in defense of SEC investigations, Wells Calls, subpoenas, and enforcement proceedings.
- A partner in law firm dissolution matter for the recovery of multiple millions for ongoing case valuation and interest in ongoing matters.
- Business dissolution matters involving breach of fiduciary duty, embezzlement, and theft of corporate opportunity.
- Registered advisors in on-the-record (OTR) proceedings, enforcement proceedings, and negotiations.
- A claimant in a private arbitration of a multi-national corporation for breach of fiduciary duty and fraudulent inducement of contract involving the transfer of stock and the sales and management of real estate in multiple countries.
- An international cryptocurrency platform in a multi-jurisdictional investigation.
- Shareholders defrauded by an advisor fraudulently inducing investments in the private placement of a holding company in a reverse-merger scheme of a fraudulent re-listed NASDAQ company.
- Registered Investment Advisory firm in defense of claims brought against it by former advisors.
- Frozen-out minority LLC members of a closely held real estate development company seeking judicial dissolution and recovery of misappropriated assets, including theft of corporate opportunity claims.
- A broker in defense of breach of contract and repayment of promissory notes in FINRA proceeding.
- Registered Investment Advisory firm in the enforcement of restrictive covenants, solicitation of clients, and proselytizing of employees.
- Partner in a shareholder litigation matter of a private equity firm entitled to 33% of shares awarded as compensation to the firm for multiple millions of dollars.
- Represented 50% partner in law firm dissolution matter for the recovery of multiple millions for ongoing case valuation and interest in originated matters.
- Represented an equal owner of multiple investment contracts for real estate where the titleholder has intentionally defrauded and concealed that fraud against the investor in the multiple millions.

**EDUCATION**

- New York Law School (J.D., cum laude, 2013)
- University of Phoenix (B.S., 2009)

**BAR ADMISSIONS**

- New York
- New Jersey
- Eastern District of New York
- Southern District of New York
- New Jersey District Court
- Second Circuit

**AFFILIATIONS**

- Board of Community Lifestyles of New Jersey, Non-Profit Organization